

Home and Community Based Services Policy Clarification Questions (PCQ) and Answers

The purpose of this document is to clarify policy and apply it situationally and it is not intended to create new policy. The contents are subject to change based on revisions to statutes, regulations or Centers for Medicare and Medicaid Services requirements. Each question and answer is phrased and categorized based on how it was presented to the Division of Senior and Disability Services Policy unit and may be applicable to other sections as well.

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HCBS Policy Clarification Questions

Assisted Living Facility/ Residential Care Facility Personal Care

1. A Residential Care Facility/ Assisted Living Facility is requesting additional time (coded under dressing/ grooming) for assisting with a client who is utilizing a Continuous Positive Airway Pressure (CPAP) and Oxygen Concentrator. The client is unable to place the nose piece/ mask correctly without assistance.
 - A. *This would be an allowable authorized task under dressing/ grooming due to extra time to work around cords and ensure the nose pieces/ mask stay in place.*
2. If someone in a Residential Care Facility/ Assisted Living Facility requires meal prep time for specialized diets (i.e. diabetic meals), how should time be authorized?
 - A. *During the development of a person centered care plan (PCCP), staff should authorize the appropriate number of units to reflect the time it takes to prepare the specialized diet. Per [Policy 3.20](#), Personal Care Services in a Residential Care Facility /Assisted Living Facility, services are authorized to eligible residents when the needs of the resident exceed the minimum obligations of the facility as established pursuant to the respective licensure requirement. Provider billing should reflect time spent delivering the task.*
3. When there is a physician's order to check blood pressure and pulse twice daily, is this task reimbursable?
 - A. *Checking blood pressure and pulse is not a reimbursable task under the Personal Care or Advanced Personal Care program. This task is one that would fall under the protective oversight of the Residential Care Facility/ Assisted Living Facility.*
4. Can Nurse Visits be authorized for a participant in a Residential Care Facility/ Assisted Living Facility who is in need of Diabetic Nail Care (DNC) if the participant has been diagnosed in the past with Diabetes, but is not currently on a diabetic diet?
 - A. *Any supporting documentation to determine the participant's current diagnosis of Diabetes (diagnosis codes in CyberAccess, prescription, verbal conversation with doctor, observation of insulin during assessment) would support the authorization of the Diabetic Nail Care. Not all diabetics follow a diabetic diet.*
5. A Residential Care Facility/ Assisted Living Facility is requesting time to apply prescription eye drops and prescription ointment to a participant's eye. The participant is able to administer their own drops; however, they are requesting assistance steadying the participants hand to their eye.
 - A. *This can be authorized under Advanced Personal Care in a Residential Care Facility/ Assisted Living Facility.*

HCBS Policy Clarification Questions

Assisted Living Facility/ Residential Care Facility Personal Care (continued)

6. Are staff members of a Residential Care Facility/ Assisted Living Facility allowed to be recognized as guardians of a resident if their actual guardians request to give the facility the right to make some basic health decisions concerning the resident?
 - A. *Guardian-signed forms that indicate the facility staff can make basic health decisions on behalf of the resident are not accepted. The guardian is appointed through the court system and the Division of Senior and Disability Services will recognize the guardian that was approved/ appointed by the judge only. However, it is protocol that staff consults facility staff when developing the person centered care plan for a participant.*
7. Can Personal Care and Advanced Personal Care be authorized to an individual who resides within a licensed group home?
 - A. *State plan personal care services, as authorized by the Division of Senior and Disability Services, can only be authorized to an individual in his/her own home or a licensed Residential Care Facility / Assisted Living Facility. A licensed group home does not fit the definition of "their own home" and therefore the individual would not be eligible for in-home services. This is based on the Code of State Regulation, [13 CSR 70-91.010](#) Personal Care Program (1)(B)1.*
8. If a participant resides in a Residential Care Facility/ Assisted Living Facility and his current care plan includes blood sugar monitoring for diabetes, can the task remain once reassessed?
 - A. *This is an allowable Advanced Personal Care task in that the aide can help the participant complete the task by steadying the participant's hand in order for the participant to apply the skin stick, but the aide cannot complete the skin stick independently. The aide can also assist in reading the levels if needed. The person centered care plan would remain the same if the participant had the same needs upon reassessment.*
9. If a participant requires assistance with a nebulizer and resides within a Residential Care Facility or Assisted Living Facility, can this task be authorized?
 - A. *As noted in [Policy 3.20](#), Page 4 of 6, taking medications to a participant, including medication for nebulizers, so that the participant may self-administer their medications, it is considered an appropriate authorization of units for that purpose. The aide may carry and setup the equipment, open the medication packaging, place the medication into the nebulizer (prepackaged only), steady the participant's hand during the treatment and clean the equipment as needed. Starting the machine must be performed by the participant as it constitutes administration of medication. The same parameters apply regardless of service setting.*

HCBS Policy Clarification Questions

Assisted Living Facility/ Residential Care Facility Personal Care (continued)

10. If a participant within a Residential Care Facility/ Assisted Living Facility has physician orders to administer injections, can Nurse Visits be authorized for this participant?
- A. *The protocol for authorizing nurse visits to residents of a Residential Care Facility or Assisted Living Facility is if a potential participant was admitted into the facility with orders from a physician to administer an injection that participant would not be eligible for an authorized nurse visit. However, if a current resident did not have an admission order for injections and there were changes to his/her care needs which resulted in the participants' physician ordering an injection, the participant may be eligible for an authorized nurse visit. However, if a participant has an authorization in place for a nurse visit and moves to another Residential Care Facility /Assisted Living Facility, the authorization should remain the same unless the needs have changed.*
11. If a participant in a Residential Care Facility/ Assisted Living Facility has been advised by their physician to avoid concentrated sweets due to their diabetic condition, but has no other dietary restrictions, can meal prep time be authorized?
- A. *Yes, dietary time should be authorized any time the facility goes above and beyond what they would normally prepare due to a participants health condition.*
12. Can nail care for participants who reside within a Residential Care Facility/ Assisted Living Facility be authorized if they are not able to trim their own nails.?
- A. *Yes, if the participant is unable to trim their own nails, 15 minutes, once per week can be authorized under dressing/ grooming.*
13. If a participant resides in a Residential Care Facility / Assisted Living Facility and their current care plan includes blood sugar monitoring for diabetes, can the task remain once reassessed?
- A. *Yes, if at the time of a reassessment, if a participant continues to have this need, the task would be appropriate to include in the Person Centered Care Plan.*

HCBS Policy Clarification Questions

Advanced Personal Care

1. Is it required that a Registered Nurse assists with the Advanced Personal Care assessment with state staff during the initial assessment for eligibility conducted by state staff?
 - A. *No, this is not required. Regulation, specifically [13 CSR 70-91.010](#) Personal Care Program (5)(D) in part says Advanced Personal Care Plans must be developed by the provider Registered Nurse in collaboration with the state. Two Nurse Visits are authorized during the first month of services for the training of the Advanced Personal Care aide and evaluation of the adequacy of the service plan. The provider nurse communicates with the Division of Senior and Disability Services during this process if the care plan is not effectively meeting the needs of the participant.*

2. Are Advanced Personal Care aides authorized to apply participants pain patches or does this task require a Registered Nurse?
 - A. *The administration of pain patches is considered administration of medication and placement or removal of the patch is not an allowable task.*

3. Are Advanced Personal Care aides authorized to apply and remove compression dressings, such as medical support stockings for participants even if they are not able to remove them on their own?
 - A. *This is dependent upon the class rating -Class I (14-17mmHg), Class II (18-24mmHg), and Class III(25-35mmHg). A Class I rated medical support stocking (compression stockings, hosiery, socks) can be authorized as basic personal care. A Class II can be authorized as advanced personal care (if the participant can remove the item on their own), but a Class III (life supporting or life sustaining device or for use which is of substantial importance in preventing impairment of human health, or if the device presents unreasonable risk of illness or injury) cannot be authorized. For ACE wraps and compression hose/stockings-these need to be placed on participant under Advanced Personal Care-with the understanding that the participant can remove them on their own accord for emergency needs of swelling, bleeding, pain or drainage and this can also be done by a family member or friend of the participant in case of an emergency or if needed and the family or friend is there with the participant for majority of the time. There should be additional nurse visits scheduled in the first month of any new Advanced Personal Care task so the nurse can properly train/guide the Advanced Personal Care aide.*

HCBS Policy Clarification Questions

Advanced Personal Care - (continued)

4. Under ostomy hygiene, is an Advanced Personal Care aide allowed to change the ostomy wafer when cleaning the bag?
A. Changing the wafer is a component of changing a colostomy bag process and appropriate hygiene, thus the Advanced Personal Care Aide should change the wafer as well.
5. If a participant has an oxygen concentrator, can the aide clean the tubing and add water to the concentrator, as well as clean the filter?
A. This is considered Basic Personal Care; the aide is allowed to assist with cleaning the tubing, filter, and adding water to the machine.
6. Are Advanced Personal Care aides authorized to clean catheters and change the bag?
A. Changing the bag, as well as, soap and water hygiene around the site are allowable Advanced Personal Care tasks.
7. Are Advanced Personal Care aides allowed to apply Transcutaneous Electrical Nerve Stimulation (TENS) unit electrodes to a participant if it is in a location the participant is not able to do so by himself/herself??
A. The placement of Transcutaneous Electrical Nerve Stimulation unit electrodes is an approved Advanced Personal Care task; however the participant must turn the machine on and off himself/herself.
8. If a participant has a stoma and requires over the counter laxatives, can the Advanced Personal Care aide mix the laxative with water and administer it via the stoma?
A. Due to the presence of the stoma the administration of the laxative and water is not a covered service and cannot be authorized through Personal Care or Advanced Personal Care. This would be considered a nursing level task, and could be performed during a regularly scheduled nurse visit. Authorization of nurse visits are limited to 26 visits in a 6 month period.

HCBS Policy Clarification Questions

Advanced Personal Care (continued)

9. Under ostomy hygiene, are Advanced Personal Care aides allowed to suction out tracheostomies?
- A. *No suctioning of any kind is an allowable task under Advanced Personal Care, and must be completed by a nurse or trained family member.*
10. If a participant uses a gait belt for transferring from bed to wheelchair and vice versa and the Aide uses the belt to assist with the process is this allowable Advanced Personal Care task?
- A. *Gait belts are approved to use as a transfer device for Advanced Personal Care services authorized by the Division of Senior and Disability Services. Gait belts may also be utilized, at the provider's discretion, for the purpose of mobility assistance (Basic Personal Care).*
11. If the participant needs assistance placing an inflatable boot on both legs once per day for swelling of the extremities and removing it once it deflates, can this be authorized under Advanced Personal Care?
- A. *This is an allowable Advanced Personal Care task, as long as the participant is able to deflate it him or herself if needed.*
12. If a participant has medication assistance with hospice and the agency is different than the In-home agency, can the Advanced Personal Care aide assist the participant with guiding his hand to his mouth due to the participant having Parkinson's disease?
- A. *This is an allowable Advanced Personal Care task as outlined in [Advanced Personal Care- State Plan 3.10 pg. 3](#), however the provider nurse must ensure that self-administration of meds is not already being completed by the hospice agency - and be willing to accept the liability their employees assisting the participant in self-administering medications which have been set up by another agency.*
13. If a participant requires a stability brace that connects to his/her shoes to assist with mobility after having a stroke can the task of removing the brace for the purpose of bathing and replacing it once the bath is complete be approved as Personal Care or Advanced Personal Care if the participant is able to do so, but has difficulty without assistance?
- A. *This is an allowable Personal Care task, and would not be considered Advanced Personal Care.*

HCBS Policy Clarification Questions

Advanced Personal Care (continued)

14. With regards to the bowel program, if a participant requires assistance such as sphincter stimulation or pre-packaged enemas to prevent or assist with fecal impaction, is it ok to authorize additional time for the bowel program under Advanced Personal Care?
- A. *Determining the time needed to ensure a participants needs are being met is based on the individual needs of the participant. The units should be authorized based on time necessary to complete the entire process. Both, digital stimulation and/ or pre-packaged enemas may be authorized under Advanced Personal Care, Bowel Program.*
15. If a participant needs trach care, specifically changing out the trach, can this be authorized as a task for the nurse to complete during the weekly nurse visits for med setup?
- A. *Yes, this is an appropriate task for the Nurse to complete. If there was no authorization for weekly med setup the assessor could authorize a weekly visit for this task under "other nursing tasks". Advanced Personal Care aides are authorized to provide tracheostomy hygiene to well-healed sites only, while changing or replacing the trach remains a Nursing task. Note: It is important for providers to remember that a referral shall be made to Home Health services for the participant when appropriate.*
16. What is the difference between basic Personal Care self-administration of medication and Advanced Personal Care non-injectable medication?
- A. *Self-administration of medication is defined in [19 CSR 30-83.010](#) (46) as the act of actually taking or applying medication to oneself. For example, time spent handing the medication container and water to the participant so that the participant can self-administer their medications would be appropriately calculated in the time for this task. Advanced Personal Care Non-injected medication services are defined as manual assistance with non-injectable medications as set up by a licensed nurse and may include prompting participant, opening the med planner and/ or steadying the participant's hand/arm to get medication to the mouth. While the two services involve the same actions by the aide, the difference lies in the participant's ability to set up /know when to take their own medications*
17. Are Advanced Personal Care aides authorized to administer Vagus Nerve Stimulation to a participant?
- A. *This is not an allowable Advanced Personal Care task.*

HCBS Policy Clarification Questions

Advanced Personal Care - (continued)

18. Can a Prothrombin Time/ International Normalized Ratio (blood) test be authorized under Advanced Personal Care?
- A. *The test needs to be completed by the participant, a trained family member, or a nurse. The Advanced Personal Care aide could steady the participants hand and read the levels if the participant needs this assistance.*
19. Would the use of a Malone Integrated Continence Enema, which is inserted through a port within the abdomen, be authorized under the bowel program for Advanced Personal Care or would this be considered flushing an ostomy?
- A. *It has been determined this is less invasive than other tasks (i.e. enema) and can be authorized as an Advanced Personal Care task, once the site is well healed.*
20. If a participant needs prescription ointment on a wound daily but it's a stage II, can Advanced Personal Care be authorized for the application of the ointment?
- A. *No, it will need to be a nurse for a stage II.*
21. Are Sit-to-Stand hooyer lift devices authorized under Advanced Personal Care for use? The device uses a belt that is placed around the participant's waist and lifts the participant to a standing position using hydraulics.
- A. *This type of device is considered a type of "Assistive Transfer Device" and would be authorized under Advanced Personal Care. The provider will have to assure the Advanced Personal Care aide is adequately trained on this device to meet the needs of the participant.*
22. Are Licensed Practical Nurses allowed to complete both the General Health Evaluations and the six-month Advanced Personal Care services assessment?
- A. *Licensed Practical Nurses are allowed to complete the General Health Evaluation visit under the direction of a Registered Nurse or Doctor; however, Registered Nurses must complete the six-month Advanced Personal Care service assessments.*
23. Can the application of Nystatin powder be treated the same as Nystatin Cream applications and authorized under Advanced Personal Care?
- A. *Yes, prescription Nystatin powder should be treated like Nystatin Cream application under Advanced Personal Care.*

HCBS Policy Clarification Questions

Advanced Personal Care - (continued)

24. If a participant requires the use of a nebulizer, but is not able to hold the aerator to his/her mouth for the entire length of time of the treatment, can the aide steady and hold the participants hand so the participant can administer the medication on their own?
- A. *If the participant is able to administer their own medications, then it is an allowable task. For more details see number 9 in the Residential Care Facility/ Assisted Living Facility section.*
25. Does Missouri Medicaid Audit and Compliance review Advanced Personal Care tasks regarding what can be authorized?
- A. *The Division of Senior and Disability Services authorizes specific tasks under Advanced Personal Care authorizations and Missouri Medicaid Audit and Compliance audits to ensure the provider delivered according to the authorized care plan.*
26. For participants who have difficulties swallowing, can their medications be added to their food (e.g., applesauce) by the Advanced Personal Care Aide?
- A. *If the medication has been reviewed and set up for the participant by the nurse and the participant is feeding him/herself then the act of emptying the medicine out of the planner into (ensuring not to touch the medication) the participant's food can be completed by the Advanced Personal Care Aide. As a note, the aide shall not alter the medication (e.g., crush or mix).*
27. Are physician orders required for Passive Range of Motion?
- A. *Yes, Passive Range of Motion is authorized based upon the participants needs, however, the provider agency must obtain a copy of the physician's orders in order to deliver this task appropriately.*
28. Are Aides allowed to disconnect and reconnect insulin pump tubing for the purpose of bathing the participant?
- A. *Yes, this would be an approved Advanced Personal Care task and the provider should ensure that the aide is properly trained to complete the task.*

HCBS Policy Clarification Questions

Advanced Personal Care - (continued)

29. Regarding conditions for reimbursement for Advanced Person Care, the Personal Care Regulation (13 CSR 70-91) states, "The provider agency is responsible for obtaining the recipient's physician's approval for the plan." Can a nurse practitioner provide this approval?
- A. *Yes, DHSS and MMAC will accept approval from either a physician or nurse practitioner as appropriate documentation for this purpose.*
30. Do staff need to obtain a copy of Advanced Personal Care physician orders from the provider agency in order to authorize services?
- A. *No, this is the provider's responsibility.*

HCBS Policy Clarification Questions

Assessment / Reassessment

1. What constitutes a physician ordered diet?
 - A. *A physician ordered diet is defined as any modification in diet prescribed by a doctor to address a health condition.*

2. Which medications and supplements should be counted for the total number of medications for question #4 in Section M of the InterRAI HC?
 - A. *Any and all medications (prescription and over the counter), as well as supplements which have been prescribed by a physician to address a health condition should be counted.*

3. If a participant is due for a provider reassessment and their home is in poor condition and/or there is an infestation, can the provider conduct the reassessment in the office and bill for the assessment?
 - A. *It is preferred the reassessment be conducted in the participant's home, however, this is not a requirement and there may be circumstances where a reassessment could be conducted elsewhere if the participant is agreeable (this must be documented in Case Notes). As a note, provider staff should work with DSDS staff to ensure there is a plan to address the issues with the home. Additionally, if a participant is currently in the hospital, it is appropriate to conduct the reassessment as part of the discharge planning process at the hospital if the participant is agreeable.*

4. With the recent Office of Administration changes made for Home and Community Based Services Assessor requirements, would the following degrees be considered a closely related field and qualify a provider to participate in the reassessment process: Bachelor of Health Management and or a Bachelor of Public Relations?
 - A. *All Home and Community Based Services providers must ensure assessors meet the qualifications of the Division of Senior and Disability Services Adult Protective and Community Worker II or they must be a Registered Nurse who is licensed and in good standing with Missouri. The above mentioned Bachelor degrees are considered closely related fields and would qualify the provider to assist in the reassessment process.*

HCBS Policy Clarification Questions

Assessment / Reassessment (continued)

5. If a participant requires an Exogen machine for bone therapy as ordered by an Orthopedist, would this be noted on the InterRAI under non-preventative treatments?
- A. *Yes, although it is not a preventative treatment, it allows the bone fracture to heal and this can be considered non-routine preventative treatments under the InterRAI.*
6. If the participant is receiving oral chemotherapy treatment, where should it be recorded in the InterRAI HC for determining LOC?
- A. *Chemotherapy treatments are defined in Section N: Treatment and Procedures, but when the medication is administered orally and not intravenously, it must be recorded in Section M: Medication, as well.*
7. Are providers required to keep paper copies of the forms used for a reassessment that they complete?
- A. *It is not necessary to keep paper records used to conduct a reassessment, however, all documentation must be recorded in Web Tool.*
8. Can you define walking versus locomotion?
- A. *Locomotion is defined as: ability to move from one place to another. If in a wheelchair, self-sufficiency once in their chair.*
- Walking is defined as: move at a regular and fairly slow pace by lifting and setting down each foot in turn, never having both feet off the ground at once.*
9. Are we required to upload an Individualized Treatment Plan (ITP) into Web Tool?
- A. *No, staff are only required to verify the information within the ITP. N6f should be coded based on the services being provided and the plan to obtain an/ or maintain the participant's optimal highest functioning potential.*
10. If we are looking for information regarding an Individualized Treatment Plan and the participant does not know their Case Manager, how do we find this information?
- A. *See policy 4.00 Appendix 7 for Department of Mental Health, Developmental Disabilities Office List.*

HCBS Policy Clarification Questions

Assessment / Reassessment (continued)

11. What is re-motivational therapy?
 - A. *The National Remotivation Therapy Organization defines it as a small group therapeutic modality, designed to help clients promote self-esteem, awareness, socialization and concentrates on the psychosocial well-being and quality of life of older adults in long-term care facilities.*

12. Where do we document injections on the assessment?
 - A. *Injections are a means to administer medications; therefore the medication in the injection would be documented in Section M. Additionally, the Assessor would document the participant's ability to administer the injections in Section G1d.*

13. If we are denying CDS based on inability to self-direct, does behavioral Level of Care (LOC) need to be a 9?
 - A. *No, the Assessor should accurately code the InterRAI questions and ensure that SLUMS, Self-Direction Assessment Questions, information from a health care professional (if applicable), and any other documentation (when considered in totality) supports the decision regarding self-direction.*

14. Do we only say yes to the Durable Power of Attorney (DPOA)/ Guardian if we have documents in attachments?
 - A. *No, the Assessor should answer the questions accurately and make every effort to gather the documentation at a later date.*

15. Should the reference date be the day you were in the home for the assessment?
 - A. *Yes.*

HCBS Policy Clarification Questions

Assessment / Reassessment (continued)

16. During the assessment, is it acceptable to ask the questions in a different order than they appear in the InterRAI HC?
- A. *Yes, it may be beneficial to ask some questions out of order. For example, before answering questions in section G regarding physical abilities, you might want to ask the participant to get their current medications. This will allow you to observe their ability to ambulate.*
17. How do you document smokeless tobacco (chewing tobacco or vapor cigarettes)?
- A. *Smokeless tobacco is not included in the assessment.*
18. Does the goal listed in section A10 need to be tied to the services they receive?A.
- No, the goal is meant to reflect anything in the participant's life.*
19. Do we document in Case Notes the observations we made that are different from what the participant reports?
- A. *Yes, the Assessor has the ability to gather information from observations and through collateral contacts to change the coding in the InterRAI HC to accurately reflect the condition of the participant.*
20. If a participant is in the hospital at the time of the initial referral, do participants need to be seen in the home or can it be in the hospital?
- A. *When there is an urgent need of services, a participant can be seen in the hospital in order to expedite the authorization of HCBS. However, a follow-up visit in the home should be made to validate the assessment and the previously completed care plan.*

HCBS Policy Clarification Questions

Authorized Nurse Visits

1. Do Authorized Nurse Visits need to remain strict with a certain day allotted each week for the visit?
A. There is no requirement that the Registered Nurse visit be no sooner than every seven days. The Authorized Nurse Visits should be completed based upon the needs of the participant.

2. If participants request to have the current week and back-up medicine planner filled in the event there is inclement weather or physician appointments, is this allowed under the Authorized Nurse Visits program?
A. There are no restrictions against filling a backup medicine planner.

3. If a participant does not have home health and is requesting Registered Nurse visits for assistance flushing out a port, is this a task that can be authorized under Other Nursing Services?
A. This would be an allowable task under "Other nursing services." Please remember when authorizing this service, only 26 units in a 6 month time period is allotted for Registered Nurse visits. With that being said, consider how often this is needed done. If once a week is okay for the flushing, then it would be okay, but if this needs to be done every few days, then it will not work, as there would not be enough Registered Nurse visits to cover the task (and is why Home Health would be more appropriate for this task).

4. Can Nurse Visits be authorized for oversight of an Advanced Respite Aide for a participant who has Aged and Disabled Waiver services, Advanced Respite and Basic Personal Care for medication assistance and nail care, but not an Advanced Personal Care authorization?
A. No. The Bureau of Program Integrity received clarification from MO HealthNet Division regarding the purpose of a nurse visit. Nurse visits should only be authorized when there is an identified nursing need or if there is an Advanced Personal Care authorization. Nurse visits should not be authorized just for the oversight of an Advanced Respite Care aide or to assure the Advanced Respite Care aide is adequately trained – as the Division of Senior and Disability Services does when Advanced Personal Care services are authorized. This does not negate the provider's responsibility for oversight and training for aides delivering Advanced Respite.

HCBS Policy Clarification Questions

Authorized Nurse Visits (continued)

5. If a participant is receiving home health and all weekly/ monthly tasks authorized by the Division of Senior and Disability Services are being performed by the home health nurse, should the provider complete a GHE during the month?

A. *The Nurse Visit authorized for the General Health Assessment should be completed as normal. Regarding delivery of other services during this type of situation, HCBS authorized by the Division of Senior and Disability Services staff are not to be duplicative of informal and formal supports such as home health. While home health services are being delivered to a Division of Senior and Disability Services participant, duplicative Advanced Personal Care and/or Personal Care (and possibly other services) are put 'on hold' until the home health services have discontinued.*

As a note, if it is determined that the Advanced Personal Care service is necessary as it is not duplicated by the home health, then the provider nurse continues to be required to complete the monthly nursing oversight responsibility for the Advanced Personal Care aide and Advanced Personal Care service.

6. If a participant has two different providers in the home, one delivering services in the morning and the other in the afternoons and on weekends, and both are providing Advanced Personal Care, is it permissible to authorize nurse visits for the purpose of Advanced Personal Care oversight to each of the providers?

A. *Yes, however, the authorization may not exceed the limitation of 26 units within a 6 month timeframe.*

HCBS Policy Clarification Questions

Consumer Directed Services

1. If a potential participant has a Power of Attorney (POA) does this make him or her ineligible for Consumer Directed Services?
 - A. *The fact that the potential participant has a Power of Attorney does not make the participant ineligible for Consumer Directed Services. The potential participant must have the ability to self-direct his/her own care in order to qualify for Consumer Directed Services.*

2. Once authorized in Web Tool, when must services start for Consumer Directed Services?
 - A. *There is no timeframe established in Statute or Regulation for the Consumer Directed Services model, however it is the responsibility of the vendor to maintain a list of eligible attendants in cases of participants needing immediate care, etc.*

3. If an applicant was placed on the Employee Disqualification List (EDL) due to committing fraud as a Consumer Directed Services attendant does this disqualify them from receiving Consumer Directed Services themselves if they meet the qualifications and have applied?
 - A. *In reviewing our policy, the Code of State Regulation, and statute, there is nothing which indicates this history would prevent the individual from potentially becoming a Consumer Directed Services participant. As long as the participant meets level of care and all of the other Consumer Directed Services program requirements, there are no restrictions from Consumer Directed Services despite the fraudulent activity from when he/she was an attendant.*

4. Is an aide allowed to provide Consumer Directed Services to a participant while out of state?
 - A. *Currently, there is nothing in regulation which says an attendant cannot be paid or is not allowed to provide services to the consumer out of state. It's reasonable to assume that a Consumer Directed Services participant who needs assistance with Personal Care at home would also need care when he/she travels to another location. The vendor must still be able to ensure appropriate delivery of services, and the travel out of state must be temporary.*

HCBS Policy Clarification Questions

Consumer Directed Services (continued)

5. If a participant is in a same-sex marriage and participant qualifies for Consumer Directed Services and is in need of services, can the spouse work as the aide for the consumer?
- A. *With the new ruling now that Missouri recognizes same sex marriages, the spouse can no longer be the paid attendant. Please refer to [PM/VM-16-09](#) Same-Sex Spouse as a Paid Aide or Attendant.*
6. Is it possible to have two Consumer Directed Services attendants in the home of a participant who is receiving chemo and sleeps most of the day, to perform Personal Care tasks at a quicker pace, so not to disrupt the participant while they are sleeping?
- A. *It is not a possibility due to the one service code per participant at one time requirement for Medicaid billing. Even though the aides would perform different tasks, it would fall under the Consumer Directed Services billing code. However, it is possible to have two attendants in the home at one time as long as they are performing different "service types". For example, Consumer Directed Services cleaning tasks and Nurse Visits.*
7. Does the 21 day rule regarding advance notice to the participant prior to discontinuing services pertain to Consumer Directed Services?
- A. *No it does not. Below is the applicable regulation regarding discontinuation of services in the Consumer Directed Services program.*
- (A) Vendors after notice to the Department of Health and Senior Services may suspend services to consumers in the following circumstances:*
- 1. The inability of the consumer to self-direct.*
 - 2. Falsification of records or fraud*
 - 3. Persistent actions by the consumer of noncompliance with the plan of care*
 - 4. The consumer or a member of the consumers household threatens or abuses the attendant and/ or vendor and/ or*
 - 5. The attendant is not providing services as set forth in the plan of care and attempts to remedy the situation have been unsuccessful.*
- (B) Shall provide written notice to the Department of Health and Senior Services and the consumer listing specific reasons for requesting closures or termination. All supporting documentation shall be maintained in the consumer's case file. The Department of Health and Senior Services shall investigate the circumstances reported by the vendor and assist the consumer in accessing appropriate care. Upon a finding that such circumstances exist, the Department of Health and Senior Services may close or terminate services.*

HCBS Policy Clarification Questions

Consumer Directed Services (continued)

8. Are physician orders required for Consumer Directed Services participants with Advanced Personal Care-type tasks (e.g., Passive Range of Motion)?
A. This is not a requirement of the regulation under Consumer Directed Services.

9. Should Consumer Directed Services participants who already receive Nurse Visits receive the General Health Evaluation / level of care visits?
A. No, General Health Evaluations are only appropriate for Agency model services.

10. Is it acceptable for a Consumer Directed Services attendant to be listed as a consumer's payee?
A. Only legally responsible individuals (court appointed guardian or conservator) and spouses are prohibited from being an attendant in the Consumer Directed Services program. There is nothing that prohibits a payee from being an attendant, however, the vendor may want to include more oversight in this type of case and the vendor always has the right to create more restrictions than required by statute and regulation.

11. Are Consumer Directed Services consumers allowed to use all their "daily" units each month regardless of whether it is a "short" month?
A. Missouri Medicaid Audit and Compliance's expectation is that anything billed needs to be authorized, delivered and adequately documented. In certain circumstances, the participant may need to use more services in a certain day, thus utilizing all of their monthly units in a short month. The provider shall document and bill as delivered (for example, the participant has the stomach flu and needs extra bathing time and toileting time).

HCBS Policy Clarification Questions

Consumer Directed Services (continued)

12. What is the difference between CM in the ILW and CM required by Consumer Directed Services providers for all Consumer Directed Services consumers?

A. *Regulations state that Consumer Directed Services vendors must perform "case management activities with the consumer at least monthly to provide ongoing monitoring of the provision of services in the plan of care and other services as needed to live independently". Please refer to [VM-07-18 Vendor Oversight](#) for detailed information regarding this requirement. There is also a service in the Independent Living Waiver entitled Case Management. This service is defined as "service that assists participants in gaining access to needed Waiver and other State Plan services, as well as medical, social, educational, and other services, regardless of the funding source for the services to which access is gained."*

Case Management through the ILW includes:

- *Identification of abuse, neglect, and/or exploitation;*
- *Monitoring of the provision of services in the participant's care plan;*
- *Review of the care plan and the participant's status, which shall include monthly contacts, and face-to-face visits with the participant as deemed necessary; and*
- *Assist the participant with full access to a variety of services and service providers to meet their specific needs, regardless of funding source.*

13. Can a minor be hired to work for a consumer if the individual has previous experience in the caregiver role and a "Certificate To Employ a Child 14 to 15 Years of Age During Non-School Terms?"

A. *No. The regulatory requirements governing the Consumer Directed Services program ([19 CSR 15-8](#)) does not give exception to the criteria to be employed as a personal care attendant.*

14. Should participants who are authorized for Consumer Directed Services receive a General Health Evaluation (GHE) when they are authorized for Nurse Visits for other purposes?

A. *No. Participants who are authorized for Consumer Directed Services are not to receive a GHE, even when the participant is authorized for Nurse Visits for other purposes.*

HCBS Policy Clarification Questions

Consumer Directed Services (continued)

15. Is an attendant allowed to assist in operating (turning the machine on/off) an in-home dialysis machine, hang the bag, place the bag in the machine or connect the tubing to the participants dialysis catheter, under Consumer Directed Services?
 - A. *No. Dialysis tasks are beyond the scope of the personal care program and cannot be authorized by DSDS. Cleaning with soap and water around any well-healed site, including peritoneal port sites would be allowed.*

HCBS Policy Clarification Questions

Consumer Directed Services / Transportation (essential transportation)

1. Is transporting to reading classes for reading comprehension allowable?
A. *Yes, this is considered "continuing education" and is allowable under Essential Transportation.*

2. Is transporting for events such as: visiting another individual's home for social visits, or visiting someone in the hospital or a church function allowable?
A. *Social activities are not appropriate as they do not meet the definition of essential transportation. This does not mean that the attendant cannot take them to the destination and deliver appropriate and authorized personal care tasks while the participant is in that location. The transportation may not be reimbursable, but the personal care is.*

3. Is transporting to a funeral home to make funeral arrangements for someone else allowable?
A. *If it is necessary to go to the funeral home to make arrangements for a relative whom you are responsible for and no other transportation options are available, then this would be an essential transportation need and thus allowable.*

4. Is transporting to an appointment to have blood drawn for lab work (when Medicaid does not pay for the service and it is performed separately from a doctor visit) allowable?
A. *Trips for medical appointments or health oriented appointments (lab draws, chiropractor, etc.) are always considered to be appropriate tasks for Essential Transportation as long as it is not a Non-Emergency Medical Transportation covered trip.*

5. Is transporting to aquatic classes instructed by a licensed Physical Therapist as ordered by a physician, allowable?
A. *Non-Emergency Medical Transportation must be utilized prior to Consumer Directed Services transportation, so as long as it is not covered under the Non-Emergency Medical Transportation program, then it can be covered under the Consumer Directed Services Essential Transportation.*

HCBS Policy Clarification Questions

Consumer Directed Services (essential transportation) – (continued)

6. If a participant is wheelchair bound and unable to transfer to and from or assist in placing their wheelchair in the vehicle without assistance, and the Non-Emergency Medical Transportation is not assisting, can Essential Transportation be authorized?
 - A. *No, the participant should submit a complaint through the MO HealthNet regarding this issue. Problems with a Non-Emergency Medical Transportation provided service is not justification to authorize the service through Consumer Directed Services.*

7. Is transporting to a storage unit or facility for the purpose of relocating possessions from a home as ordered by the participant's landlord or owner of property or face eviction allowable?
 - A. *Yes, this would be considered 'essential' since removing the items is necessary so the participant can remain in the home.*

8. Can a participant have a family member or friend ride along?
 - A. *This is a decision between the vendor, attendant, and consumer. The Department of Health and Senior Services does not have a regulation prohibiting this practice.*

9. If two participants live in same household and both are authorized for transportation and prefer to conduct joint shopping for groceries, can the aide document the first 30 min. for one participant and second half hour for the second participant, in order to save the aide from having to make two trips to the store?
 - A. *Yes, it is appropriate to document this way as long as the times do not overlap one another and the participant's needs are being met.*

10. Is transporting to physical therapy sessions allowable?
 - A. *BPI suggests the participant contact the Non-Emergency Medical Transportation (NEMT) provider to assure this type of appointment does not qualify under Non-Emergency Medical Transportation. If the participant discovers the physical therapy does not qualify for Non-Emergency Medical Transportation, then the attendant can transport the participant to and from the physical therapy appointment. However, while the participant is with the physical therapist, any time the attendant spends waiting for the participant to complete the therapy session cannot be reimbursed.*

HCBS Policy Clarification Questions

Consumer Directed Services (essential transportation) – (continued)

11. Can Non-Emergency Medical Transportation providers transport the participant and the participants Consumer Directed Services attendant as a “rider” for attendance and assistance needed during medical appointments (mobility concerns of participant)? Will the attendant need to pay for their transport spot? If not, who is responsible for the cost?
 - A. *The Non-Emergency Medical Transportation broker would be able to take the participant and an additional rider. It would need to be conveyed that the participant would need the additional rider at the time the reservation is made. The participant would be the only one that would be asked to pay copay for the transportation and not the additional rider.*

12. Is taking a participant to church allowable to be authorized under essential transportation?
 - A. *Transportation to and from church is not allowable under the authorization of essential transportation. Although we certainly understand the importance of this in life to many of our participants, and don't want to be misunderstood as saying it is not essential in life, this task does not meet the definition of essential transportation for the Medicaid Consumer Directed Services program*

13. If the participant has a physician order for passive water aerobics/swimming and Passive Range of Motion (PROM) each week and needs a ride to and from the swimming classes, can it be authorized under essential transportation?
 - A. *Passive Range of Motion is an allowable task under Consumer Directed Services and if the water aerobics/ swimming course is taught by a trained therapist, then it is allowable, however, if they are not trained in that type of therapy then the participant would need to find other means of transportation to and from the class. Also, the vendor would need to verify this would not be covered under Non-Emergency Medical Transportation.*

14. Are attendants allowed to run errands (grocery shopping, pharmacy, etc.) on behalf of the participant by means of public bus transportation?
 - A. *There is nothing in statute or regulation which prohibits this or requires the use of the attendant's personal vehicle. In some instances, a taxi has been used.*

HCBS Policy Clarification Questions

Consumer Directed Services (essential transportation) – (continued)

15. Can an attendant complete all necessary shopping/ errands for the participant without the participant accompanying them?
- A. Yes, [Policy 3.25 Personal Care Assistance – State Plan \(Consumer Directed Services Model\)](#), states that all essential shopping/ errands (whether or not the participant is with the CDS attendant) are covered services.
16. Can time spent driving the participant to and from their place of employment be authorized for transportation?
- A. Yes, [Policy 3.25 Personal Care Assistance – State Plan \(Consumer Directed Services Model\)](#), defines Essential Transportation as all essential shopping/errands (whether or not the participant is with the CDS attendant), medical appointments,* school, or employment, etc. *CDS Transportation does not include transporting to medical appointments when the appointment is covered under the Non-Emergency Medical Transportation (NEMT) program.

HCBS Policy Clarification Questions

CyberAccess / WebTool

1. Is it appropriate to place the diagnosis of the participant into the case notes within Cyber?
 - A. *It is appropriate to document in Case Notes the specific diagnosis of a participant, although not required as it should already be documented within the InterRAI HC. When a provider, worker, medical professional logs into Cyber Access, they are agreeing to the HIPAA confidentiality rules. They also have access to the diagnosis in Cyber on other screens as well.*

2. Is it appropriate to place a safety concern into case notes within Cyber? Example: a 21 day notice was received regarding a provider who was not feeling safe sending an aide to a home due to an altercation in the home and being informed that the participant allegedly stabbed someone within the home.
 - A. *It is appropriate to document safety concerns within Cyber. Please refer to [PM/VM-16-03](#). Confidential information regarding a hotline should not be entered into Case Notes.*

HCBS Policy Clarification Questions

Documentation

1. If a participant is utilizing paper timesheets in lieu of EVV, is it necessary for an aide/ attendant to submit a hard copy of the original signed timesheet to the provider/ vendor; or are electronic copies of the timesheet acceptable?
 - A. *It is not necessary for the aide/ attendant to submit, or for the provider/ vendor to maintain an original signed timesheet. Electronic copies such as a timesheet sent by fax or emails are also acceptable forms of documentation of service delivery for the purposes of MMAC auditing.*

HCBS Policy Clarification Questions

General

1. Is assisting with homework an authorized task under essential correspondence?
 - A. *If this task is covered through DESE Vocational Rehabilitation, is not appropriate for Essential Correspondence. However, if it is not, time spent directly assisting the participant (i.e. opening books, turning page, reading, etc.) are covered. If the participant is unable to type or write the provider should contact the Division of Senior and Disability Services to assist with locating resources for adaptive equipment.*
2. If a participant has a service dog, is it allowable for the aide to take the animal for walks/ to potty?
 - A. *These are not allowable tasks and cannot be authorized and added to the participants care plan.*
3. If a provider calls regarding a potential client and requests the name and DCN in order to pull up their case history to see if provider is willing to accept the participant, is it acceptable for state staff to provide the DCN?
 - A. *It is most definitely okay to give this information if they are considering a potential client. This gives them a chance to review the care needs, history and potential safety concerns and make an informed decision as to whether or not to serve the individual. Please verify with the participant that they are interested in this potential provider before releasing the information.*
4. Are staff allowed to tell providers that the participant they are assisting is on the sex offender registry?
 - A. *If the Division of Senior and Disability Services staff has knowledge they may share this with the provider. Additionally, information regarding sex offender status is considered a safety issue and can be documented in case notes.*
5. If a participant does not utilize all authorized weekly units, can the provider and vendor schedule the time missed in that week or any time prior to the months end?
 - A. *If authorized units are missed in a week, providers should document appropriately (e.g., the aide did not have time, participant refused or was ill etc.). It is not appropriate to schedule these units for the purpose of being able to bill for the entire monthly authorization; however, if the participant needs additional services throughout the remainder of the month, the provider can document and serve accordingly with any units not previously used. If the provider determines the additional service is a long-term need, this information should be communicated to the local Regional Evaluation Team for a care plan change.*

HCBS Policy Clarification Questions

General (continued)

6. Can 5th week hours be scheduled in shorter months?
 - A. *5th week hours should not be scheduled in months with four weeks, but can be utilized if necessary and documented appropriately.*

7. When the actual delivery of services does not match the amount of time authorized for that specific task, how should units be billed?
 - A. *Authorized units are developed through a person centered care planning process with the participant in order to reflect the time necessary to complete the tasks. The suggested times and frequencies of tasks are suggestions which provide a guide for the assessor to develop an appropriate care plan to address unmet needs of the participant. Because the minutes to complete the task do not always equal exact unit increments, WebTool automatically calculates to a full unit and allows providers to have enough time to complete all task times and frequencies in the care plan. Providers should document the time actually spent delivering the services and bill accordingly.*

8. If a participant needs help packing their belongings due to an eviction notice, can additional time be authorized to assist?
 - A. *To help the participant pack due to an eviction notice can only be authorized as a Chore; and authorization for Chore is funded through the Aged and Disabled Waiver (ADW) only. If the participant is not eligible for ADW services, a hotline should be made to address the situation.*

9. Is Tuberculosis testing a requirement for providers to test their aides providing Home and Community Based Services?
 - A. *There is nothing in regulation that requires In-Home or CDS providers to complete Tuberculosis testing on their staff. However, providers must report any communicable disease, which includes Tuberculosis, in accordance with [19 CSR 20.20.020](#).*

10. Can a participant be in multiple Home and Community Based Services Waiver programs?
 - A. *No. Participants cannot be authorized for multiple Home and Community Based Services Waivers, regardless of which state agency administers the other Waiver.*

HCBS Policy Clarification Questions

General (continued)

11. Regarding On the Job Training that is required, can this be in a classroom style setting and not in the the participants home?
- A. *The Personal Care manual explains the designated trainer(s) may perform training during an on-site visit to a participant visit or in a classroom demonstration as long as it is performed in within 30 days of the first date of employment.*
12. Regarding New Employee Orientation Training required for provider agencies, would classes held via Skype or other live online video training platforms be allowed?
- A. *The required eight hours of classroom training and Advanced Personal Care oversight, as noted in [13 CSR 70-91](#), the use of a video platform, such as Skype, for this portion of the training would be allowed.*

HCBS Policy Clarification Questions

Agency Model Personal Care (In-Home Services)

1. Once authorized in Web Tool, when must services start for In-Home Services?
A. Regulation requires the provider shall begin providing services within 7 days of receipt of the care plan and acceptance of the participant.

2. If a participant requires tube feeding, is the aide allowed to physically hang the bag for the participant if they are not able to do so themselves?
A. Any assistance with tube feeding requires the assistance of a nurse or trained family member.

3. Can a family member become the in-home aide for a participant?
A. As outlined in [13 CSR 70-91.010](#) Personal Care Program, an in-home personal care worker may not be a family member of the recipient for whom personal care is to be provided. A family member is defined as a parent; sibling; child by blood, adoption or marriage; spouse; grandparent or grandchild.

4. How are the areas within a participants home that need to be cleaned and picked up determined and what specifically is the aide responsible for cleaning?
A. Per [19 CSR 15-7.021\(9\)](#), "The range of homemaker, chore, and respite activities the in-home worker provides is mutually determined by the provider agency and the client." HCBS is person-centered and therefore, each participant's specific circumstances, living arrangements, home conditions, abilities, and unmet needs should be assessed when making this determination.

5. Can a great grandchild become the in-home aide for a participant?
A. There is no regulation stating great grandchildren are prohibited from becoming the aide and it is allowed so long as the provider agency approves.

6. Is the use of a signature stamp allowed for a participant who is unable to physically sign their name?
A. Yes, the use of a signature stamp is allowed in the event the participant is not able to sign their own name. Pursuant to [13 CSR 70-91](#), for each date of service the signature or mark of the recipient must be recorded.

HCBS Policy Clarification Questions

Agency Model Personal Care (In-Home Services)

7. Is a General Health Evaluation (GHE) required if the participant has only Personal Care Medically Related Household tasks authorized?
 - A. *Yes, the General Health Evaluation shall be authorized for all state plan agency model participants. [Policy 3.15 Authorized Nurse Visits- State Plan-agency model states General Health Evaluation](#)" (for purposes of the semi-annual nurse visits) shall be selected as a task for HCBS participants when no other nursing need is identified.*

8. What is the standard time allowed per meal with out any additional accommodations needed?
 - A. *The suggested times and frequency for Dietary noted is 10-60 minutes, 1-7x/week (HCBS Manual, 3.05), but please note in 4.20 in the HCBS Manual, the suggested times and frequencies are provided for these tasks as a tool to help facilitate a conversation between the participant and assessor. The time required to complete a task is mutually identified and agreed upon. If the time to complete a task varies greatly from the suggested time and frequency in a particular care plan, the reasons for the deviation shall be documented in case notes.*

9. If a participant is required to wear a class I compression suit as ordered by a physician, in order to prevent scarring, can the aide assist in applying over the counter lotion to the unbroken skin and assist in placing the suit back on the participant?
 - A. *Yes, due to the vulnerability of the participant and the delicate skin condition, Advanced Personal Care can be authorized with monthly nurse oversight.*

10. If the participant is in need of a haircut, is it allowable under Personal Care?
 - A. *No. This would not be considered an allowable Personal Care task.*

11. Is the aide allowed to reside within the same household as the participant?
 - A. *Yes. In reviewing the Code of State Regulation there is nothing which prohibits the aide from residing in the same household as the participant.*

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HCBS Policy Clarification Questions

Respite Care

1. What is the difference between basic respite care and advanced respite care and when is each type appropriate?

A. *Respite care services are maintenance and supervisory services provided to a participant in the individual's residence to provide relief to the caregiver(s) that normally provides the care. RC can be authorized in six categories: basic, block, advanced, advanced block, advanced daily and nurse respite. Basic respite provides services to participants with non-skilled needs who are unable to perform ADL's. Basic Block Respite is for the same purpose of Basic, but intended to give the caregiver relief for longer episodes. Basic Respite authorizations are not appropriate for participants who will have an Advanced Personal Care need during the respite period.*

Advanced Respite services are intended to provide participants with special care needs, and those who require a higher level of personal oversight. (E.g., An individual with dementia who is violent or wanders). Advanced Block Respite provides longer episodes of care to those Advanced Respite caregivers. Advanced Daily Respite is defined as 17-24 consecutive hours within one 24-hour period.

Nurse Respite is authorized in blocks of 16 units or 4 hours per day and is for participants who are in need of such tasks as trach care requiring removal, cleaning and replacing of tubes, ventilator care, administration of tube feeding, suctioning and etc.

2. Are in-laws of the participant allowed to become paid caregivers for Respite services?

A. *Yes, [19 CSR 15-7.021 \(18\)\(H\)](#) does not prohibit an in-law from being a paid caregiver.*

3. Can nurse visits be authorized to provide oversight for a participant receiving only Advanced Respite?

A. *Nurse visits can only be authorized for participants who have a State plan personal care authorization. The Division of Senior and Disability Services would need to adjust the authorization for the participant to include Advanced Personal Care.*

HCBS Policy Clarification Questions

Shared Living Spaces

1. What is a shared living space?
 - A. [*19 CSR 15-8.100*](#) defines unmet needs as “routine tasks and activities of daily living which cannot be reasonably met by members of the consumer’s household or other current support systems without causing undue hardship. A shared living space is considered a space requiring tasks such as cleaning that could reasonably be met by another able-bodied member of the consumer’s household who also uses that living space. HCBS are person-centered; therefore each participant’s living arrangements and unmet needs shall be taken into account to develop their care plan.
2. Are teenagers responsible for cleaning shared living spaces?
 - A. *Minor children (under 18) should not be taken into account when developing the care plan with regard to shared living spaces. However, the authorized tasks should only be for the needs of the participant. For example, time would be authorized to clean the living room in its entirety; however time would only be authorized to wash dishes for the participant, not other members of the household.*

HCBS Policy Clarification Questions

Telephony / Electronic Visit Verification

1. Can a participant refuse to use the telephony/ Electronic Visit Verification system?
 - A. Pursuant to the Code of State Regulation (CSR) regarding the Electronic Visit Verification (EVV) system, specifically [19 CSR 15-9.200](#) (4), if the Electronic Visit Verification system is not being utilized because the participant refuses to allow the use of Electronic Visit Verification, the provider must document why the Electronic Visit Verification system is not being utilized and file for services delivered as specified in [13 CSR 70-3.030](#) and the provider must use a paper timesheet for that participant.
2. Is there a list of Telephony companies that providers are able to select from?
 - A. No. Per [PM-15-08/ VM-15-09](#), the Telephony pilot project ended when the completed report was provided to the general assembly in 2013. Missouri Medicaid Audit and Compliance (MMAC) no longer provides a list of telephony companies approved for participation in the pilot project. Any telephony or Electronic Visit Verification company which meets the standards set forth in statute and regulation is allowable.
3. What are the requirements that the Telephony system must meet?
 - A. Providers should refer to Missouri Revised Statutes [660.023.1](#) and [208.909.1](#), as well as [19 CSR 15-9](#) and [PM-16-17/ VM-16-17](#).
4. If there are two participants receiving services within the same household, can the aide use the same telephone number to report time for each participant?
 - A. The State recognizes that not all Electronic Visit Verification systems will accommodate more than one person in the same household, so paper timesheets are acceptable in this instance. There must be separate timekeeping for each participant. Please refer to [19 CSR 15-9](#) and [PM-16-17/ VM-16-17](#). The same telephone number may be utilized for more than one participant to report time as long as the EVV system is capable of distinguishing each participant individually.
5. When using the Electronic Visit Verification system is it necessary to print the system timesheet and have both the participant and aide sign it for accuracy?
 - A. It is not a requirement to get a signature when utilizing the Electronic Visit Verification system; however, the provider must be able to produce Electronic Visit Verification service reports upon the participant's request.

HCBS Policy Clarification Questions

Telephony / Electronic Visit Verification (continued)

6. If the participant's landline or cell is not working properly, can the aide use their personal cell to clock in/ out?
 - A. *This is not appropriate as the Electronic Visit Verification system must utilize a number that is specific to the participant, such as the participant's personal landline or personal cellular phone, an affixed electronic device at the participant's location, etc. If these options are not available, the aide would need to use a paper timesheet and explain the reason for using the paper timesheet in their record.*
7. If the aide forgets to clock out of the system, and the time is adjusted within the system and a comment is entered as to why the change was made, is it still necessary to have the aide/ participant sign a timesheet?
 - A. *Pursuant to the Code of State Regulation (CSR) regarding the Electronic Visit Verification (EVV) system, specifically [19 CSR 15-9.200](#), (3) Providers/ Vendors, shall, either through Electronic Visit Verification or other documentation – (A) Accommodate more than one (1) participant and/ or attendant in the same home or at the same phone number; (B) Document the services delivered to each participant; (C) Document the justification of manual time modifications, adjustments, or exceptions after the attendant has entered the information as required in subsections (2)(A-E) of this rule; and (D) Retain all data regarding the delivery of services for a minimum of six (6) years. This means that if the Electronic Visit Verification system has the capability to enter a comment to explain the modification as described in this instance, then no paper is needed.*
8. The Electronic Visit Verification system I use allows the aide to clock in when their shift starts, clock out at the end of their shift and enter what tasks they delivered while they were working. Does this meet requirements?
 - A. *Yes, it does. When using the system described above, providers should continue (as they did when utilizing paper) to allocate the amount of time spent on each service type (Consumer Directed, Homemaker Chore, Personal.*

More sophisticated systems, such as the one below, do this work for the provider and allocate the time to the correct service type based on the tasks entered by the aide as well as an authorization and schedule built into the Electronic Visit Verification system for each participant. This type of system is also compliant.

Additionally, it is important to note that per the regulation, if the provider prefers to only document the service types (Consumer Directed, Homemaker Chore, Personal Care, Advanced Personal Care, Respite, Advanced Respite, etc.) delivered during each visit in their Electronic Visit Verification systems, tasks may continue to be documented on paper as a supplement to the Electronic Visit Verification systems.

HCBS Policy Clarification Questions

Telephony / Electronic Visit Verification (continued)

Telephony Service Allocation					
VisitSys	Personnel	Task Code List			
	Date Time In	Date Time Out		ServiceDesc	Qty/Hrs
Kansas City					
IHS Kansas City					
KC-IHS-T1~					
		Resident Sys: 123108	EpisodeSys: 71164	Admission ID: IHS 71164	
5425539	Last Name, First	6321,6324,6325,6326,6351,6361,6371,6374,6375,612			
	5/4/2015 11:10:00 AM	5/4/2015 11:22:00 AM	IHS HC	Homemaker	0.00
	5/4/2015 11:22:00 AM	5/4/2015 3:14:00 PM	IHS PC	Personal Care	3.75
5428363	Last Name, First	6321,6324,6325,6326,6351,6361,6371,6374,6375,612			
	5/5/2015 11:07:00 AM	5/5/2015 11:19:00 AM	IHS HC	Homemaker	0.25
	5/5/2015 11:19:00 AM	5/5/2015 3:08:00 PM	IHS PC	Personal Care	3.75
5480801	Last Name, First	6395,6321,6325,6326,6329,6351,6371,6373,6374,612			
	5/29/2015 10:05:00 AM	5/29/2015 12:55:00 PM	IHS R2	R2 Respite	3.00
	5/29/2015 12:55:00 PM	5/29/2015 3:59:00 PM	IHS PC	Personal Care	3.00
		Resident Sys: 37904	EpisodeSys: 46048	Admission ID: IHS 46048	
5436147	Last Name, First	6127,6321,6324,6325,6326,6351,6352,6354,6361,637			
	5/8/2015 2:28:00 PM	5/8/2015 2:43:00 PM	IHS AC	Advanced Personal Care	0.25
	5/8/2015 2:43:00 PM	5/8/2015 6:30:00 PM	IHS PC	Personal Care	3.75

9. When utilizing Electronic Visit Verification System (EVV), the system records the exact minutes clocked in and out by the aide and the minutes do not equal full units. How do I bill for the extra minutes?
- A. *The Electronic Visit Verification regulation states: "In no way shall this rule prohibit the vendor/provider's ability to accrue partial units pursuant to 13 CSR 70-91." Partial units are often referred to as accrued minutes, rollover minutes, trimmed minutes, or other terms. Partial units are defined as the delivered minutes of a service that do not add up into a full billable unit of service. Please do not confuse this with rounding, which is a program violation. Partial units should be accrued and billed. An example is below.*

Example for accrual of partial units:

On February 3rd, the aide delivers 37 minutes of PC and 62 minutes of HC. On February 5th, the aide delivers 39 minutes of PC and 73 minutes of HC. On February 9th, the aide delivers 32 minutes of PC and 57 minutes of HC. On February 12th, the aide delivers 35 minutes of PC and 61 minutes of HC.

- The provider's billing cycle is the 1st through the 15th of the month and the 16th through the last day of the month.*
- In preparing the billing for Feb 1st through the 15th, the provider should bill:

 - 37 + 39 + 32 + 35 = 143 minutes = 9 units and 8 minutes of PC. Provider should bill 9 units of PC for February 1st through the 15th, and 8 minutes may be accrued into the next provider billing cycle (through the end of the month).**

HCBS Policy Clarification Questions

Telephony / Electronic Visit Verification (continued)

- $62 + 73 + 57 + 61 = 253 = 16$ units and 13 minutes of HC. Provider should bill 16 units of HC for February 1st through the 15th, and 13 minutes may be accrued into the next provider billing cycle (through the end of the month).

For those providers that do date specific billing, the "date of service" for billing purposes can be any date during the month. Two examples are given below.

Example 1 for providers who use Date Specific Billing: Date of Service is the date the partial unit becomes a full unit.

On Monday, the aide delivers 37 minutes of PC and 62 minutes of HC. On Monday, the provider should have 2 units of PC and 4 units of HC to bill for on that date of service. The provider has accrued two partial units:

- 7 minutes of PC and
- 2 minutes of HC.

On Wednesday, the aide delivers 39 minutes of PC and 73 minutes of HC. The provider should have 3 units of PC ($39 + 7 = 46 = 3$ units + 1 minute partial unit) and 5 units of HC ($73 + 2 = 75 = 5$ units) to bill for on that date of service. The provider has accrued 1 partial unit:

- 1 minute of PC.

Example 2 for providers who use Date Specific Billing: Date of Service is the last date of the provider's billing period.

On Monday, the aide delivers 37 minutes of PC and 62 minutes of HC. On Monday, the provider should have 2 units of PC and 4 units of HC to bill for. The provider has accrued two partial units:

- 7 minutes of PC and
- 2 minutes of HC

On Wednesday, the aide delivers 39 minutes of PC and 73 minutes of HC. The provider should have 2 units of PC and 4 units of HC to bill for. The provider has accrued 2 partial units:

- 9 minutes of PC and

13 minutes of HC At the end of the provider's billing cycle, the provider should add all of their partial units (accrued minutes) for each service type together and bill for them. (Date of service should be the last date of the billing cycle).

HCBS Policy Clarification Questions

Telephony / Electronic Visit Verification (continued)

10. Can the aide/attendant's cell phone be utilized for clocking in/out for Telephony?
- A. *No, it would not be appropriate to utilize the aide/attendant's cell phone for telephony. The exception to this would be if the telephony system had a GPS or FOB/validator component which meets the requirements of the EVV regulation. Note: It is acceptable to utilize a phone number on a "family plan", which the attendant is also on, as long as the participant is the primary user of the phone number.*
11. What tasks are CDS providers required to document the EVV system?
- A. *In accordance with regulation 19 CSR 15-9 (3) Providers/ Vendors, shall, either through EVV or other documentation –*
- (A) Accommodate more than one (1) participant and/or attendant in the same home or at the same phone number;*
 - (B) Document the services and tasks delivered to each participant;*
 - (C) Document the justification of manual modifications, adjustments, or exceptions after the attendant has entered or failed to enter the information as required in subsections (2)(A)–(E) of this rule; and*
 - (D) Retain all data regarding the delivery of services for a minimum of six (6) years.*

Tasks shall be documented by:

- ❖ Documenting the tasks that appear on the Cyber Access Web Tool authorization. CDS vendors can locate a full list of tasks in the Consumer-Directed Services Worksheet, Policy 4.0 Appendix 4.*

OR

- ❖ Utilizing one of the task "groupings" below, either those used historically in the program when it was administered by Department of Elementary and Secondary Education Vocation Rehabilitation or the activity categories in regulation 19 CSR 15-8 O. If the vendor uses "groupings" they must clearly define which tasks appearing on the Cyber Access Web Tool authorization fall under each of the "groupings."*

1. DESE Vocational Rehabilitation Authorizations

- | | |
|------------------------|-------------------------|
| <i>❖ Personal Care</i> | <i>❖ Housekeeping</i> |
| <i>❖ Toileting</i> | <i>❖ Transportation</i> |
| <i>❖ Health</i> | <i>❖ Meals</i> |

2. 19 CSR 15-8(O) Routine tasks. Routine tasks and instrumental activities of daily living include, but are not limited to, the following:

- | | | |
|--|---|--|
| <i>1. Bowel and bladder elimination;</i> | <i>6. Shopping/ transportation</i> | <i>8. Ambulation, housekeeping, or other functions of daily living based on an independent living philosophy as specified in state law and regulation;</i> |
| <i>2. Dressing and undressing;</i> | <i>7. Maintenance and use of proestheses, aids, equipment and other similar devises; and/or</i> | |
| <i>3. Moving into and out of bed;</i> | | |
| <i>4. Preparation and consumption of food and drink;</i> | | |
| <i>5. Bathing and grooming;</i> | | |

HCBS Policy Clarification Questions

Telephony / Electronic Visit Verification (continued)

12. Is it a requirement that my EVV system connect with the MO HealthNet MMIS system for billing purposes?

A. *No, this is not a requirement.*

HCBS Policy Clarification Questions

Task	Basic Personal Care	Advanced Personal Care	Nursing Level of Care Tasks
Manual Assistance with self-administration of non-injectable medications	Opening medicine planner and guiding/ steadying participants hand, oxygen and equipment – adding distilled water, changing tube and cleaning equipment/ filter	Prompting participant, opening medicine planner and guiding/ steadying participants hand, steady hand for pin-prick blood sugar monitor/ PT INR and read levels	Filling the medicine planner/ administration of injectable medications, filling insulin syringes, administering blood sugar check or PT INR check finger prick tests
Catheter Hygiene	N/A	Emptying and changing the bag, cleaning (soap and water around catheter site) for indwelling or suprapubic catheters, removal/replacement of <u>external</u> (condom/Texas, etc.) catheters only; cleaning around tube feeding site	Catheter change of indwelling or suprapubic catheters.
Bowel Program	N/A	Enemas (prepackaged) and, sphincter stimulation, suppository administration for participants w/o contraindicating rectal or intestinal condition, Malone Antegrade Continence Enema (MACE) for well healed stomas	Administration of all other Enemas, removal of fecal matter digitally
Central Line Care	N/A	N/A	Flushing lines, dressings, blood draws
Ostomy Care- tracheostomies, gastrostomies and colostomies	N/A	Changing bags and soap and water hygiene around (change wafer) a well healed ostomy site	Insertion of treatments or medications

HCBS Policy Clarification Questions

Task	Basic Personal Care	Advanced Personal Care	Nursing Level of Care Tasks
Medicated lotion/ointment application	Application of nonprescription topical ointments or lotions	Application of prescription lotions, ointments and powders and/ or dry aseptic dressings to unbroken skin (including stage I decubitus)	Application of aseptic dressings to superficial skin breaks or Stage II, Stage III
Application of compression dressings	Application of Class I stockings	Lymphodema wraps and sleeves, and Class II dressings can be applied as long as participant can remove them on their own. An exception to the requirement of the participant being able to remove the compression device on their own would be if the participant has physician's orders to leave stockings on 24/7, placement and removal of physician ordered orthotics.	Compression dressings higher than a Class II
Mobility/ Transfer assistance	Assist with transfer/ ambulation when participant can partially bear their own weight, gait belt for mobility assistance	Use of assistive devices for transfer, including mechanical/hoyer, sit-to-stand, slide board, sling, Barton chair, trapeze, gait belts and pivot discs	
Passive Range of Motion (PROM)	N/A	With physicians order non-resistive flexion of joint within normal range	
Bathing	Assist with bathing including shampooing of hair		
Toileting/ Contenance	Assist in transporting to / from restroom, changing of bed linens		

HCBS Policy Clarification Questions

Task	Basic Personal Care	Advanced Personal Care	Nursing Level of Care Tasks
Dietary	Assist with meal prep/ clean up, and eating/ feeding, including participants requiring softened, pureed, liquid, or prep with a thickening agent for their diet	N/A	Tube feeding
Dressing / Grooming	Assistance in dressing/ undressing, combing hair, nail care, oral hygiene/ denture care, shaving, application of Class I compression stockings		Nail care for participants who are diabetic, prescribed anticoagulants, diagnosed with peripheral vascular disease or with a compromised immune system
Medically Related Household Tasks: Homemaker Services	cleaning kitchen, bath, living areas, changing linens, laundry (home/off site), iron/ mend, washing windows and blinds, trash, shopping/ errands, essential correspondence		

Medical Equipment

Medical Equipment should be authorized in the category to which it most closely relates.