

Quick Guide: Lichtenberg Assessments

The Lichtenberg Financial Decision Tracker

The Lichtenberg Financial Decision Tracker (FDT) is a person-centered tool for analyzing the integrity of an adult's financial decision-making abilities and identifying when adults are at risk of financial exploitation and fraud. The FDT shall be completed in person on eligible adults when there is an allegation of financial exploitation and one of the following exists:

- There is a capacity concern;
- The eligible adult has or is being considered for a DPOA, guardianship, or conservatorship and/or
- There has been a history of previous allegations of financial exploitation in the last six months in which the eligible adult was reported to be involved in a financial decision.

When discussing the FDT with the eligible adult, the APS Specialist may use the following or a similar lead-in:

- I would like to discuss (specific financial decision), so I understand how you came to your decision. The Financial Decision Tracker is a tool we use to understand your financial decision-making abilities.

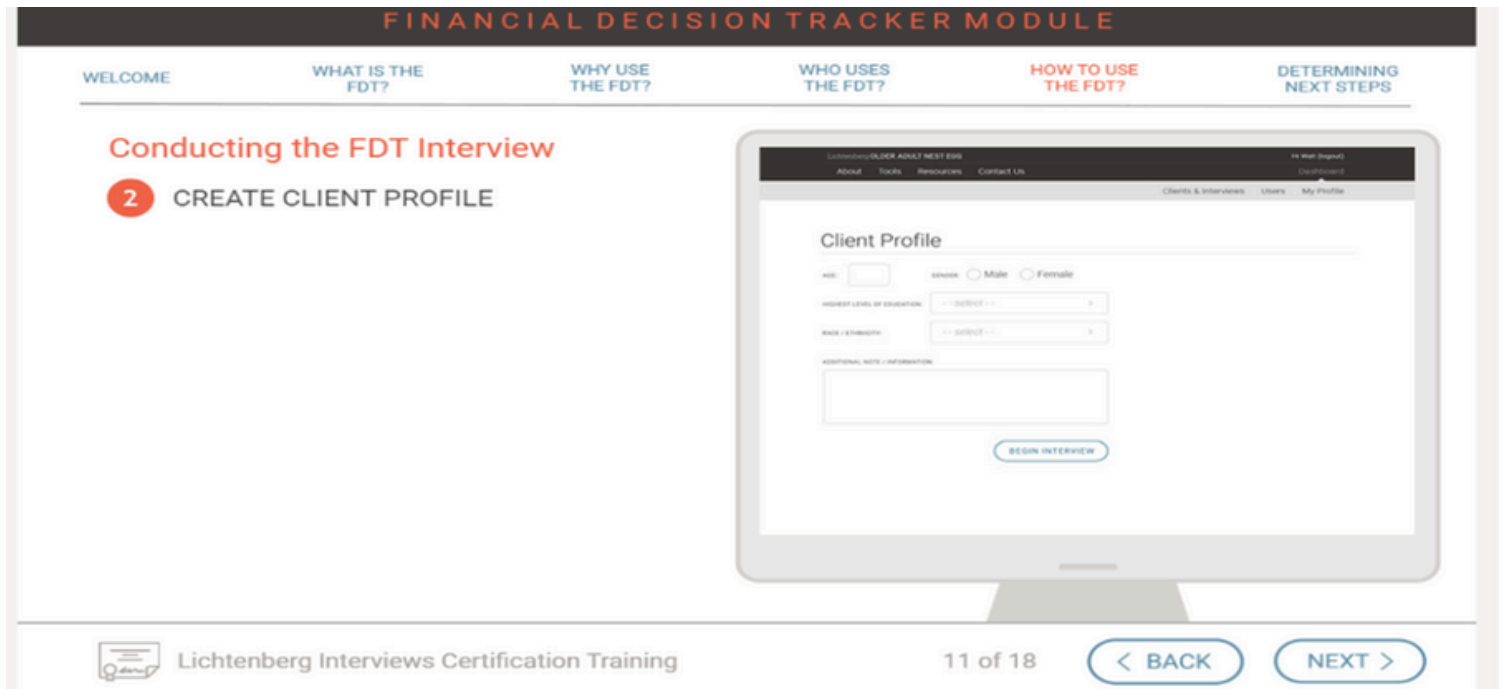
Before conducting the FDT, the APS Specialist shall obtain the eligible adult's consent to complete the tracker and document that consent was given in case notes. The following is an example of what could be said to the eligible adult when asking for permission to conduct the Financial Decision Tracker:

- Due to the nature of the financial decision (or transaction), I would like some additional information. With your permission, can I ask you some questions about the financial decision (or transaction)? Answer the questions as best you can. Feel free to elaborate on any of your answers.

The FDT consists of ten multiple-choice questions and can be completed online or on a printed copy. The Tracker can be found at the following online address: [Profile \(olderadultnestegg.com\)](https://profile.olderadultnestegg.com). The APS Specialist must complete a client profile for the eligible adult. The profile will assign the eligible adult a client ID number as the eligible adult's identifying information is not entered.

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Below is a picture of the online profile:



The APS Specialist should read the ten questions exactly as they are written. The eligible adult's answer to the questions should be written down and/or entered online. "Don't Know" or "Inaccurate Response" should be selected if the eligible adult does not know the answer or provides an inaccurate answer in the APS Specialists professional opinion. Once the eligible adult's answers have been entered, the APS Specialist will answer the ten questions based on what answer they think was most accurate. Once all the questions have been answered, the website will provide one of the following scores:

- No Concerns
- Some Concerns
- Major Concerns

The website will also give the APS Specialist recommendations on the next steps. If the score for the eligible adult is not accurate, the APS Specialist can adjust it to reflect a more accurate assessment of the situation. The FDT results should be printed and uploaded as an attachment to the electronic case file.

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Family and Friends Interview

The Lichtenburg Family and Friends Interview (FFI) is a structured, multiple-choice interview of the eligible adult's relative(s), friend(s), or trusted professional(s) that indicates risk, perception, or how concerned the relative or friend is about the eligible adult's financial decision-making and vulnerability to financial exploitation. The FFI allows for a third-party perspective on the eligible adult's specific transaction or financial decision-making.

The FFI should be completed with any involved adults when there are concerns about financial exploitation. Most often, the FFI will be used in conjunction with the FDT. The FFI should not be completed with an alleged perpetrator or any other person who may benefit from the eligible adult's financial decisions.

The FFI contains fourteen questions and can be completed online or on a printed copy. The FFI is used by professionals to examine psychological vulnerability and decision-making skills. The APS Specialist will not rate any items on the interview.

Before conducting the FFI, the APS Specialist shall obtain the family/friend's consent to complete the interview and document that consent was given in case notes. The following is an example of what could be said to the family/friend when asking for permission to conduct the interview:

- I want to better understand (eligible adult's name) financial decision-making and general attitudes about finances. To accomplish this, it is helpful to have your viewpoint. I would like to ask you some questions about a recent financial decision made by (eligible adult's name) and about their general attitudes. May I proceed?

The FFI can be found at the following online address: [Profile \(olderadultnestegg.com\)](https://olderadultnestegg.com). The APS Specialist must complete a family/friends profile. The profile will assign a client ID number as the personal identifying information is not entered. The eligible adult's profile information will be requested as part of the profile creation.

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Below is a picture of the online profile:

FAMILY & FRIENDS INTERVIEW MODULE

WELCOME
WHAT IS THE FFI?
WHO USES THE FFI?
HOW TO USE THE FFI?
DETERMINING NEXT STEPS

Conducting the FFI

2 CREATE FAMILY/FRIEND CLIENT PROFILE

Family & Friends Interview (FFI) - 14 Questions
Approximate time: 10 min. | www.OlderAdultNestEgg.com | © Peter A. Lichtenberg, PhD, 2014

A. Client Name				B. Name of relative or friend being interviewed			
CLIENT ID #	DATE OF BIRTH	AGE	HOW LONG KNOWN OLDER ADULT (YEARS)	RELATIONSHIP TO THE OLDER ADULT			
	/ /						
GENDER	HIGHEST LEVEL OF EDUCATION	RACE/ETHNICITY	AGE	GENDER	HIGHEST LEVEL OF EDUCATION	RACE/ETHNICITY	

C. Interviewer Name (PRINT) _____ **INTERVIEWER ID#** _____ **SIGNATURE OF INTERVIEWER** _____ **DATE** / /

Consent Example:

We want to better understand your relative's or friend's financial decision-making and general attitudes about finances. To accomplish this, it is helpful to have your viewpoint. I would like to ask you some questions about a recent financial decision made by your relative or friend and about their general attitudes. May I proceed?

To receive a Risk Score, you must enter this interview at:
www.OlderAdultNestEgg.com

D. Final Score	E. OlderAdultNestEgg.com Interview #
No or Low Perceived Risk <input type="checkbox"/>	Moderate to High Perceived Risk <input type="checkbox"/>

Once all the questions have been answered, the website will provide a results page with the following:

- Risk Perception Score;
- Risk Perception Rating with subscale risks which reveal strengths and deficits;
- Next Steps and third-party resources; and
- Full Interview transcript

If paper copies are used, the answers to the questions must be entered online and saved. Results from the FFI shall be uploaded as an attachment to the electronic case file.

Financial Decision Tracker (FDT) Decision Tree

