



#### INTRODUCTION

The Independent Living Waiver (ILW) is a Home and Community Based Services (HCBS) 1915c waiver offered through the Division of Senior and Disability Services (DSDS) to participants receiving Consumer Directed Services (CDS), with a need for additional assistance to remain in the least restrictive environment.

The ILW offers several services, including additional CDS Personal Care, Case Management (CM), Environmental Accessibility Adaptations (EAA), Specialized Medical Equipment (SME), Specialized Medical Supplies (SMS), and Financial Management Services (FMS).

ILW expenditures must be cost effective in comparison to nursing facility costs based on the aggregate of all ILW participants' services. Cost effectiveness of the ILW is managed by Division of Senior and Disability Services (DSDS), Bureau of Federal Programs (BFP) as an administrative function.

#### PURPOSE

The ILW aims to establish and maintain a community-based system of care for individuals 18 years of age and older with disabilities. These individuals live in and wish to continue living independently in their homes and/or communities and self-direct their services.

#### ELIGIBILITY

All ILW participants must meet the following criteria:

- Initial entry into the ILW is limited to individuals 18 years of age to 64 years of age
  - Individuals who are enrolled in the ILW when they turn sixty-five (65) may remain enrolled in the ILW for as long as they maintain the ability and the desire to self-direct their personal care attendant services.
- Be physically disabled, as defined by [19 CSR 15-8](#)
- Loss of, or loss of use of, all or part of the neurological, muscular, or skeletal functions of the body to the extent that the person requires the assistance of another person to accomplish routine tasks
- Individuals with a cognitive impairment must have had the onset of the cognitive impairment on or after age twenty-two (22)
- Be able to self-direct their own [CDS](#)
- Be in active Medicaid status ([Medicaid Eligibility](#))
  - Participants eligible for Medicaid on a spenddown basis may be authorized to receive ILW during periods when spenddown liability is met.
    - When the participant has **not** met their monthly spenddown liability amount, the participant and

provider may make a private arrangement for the continued delivery of services. In these instances, the participant is responsible for the cost of services received.

- Participants who receive Medicaid due to eligibility for Blind Pension (BP) are **not** eligible and shall not be authorized for ILW.
- Participants in a 'Transfer of Property' penalty period may be authorized for ILW.
- Authorization of ILW does **not** meet the requirements for an individual to be eligible for Home and Community Based (HCB) Medicaid.
- Have an appropriate [Medicaid Eligibility \(ME\) code](#)
- Meet nursing facility level of care

### RESTRICTIONS

The ILW has the following restrictions:

- Must not reside in a facility of any kind, group home, or boarding home
- Must not be enrolled in any other waiver program, regardless of which state agency administers the waiver
- Shall not be selected as 'CDS Restricted' in the participant's electronic case record

Participants authorized for certain services through the Department of Mental Health (DMH) may not be eligible for services as outlined in this policy. Staff shall review [DMH Service Coordination](#) guidelines to ensure appropriate service authorization for participants receiving DMH services.

### LIMITATIONS AND WAITLIST

The ILW is limited to the following:

- A specific number of unduplicated participants during any waiver year
- The ILW year runs from July 1 of each year through June 30 of the following year
- If a participant leaves the ILW during a waiver year for any reason, the slot remains occupied for the duration of the waiver year
- If all available slots are full when a participant is determined eligible for the ILW, the participant will be placed on the ILW Waiting List
  - BFP will notify DSDS staff when slots are available.

The ILW Waiting List is managed and maintained by designated staff in BFP as part of the required waiver oversight functions. At the beginning of each ILW year, BFP shall:

- Notify each region of any available slots and provide a list of participants from the ILW Waiting List to be contacted
  - DSDS staff shall contact participant(s) at that time to re-verify eligibility for ILW enrollment and confirm the continued need for ILW services.

## SERVICE DESCRIPTION

### CDS PERSONAL CARE

The scope and nature of the ILW do not differ from [CDS State Plan](#) except for the ability of the participant to designate another individual to direct their care. The ILW provides additional CDS when approved state plan limits are exhausted. Additional services under the ILW are not limited in amount or frequency.

- Any participant who opts to delegate self-direction responsibilities (while still possessing the ability to self-direct) shall have all CDS authorized through the ILW. Participants who choose to delegate self-direction cannot be authorized through State Plan CDS.
- A physically disabled participant with a cognitive impairment that does not affect their ability to self-direct is authorized through the ILW only. Participants who choose to delegate self-direction cannot be authorized through State Plan CDS.

### FINANCIAL MANAGEMENT SERVICES (FMS)

FMS is provided to participants who receive CDS through the ILW to facilitate employment of attendants by the participant and assist with access to other ILW services, when needed. FMS providers perform the following functions:

- Assist participant in verifying the attendant's citizenship status
- Collect and process Electronic Visit Verification (EVV) records of attendants
- Process payroll, withholding, filing and payment of applicable federal, state, and local employment-related taxes and insurance
- Ensure all funds paid for attendants are used to pay the attendant's wages and all employment related taxes and insurance
- Ensure attendant is registered with the Family Care Safety Registry (FCSR)
- Provide information and assistance to the participant or designee in arranging for, directing, and managing services
- Assist in identifying immediate and long-term needs, developing options to meet those needs, and accessing identified supports and services
- Offer practical skills training to enable families and participants to independently direct and manage waiver services, including:
  - Providing information on recruiting, hiring, and managing attendants.
  - Providing information on effective communication and problem-solving.
  - Providing information to ensure that participants understand the responsibilities involved with directing their services.
  - Assist in the acquisition of necessary assistive technology services and/or devices such as:
    - Advocating for the participant by arranging for services with individuals, businesses, and agencies for the best available service within existing resources.
  - Assist participant in obtaining three (3) cost statements for the authorization of SME, SMS, and EAA, including:

- Assuring the purchase price includes the cost of training the participant in the operation and maintenance of equipment. The purchase price must also cover the cost of maintenance and upkeep of equipment:
- Ensuring that providers of equipment and supplies are enrolled with the MO HealthNet Division (MHD) as a State Plan Durable Medical Equipment (DME) provider, or be registered and in good standing with the Missouri Secretary of State's Office; and
- Ensuring providers for EAA are qualified and meet all state and local licensure and/or certification requirements. Contractors must have any required business licenses and meet all applicable building codes.

#### CASE MANAGEMENT (CM)

CM assists participants in gaining access to needed waiver and other State Plan services, as well as medical, social, educational, and other services, regardless of the funding source for the services needed.

**All** participants enrolled in the ILW shall be authorized for CM. Case managers employed by the HCBS provider must deliver and document at least 12 hours of CM per year, which includes at least monthly contact with the participant. Case Management is authorized as 1 unit per month.

- CM may include any of the following activities:
  - Identification of abuse, neglect, and/or exploitation
  - Monitoring the provision of services in the participant's care plan
  - Review of the care plan and the participant's needs, which shall include monthly contacts and face-to-face visits with the participant as deemed necessary
  - Assisting participants with full access to a variety of services and service providers to meet their specific needs, regardless of funding source

#### ENVIRONMENTAL ACCESSIBILITY ADAPTATIONS (EAA)

EAA are physical adaptations to the participant's home necessary to ensure the health and safety of the participant, and/or enable the participant to function with greater independence in the home and community. Authorization of EAA is limited to \$5,000 in a five-year period. A unit is based on the actual cost of work ([Services Units and Rates](#)).

- Examples of appropriate modifications include:
  - The installation of ramps and grab-bars, widening of doorways, and the modification of bathroom facilities
  - Installation of specialized electric and plumbing systems that are necessary for the safety of the participant
  - Other modifications that directly impact health and safety of the participant
- Excluded are adaptations or improvements to the home which are of general utility and are not of direct medical or remedial benefit to the participant, such as carpeting, roof repair, central air conditioning, etc.
- Authorizations of EAA may only be authorized when the FMS provider has verified and documented that no other resources are available to meet the need

- Participants who live in rental property shall first request the landlord make any necessary modifications and/or give permission for the work to be done

#### SPECIALIZED MEDICAL EQUIPMENT (SME)

SME includes devices, controls, or appliances that enable participants to increase their ability to perform activities of daily living. Authorization of SME is limited to \$5,000 in a five-year period. A unit is based on the actual cost of the device ([Service Rates and Units](#)). Examples include:

- Lift chairs, commode chairs, patient lifts, trapeze equipment, oxygen, respiratory equipment, shower benches, augmentative communication devices, canes, walkers, or wheelchairs

#### SPECIALIZED MEDICAL SUPPLIES (SMS)

SME include items that will enable a participant to increase their ability to perform activities of daily living. A unit is based on the actual cost of supplies ([Services Units and Rates](#)). Examples include:

- Incontinence supplies, such as adult diapers and disposable bed pads
  - When a participant currently receives incontinence supplies through the Medicaid exception process, and the participant is being enrolled in the ILW, staff shall request authorization of SMS through the ILW.

**NOTE:** EAA, SME, and SMS shall **only** be authorized when it can be documented that such authorization will decrease the current authorization of or future need for personal care assistance services, either through the State Plan or the ILW.

SME and SMS shall be authorized **only** when it can be documented that these services cannot be covered by another source, such as Medicaid or Medicare covered Durable Medical Equipment.

#### PROCESS

When the potential need for an ILW service is identified during an assessment or other participant contact, DSDS staff shall complete the following as part of the [Person Centered Care Planning \(PCCP\)](#) process:

- Verify participant's age, Medicaid eligibility status, and ME code
- Ensure participant is or will be enrolled in State Plan CDS
  - Persons with a cognitive impairment who choose to designate another person to direct their services shall be enrolled for CDS in the ILW (when a slot is available), as they are not eligible for State Plan CDS.
- Verify that participants already receiving State Plan CDS are consistently utilizing those services
- Verify that the participant is not enrolled in any other waiver
- Ensure the participant's electronic case record contains a [Participant Choice Statement](#) signed within the last 365 days
- Review the participant's electronic case record to ensure that information regarding the participant's needs is consistent throughout (e.g. needs identified during the assessment coordinate with the services and tasks to be authorized)

- Update existing case information as needed to reflect correct marital status/living arrangement, physician, responsible party, safety concerns, and other information relevant to care planning
- Verify and document the participant's ability to self-direct their care
- Verify and document with the provider and/or participant that services requested are not available from any other resource
- Complete and upload a draft [CDS Worksheet](#) that reflects all State Plan CDS and ILW services and tasks needed.
  - The worksheet shall provide a clear explanation of why each task is being requested and why the amount of time requested is appropriate.
  - When other services are or will be authorized, DSDS staff shall complete an [In Home Services Worksheet](#) to indicate which services and tasks will be included.
- Document all contacts and actions in the participant's electronic case record, including any changes since the last assessment, unmet needs, support systems, a reference to the uploaded worksheet(s), and other issues that impact the need for services not already outlined in the worksheet.

#### PROVIDER CHOICE

ILW participants must select a Home and Community Based Service (HCBS) provider that is enrolled as a CDS provider with the Department of Social Services (DSS), the Missouri Medicaid Audit and Compliance Unit (MMAC). The provider must also be approved to provide ILW and the services under the waiver.

Payment is made to the HCBS provider, as Medicaid only pays contracted providers. However, the participant is still considered the employer of the attendant.

#### APPROVAL

DSDS staff shall submit all requests for ILW services to their supervisor for review. The supervisor shall:

- Review to ensure all steps are complete and the participant's unmet needs require the service(s) requested
- After review, forward the request to BFP for approval, along with documentation that supports the request for the authorization of ILW

**NOTE:** Pending approval from BFP to authorize ILW, State Plan CDS shall be authorized up to 60% of the current cost maximum, depending on participant need.

BFP will review the ILW request and shall:

- Request clarification or additional details for approval if necessary
- Determine if an ILW slot is available and shall notify the supervisor to enroll the participant upon approval
- If an ILW slot is not available, BFP shall:
  - Complete an Acuity-Based Worksheet to determine the participant's needs and placement on the ILW Wait List, upload the form to the participant's electronic case record and notify the supervisor of the decision.
  - The Acuity Total is determined by transferring the times and tasks from the Care Plan Supplement for

CDS, developed with the participant, to the priority categories listed on the Acuity Based Worksheet.

- Any changes to the participant's Acuity Total shall be updated on the ILW Waiting List.
- Participants with the highest Acuity Total will be considered first for any available slots.
- A participant's position on the ILW Waiting List may change from day-to-day based on the addition or deletion of other participants to the list.

When a participant is placed on the ILW Waiting List, they have ninety (90) calendar days to appeal their position on the list. DSDS staff shall:

- Complete a [Waiting List Notice for ILW Services](#) and forward it to the participant, along with a copy of the Acuity-Based Worksheet
  - Lack of an available slot in the ILW is **not** subject to adverse action and appeal rights.

When a participant has been placed on the ILW Waiting List, DSDS staff shall notify BFP of all changes made to the participant's PCCP. BFP will update the Acuity-Based Worksheet and the participant's information on the ILW Waiting List as necessary. Changes may include:

- Addition or deletion of services and tasks, whether during reassessment or resulting from other contacts
- Suspension of services
- Case closing
- Any action affecting the participant's eligibility or need for ILW services

The supervisor will be notified if the request is not approved for any reason. Denial of a request for ILW enrollment requires DSDS staff to send a [Notice of Adverse Action](#) to the participant and is subject to appeal rights ([Adverse Action](#) and [Appeal and Hearing Process](#)).

**NOTE:** When a DSDS designee completes a reassessment and identifies a need for ILW services, DSDS staff shall follow the same process to verify eligibility and need for ILW services before submitting the request to BFP.

## AUTHORIZATION

The following will guide prior authorization in the electronic case management system for both State Plan CDS and ILW services upon approval from BFP.

### CDS State Plan Personal Care

- On the Care Plan Builder Page, select 'Add Service'
- From the 'Service Type' drop down select 'Personal Care Assistance – CDS'
- Select 'Add Task'
  - Choose all the tasks to be authorized as CDS Personal Care
  - Add tasks up to the 60% cost maximum; tasks beyond the 60% cost maximum will be authorized under Independent Living Waiver
- Select the Provider
- Adjust the start date, as needed

### ILW Personal Care

- On the Care Plan Builder Page, select 'Add Service'
- From the 'Service Type' drop down select 'Personal Care Assistance – ILW'
- Select 'Add Task'
  - Choose all tasks to be authorized as Personal Care – ILW
  - Be careful not to duplicate tasks already authorized under Personal Care Assistance – CDS
- Select the Provider
- Adjust the start date, as needed

### Case Management

- CM will populate automatically when 'Personal Care – Independent Living Waiver' is selected on the Care Plan Builder
- Case Management will auto populate 1 unit per month with the same date range as Personal Care - Independent Living Waiver
- If Personal Care Assistance – Independent Living Waiver is deleted, CM will be deleted
- Select the Provider
- Adjust the start date, as needed
- In rare instances a participant can be authorized for Case Management without the need for personal care above the 60% cost maximum. In these instances, CM can be added to the care plan manually
  - On the Care Plan Builder Page, select 'Add Service'
  - From the 'Service Type' drop down select 'Case Management'
  - Select 'Add Task'

### Financial Management Services

- FMS will populate automatically when 'Personal Care – Independent Living Waiver' is selected on the Care Plan Builder
- FMS will auto populate 1 unit with the same date range as Personal Care -Independent Living Waiver
- If Personal Care Assistance – Independent Living Waiver is deleted, FMS will be deleted
- Select the Provider
- Adjust the start date, as needed
- When CM is added to the care plan without the need for additional Personal Care, Financial Management will also be required.
  - On the Care Plan Builder Page, select 'Add Service'
  - From the 'Service Type' drop down select 'Financial Management'
  - Select 'Add Task'

**PROVIDER SERVICE DELIVERY**

Providers should deliver all tasks outlined under both State Plan and ILW as State Plan until the 60% cost maximum has been exhausted. Once the 60% has been exhausted, providers should transition to delivering all tasks under ILW for the remaining portion of the month. This delivery method should be consistent for electronic visit verification entries and billing claims.