

## VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

(Please indicate) State Agency: **Missouri**

for **FY2023**

The review of certification, eligibility and coordination of services involves the process of determining and documenting participant eligibility (income eligibility as well as nutritional risk determination, standards, and criteria), and the coordination of certification activities with other health services.

During a disaster or public health emergency, the State agency may request to implement existing WIC regulatory and programmatic flexibilities to support the continuation of Program benefits and services. State agencies should consider the overarching authority, i.e., Stafford Act or provision(s) authorized by Congress, before developing a policy and procedure. The State agency must provide a detailed description of how it plans to operationalize the flexibility through their procedure manual where applicable. Please note the State Plan Guidance is not intended to capture a description of waivers authorized by Congress with separate reporting requirements, i.e., the Families First Coronavirus Response Act (PL 116-127).

More recently, Executive Order (EO) 13988, "*Preventing and Combating Discrimination on the Basis of Gender Identity or Sexual Orientation*," was issued to all Federal Agencies. The EO set out policies that all persons are entitled to dignity, respect, and equal treatment under the law, no matter their gender identity or sexual orientation. The EO does not usurp section 17 of 42 U.S.C, as amended or applicable regulations. However, where appropriate, State agencies may update their policies and procedures to align with the contents of the EO

- A. [Eligibility Determination and Documentation - 246.7\(c\)\(1\); 2\(1\); 246.7\(d\)\(1\); \(2\)\(v\)\(B\)](#): describe the policies and procedures for determining and documenting eligibility including the application process, residency requirements, identity requirements, documented physical presence or valid exception; proof of categorical eligibility, income limits, income eligibility documentation, determination of special populations and a definition of and policy toward the economic unit.
- B. [Nutrition Risk Determination, Documentation, and Priority Assignment - 246.4\(a\)\(11\)\(i\)](#): describe the policies and procedures for determining and documenting nutritional risk and priority assignments. Include a copy of the nutritional risk criteria the State agency plans to use with the appropriate documentation.
- C. [Health Care Agreements, Referrals, and Coordination - 246.4\(a\)\(6\); \(7\); \(8\) and \(19\)](#): describe the procedures for coordinating agreements and services with other health care providers at the State and local agency level including procedures to ensure that benefits are provided to persons with special needs.
- D. [Processing Standards - 246.4\(a\)\(11\)\(i\); 246.7\(f\)\(2\)](#): describe the State agency's processing procedures to ensure that the required standards and timelines are met.
- E. [Certification Periods - 246.4\(a\)\(11\)\(i\); 246.7\(g\)](#): describe the policies and procedures used to establish certification periods for participants and the autonomy (if applicable) granted to local agencies in determining eligibility time periods.
- F. [Transfer of Certification - 246.4\(a\)\(6\); \(11\)\(i\); and 246.7\(k\)](#): describe the State agency's procedures for the transfer of certification and VOC cards ensuring that vital participant and program information is included.
- G. [Dual Participation, Participant Rights and Responsibilities, Fair Hearing Procedures, and Sanction System - 246.4\(a\)\(11\)\(i\); \(16\); \(17\) and \(18\); 246.7\(h\); 246.7\(i\)\(10\); 246.7\(j\); 246.7\(l\)](#): describe the procedures used to detect and prevent dual participation at the State and local level, the procedures for ensuring participants are notified of their rights and responsibilities, and the procedures regarding participant fair hearings and sanction system.

**A. Eligibility, Determination, and Documentation**

**1. Application Process**

- a. The State agency requires all local agencies to use a standardized application process for all persons applying for the WIC Program**

☒ Yes ☐ No

- b. The State agency shares ☐ Statewide or ☐ at local agency (check one), a common income application or certification form with (check all that apply):**

☒ No other benefit programs ☐ Medicaid  
☐ TANF ☐ SNAP  
☐ Maternal and Child Health (MCH) ☐ Other reduced-price health care program(s)  
☐ Other (specify):

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

8.1.130 Income Assessment and Documentation

**2. Residency, Identity and Physical Presence Requirements**

- a. The State agency requires documentation of residency**

☒ Yes

☒ Signed statement that documentation of residency information is not available and why (e.g., homeless, theft, fire) ☐ No (Specify why, e.g., ITOs and Alaska natives who are exempt from this requirement):

- b. The State agency has reciprocal agreements concerning residency with other State agencies**

☒ Yes; list States: Arkansas, Illinois, Iowa, Kansas, Kentucky, Oklahoma, and Tennessee.

☐ No

Describe any reciprocal agreements:

The MOA is designed to identify, prevent, and eliminate dual participation by exchanging files semiannually that contain participant information for all active WIC participants who reside in contiguous border counties.

Missouri has justification for no agreement with Nebraska.

Appendix A – WIC Dual Participation Analysis Nebraska

Appendix B – WIC Dual Participation MOU – Arkansas

Appendix C – WIC Dual Participation MOU – Kansas

Appendix D – WIC Dual Participation MOU – Kentucky

Appendix E – WIC Dual Participation MOU – Oklahoma

Appendix F – WIC Dual Participation MOU – Tennessee

Appendix G – WIC Dual Participation MOU – Iowa

Appendix H – WIC Dual Participation MOU – Illinois

- c. The State agency has special residency policies and procedures for how the following special categories should be treated (check all that apply):**

☒ Homeless applicants ☐ Institutionalized applicants

☒ Migrants ☐ Indian Tribal Organizations

☐ None ☒ Other (specify): Military, other mobile populations

- d. The State agency allows the following as proof of identity; please select all that apply.**

- ☒ Driver's license
- ☒ Passport
- ☒ State issued identification card
- ☒ Employer issued identity card
- ☐ Documentation from participation in a means-tested program.
- ☒ Other (please list all that are accepted) Birth certificate, eWIC card with PIN, Foster care placement letter, Health insurance or Medicaid card, Hospital record, Immunization record, Military identification card, Naturalization papers, School identification card, Social security card, Social services benefits letter, Voter registration card, Exception- signed statement- see policy 8.1.210 Proof of Identity

**e. The State agency requires physical presence of the applicant or a valid exception to be documented:**

- ☒ Yes except for the following condition(s):
  - ☒ Applicant or parent/caretaker is an individual with disabilities which prevent him/her from being physically present at the WIC clinic (e.g., medical equipment, bedrest or serious illness exacerbated by coming into clinic).
  - ☒ Applicant is an infant or child receiving documented ongoing health care from any health care provider, including the local agency; being physically present would pose an unreasonable barrier; and the infant or child was present at his/her initial WIC certification.
  - ☒ Applicant is an infant under 8 weeks of age who cannot be present at the time of certification (for a reason determined appropriate by the local agency) and for whom all necessary certification information is provided.
  - ☒ Applicant is an infant or child who was present at his/her initial certification; was present at certification within the one-year period of the most recent determination; and is under the care of one or more working parent, or under the care of primary working caretakers whose status presents a barrier to bringing the infant or child in to the WIC clinic.

**3. The State agency requires applicants to submit proof of categorical eligibility for (check all that apply):**

- ☒ All pregnant women
- ☒ Pregnant women not visibly pregnant
- ☒ Postpartum women
- ☒ Children
- ☒ Infants
- ☐ Other (specify):

**4. Income Limits for Eligibility**

**a. The State agency gross income limit for income eligibility is 185% of the federal income guidelines**

- ☒ Yes, with no local agency exceptions
- ☐ Yes, with local agency variation
- ☐ No, with no local agency exceptions  
(specify State maximum percent of poverty:      %)
- ☐ No, with local agency variation  
(specify State maximum percent of poverty:      %)

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
[8.1.130 Income Assessment and Documentation](#)

**b. The State agency implements income eligibility guidelines concurrently with Medicaid**

- ☐ Yes
- ☒ No

**ADDITIONAL DETAIL: Please attach a copy of the income guidelines in the Appendix or the**

appropriate citation in the Procedure Manual. Certification and Eligibility Appendix and/or Procedure Manual (citation): [Appendix I – Missouri WIC Income Guidelines 2022 - 2023](#)

- c. The State agency requires documentation of an applicant's, or certain family members' eligibility to receive benefits in the following means-tested programs that confer adjunctive income eligibility for WIC, as set forth in [246.7\(d\)\(2\)\(vi\)](#):

**Poverty Level**

- |  |                     |
|--|---------------------|
| <input checked="" type="checkbox"/> TANF (specify State "percent of poverty") Consolidated Need Standard | 185% 34.536% of the |
| <input checked="" type="checkbox"/> SNAP   | 165%                |
| <input checked="" type="checkbox"/> Medicaid (specify State "percent of poverty" for each)               | 196%                |
| <input checked="" type="checkbox"/> Pregnant women and infants   | 196%                |
| <input checked="" type="checkbox"/> Children   | 148%                |
| <input type="checkbox"/> Other categorically eligible women  | %                   |

- d. The State agency uses documented eligibility for participation in other means-tested programs to establish automatic WIC income eligibility (check all that apply, and the poverty levels used for each):

**Poverty Level**

- |   |   |
|---|---|
| <input type="checkbox"/> Free or Reduced-Price School Meals   | % |
| <input type="checkbox"/> Supplemental Security Income (SSI)   | % |
| <input type="checkbox"/> Other State-provided health insurance (specify State "percent of poverty" maximum %) | % |
| <input type="checkbox"/> Food Distribution Program on Indian Reservations (FDPIR)                             | % |
| <input type="checkbox"/> Other (specify):   | % |

- e. Individuals are required to document that they or a family member are certified as eligible to receive TANF, Medicaid, or SNAP benefits or, under the State option, certified as eligible to receive benefits in State- administered programs by providing:

- ☐ Program ID card (only if it includes dates of eligibility) or notice of current eligibility
- ☒ Documentation of participation in State-administered programs (and such programs require documentation of income and have income guidelines at or below WIC's income guideline of 185% of poverty). (Program[s]: [SNAP](#), [MO HealthNet](#), [TANF](#))

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
[8.1.130 Income Assessment and Documentation](#)

**5. Income Eligibility Documentation**

- a. For WIC applicants whose income eligibility is not based on adjunctive or automatic income eligibility in another means-tested program, the State agency requires (check all that apply):
- ☒ Documentation of income information
- ☒ Signed statement that documentation of income information is not available and why

- ☒ Notation in the participant record if the applicant declares no income and why  
☐ Other (specify):

**b. Exceptions to income documentation are made for the following:**

- ☒ The necessary information is not available  
☒ The income documentation presents an unreasonable barrier to participation as determined by the State agency  
☒ Those applicants with no income  
☒ Those applicants who work for cash  
☒ Other (specify): Victims of natural, man-made or other disasters

**c. If the applicant does not supply the necessary documentation at the certification appointment, local agencies are generally instructed to do the following:**

- ☐ Certification process is terminated, and no food instruments/cash-value vouchers are provided; appointment rescheduled  
☒ Temporary certification (not to exceed 30 days) for applicants that have one qualifying nutrition risk and are able to present at least two of the three required documents (identification, residency, and income) during a certification appointment is completed and food instruments are provided. However, if applicant does not provide documentation within 30 days, certification expires, and a new eligibility determination must be conducted.  
☐ Other (specify):

**d. The State agency requires ☐ State-wide, or ☐ at local agency discretion (check one), the verification of applicant income information, if determined necessary**

- ☒ No  
☐ Yes (check all sources required, as appropriate):  
☐ Employer  
☐ Public assistance offices  
☐ State employment offices (wage match, unemployment)  
☐ Social Security Administration  
☐ School districts/offices  
☐ Collateral contacts  
☐ Other (specify):

**e. The State agency has specific policies that define actions to be taken at a mid-certification appointment if a participant's income changes.**

- ☒ Yes; Please specify 8.1.130 Income Assessment and Documentation  
☐ No

**f. The State agency allows documentation of alternate income procedures for Indian or Indian Health Service (IHS) operated local agencies.**

- ☐ Yes ☐ No ☒ Not Applicable

**g. The State agency has a specific policy that addresses income from benefits provided by a State-administered programs.**

- ☒ Yes ☐ No

**h. The State agency has a specific policy to ensure that certain types of income, such as combat pay or Family Subsistence Supplemental Allowance (FSSA) payments for households that include service**

members, are excluded from consideration in the WIC income eligibility determination, as provided by law and regulation.

☒ Yes ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**8.1.130 Income Assessment and Documentation**

6. In determining an applicant's income eligibility for WIC, the State agency excludes basic allowance for housing received by military services personnel residing off military installations and in privatized housing, whether on- or off-base.

☒ Yes, State-wide ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**8.1.130 Income Assessment and Documentation**

7. The State agency excludes cost-of-living allowances for military personnel on duty outside of the contiguous 48 States (OCONUS COLA) from applicant income for purposes of WIC income determination.

☒ Yes, State-wide ☐ No

8. In determining an applicant's income eligibility for WIC, the State agency excludes payments given to deployed military service members. These payments are in accordance with Chapter 5 of Title 37 of the U.S.C.

☒ Yes, State-wide ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**8.1.130 Income Assessment and Documentation**

9. In determining an applicant's income eligibility for WIC, the State agency calculates multiple income sources received by an applicant's household at different frequencies in accordance with WIC Policy Memo 2011-7 and compares the sum to the established WIC IEGs.

☐ Yes, State-wide ☒ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**The income calculator within MOWINS has a defect and is scheduled to be fixed in SPIRIT web.**

10. The State agency defines the economic unit in accordance with WIC Policy Memo 2013-3.

☒ Yes ☐ No (if no, why not):

**Provide the definition of an economic unit used by the State agency in the Appendix or the appropriate citation in the Procedure Manual.**

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**8.1.140 Determining Household Size**

11. The State agency has specific policies or lists examples concerning the determination of the economic unit for (check all that apply):

☒ Foster children  
☒ Divorced/legally separated parents; step parents

- ☒ Absentee spouse (military hardship tours, etc.)
- ☒ Cohabitation
- ☒ Institutionalized applicants (including incarcerated applicants)
- ☒ Homeless applicants
- ☒ Minors ("emancipated" minors)
- ☒ Separate economic units under the same roof
- ☒ Striker/unemployed
- ☒ Students away at school
- ☒ Self-employed applicants
- ☒ Other (specify): **Breastfeeding woman or teen**

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

### **8.1.140 Determining Household Size**

#### **12. Mid-Certification Disqualification**

- a. **The State agency ensures that local agencies are required to stipulate that an individual is not automatically disqualified mid-certification since she/he no longer participates in one or more of the Programs for which they were originally determined adjunctively/automatically income eligible.**

☒ Yes ☐ No

- b. **WIC regulations specify that when income eligibility is reassessed mid-certification, State/local agencies are required to reevaluate the Programs for which the individual could be determined adjunctively/automatically income eligible. If the individual cannot qualify based on eligibility for one of these Programs, eligibility must be determined based on WIC income guidelines and disqualification made only after all options are exhausted. The State agency ensures its policy and procedures comply with this requirement:**

☒ Yes ☐ No

#### **B. Nutrition Risk Determination, Documentation and Priority Assignment**

##### **1. Nutrition Risk Determination and Documentation**

- a. **Professionals authorized by the State agency as Competent Professional Authorities (CPAs) to determine nutritional risk include (check all that apply):**

| <u><b>Qualification<br/>Priorities</b></u> | <u><b>Can certify for:</b></u> |                                     |
|--|--------------------------------|-------------------------------------|
|  | <u><b>Priorities I-III</b></u> | <u><b>All</b></u>                   |
| RD or Masters Level Nutritionist           | <input type="checkbox"/>       | <input checked="" type="checkbox"/> |
| Bachelor's Level Nutritionist              | <input type="checkbox"/>       | <input checked="" type="checkbox"/> |
| Physician                                  | <input type="checkbox"/>       | <input checked="" type="checkbox"/> |
| Physician Assistant                        | <input type="checkbox"/>       | <input checked="" type="checkbox"/> |
| Registered Nurse                           | <input type="checkbox"/>       | <input checked="" type="checkbox"/> |
| Licensed Practical Nurse                   | <input type="checkbox"/>       | <input type="checkbox"/>            |
| Home Economist                             | <input type="checkbox"/>       | <input type="checkbox"/>            |
| Paraprofessional                           | <input type="checkbox"/>       | <input type="checkbox"/>            |

Other (Specify): Dietetic Technician, Registered

**b. The State agency authorizes local agencies to (check all that apply):**

- ☒ Conduct      ☒ Anthropometric and      ☒ Hematological measurements
- ☒ Use medical referral data for ☒ Anthropometric and ☒ Hematological measurements
- ☐ Conduct measurements only when medical referral data are unavailable
- ☐ Use data from a state Health Information Exchange (including access to medical referral data via a participant/physician portal)

**c. The State agency uses only FNS-approved nutrition risk criteria, as referenced in Policy Memorandum #2011-5, WIC Nutrition Risk Criteria, and transmittal memorandum (dated December 17, 2020) that list the revised risk criteria requiring implementation by 10/1/2022, published on the FNS PartnerWeb, to document nutrition risk.**

- ☒ Yes      ☐ No

**Please append a copy of the revised nutrition risk criteria in its entirety to this State Plan.**

Appendix J - Risk Factor Summary Sheet and Priority Sheet 07-22

Appendix K – Nutrition Risk Criteria Changes for FY 2023

**d. The State agency modifies nutrition risk criteria such that criteria definitions are more restrictive than nationally established definitions.**

- ☐ Yes (list criteria):
- ☒ No

**e. Hematological risk determination:**

**The State agency requires (check one of the following):**

- ☐ Bloodwork data to be collected at the time of certification (Statewide).
- ☒ Bloodwork data to be collected within 90 days of certification, so long as the participant is determined to have at least one qualifying nutritional risk at the time of certification (Statewide), and the State has implemented procedures to ensure receipt of data.

**The State agency ensures that hematological assessment data are current and reflective of participant status, to include a bloodwork periodicity schedule that conforms to the requirements as described in 246.7(e)(1)(ii)(B).**

- ☒ Yes      ☐ No

**The State agency allows local agencies the option of obtaining bloodwork on children ages 2-5 annually if prior certification results were normal.**

- ☒ Yes      ☐ No

**f. Anthropometric risk determination:**

**The State agency allows (check one):**

- ☒ Anthropometric data for certification to be no older than 60 days (Statewide)
- ☐ A shorter (less than 60 days) limit on age of anthropometric data for certification

**g. Nutrition assessment:**

- (i) Local agencies are required to perform a complete nutrition assessment (as described in the *Value Enhanced Nutrition Assessment [VENA] Guidance*) for all participants.**



☒ Yes    ☐ No (explain):

- (ii) **Local agencies are required to perform a mid-certification nutrition assessment (as described in the *Guidance for Providing Quality Nutrition Services during Extended Certification Periods*) for all participants with and extended certification period.**

☐ Yes    ☒ Not Applicable: (The State agency does not utilize the extended certification option for any participant category)

- (iii) **The State agency policy requires that nutrition assessment intake information be collected on a State agency mandated form or Management Information System (MIS).**

☒ Yes    ☐ No

**If yes, attach mandated forms (or MIS screen shots) or specify location in the procedure manual and reference below.**

Appendix L - WIC-35 – WIC Nutrition Assessment for Children Ages 1-5

Appendix M - WIC-36 – WIC Nutrition Assessment for Women

Appendix N - WIC-37 – WIC Nutrition Assessment for Infants

**If no, the State agency assures quality of nutrition assessment by:**

- ☐ Requiring local agencies to submit forms for approval  
☐ Annually monitoring the locally developed forms during local agency review  
☐ Other (specify):

- (iv) **Dietary assessment is based on professionally recognized guidelines (e.g., Dietary Guidelines for Americans, My Plate Food Guide, American Academy of Pediatrics)**

☒ Yes (specify): Dietary Guidelines for Americans, MyPlate Food Guide, American Academy of Pediatrics, USDA Risk Factor Justification  
☐ No (explain):

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (cite):**

8.1.070 Guidelines for Annual Certifications and Mid-Certification Assessment (MCA) Data Collection and Risk Factor Assignment

**2. Documentation**

- a. **The State agency requires documentation in the applicant's case file for all nutrition risk criteria used to establish WIC eligibility (check one) (as described in FNS Policy Memorandum #2008-4, WIC Nutrition Services Documentation):**

- ☒ Yes, supported by a written "exceptions" policy (e.g., policies to direct clinic staff in situations in which documentation is unavailable)  
☐ Yes, with CPA discretion when to waive documentation requirement (no written policy)  
☐ No (explain):

- b. **As a matter of policy, the State agency requires the documentation of nutritional risk criteria on a participant's certification form in the following manner:**

- ☒ All identified risk criteria are recorded  
☐ A set number of criteria        is recorded (maximum number is 10 criteria)  
☐ Local agency personnel decide how many and which criteria are recorded  
☐ Other (specify):

### 3. Priority Assignments

#### a. Participants certified for regression

- ☐ Remain in the same priority in which they were previously assigned  
☒ Are assigned to Priority VII, regardless of their initial priority at first certification  
☐ Other (specify):

#### b. The State agency requires verification for all nutrition risk criteria that require a physician's diagnosis.

- ☐ Yes ☒ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (cite):**

#### c. Participants may be certified for regression (check all that apply):

- ☐ A single six-month period  
☒ One time following a certification period  
☐ No policy, local agency discretion

#### d. High risk postpartum women are assigned to the following priority:

- ☐ Priority III  
☐ Priority IV  
☐ Priority V  
☒ Priority VI

#### e. Participants certified solely due to homelessness/migrancy are assigned to the following priority:

|                     | IV                       | V                        | VI                       | VII                                 |
|---------------------|--------------------------|--------------------------|--------------------------|-------------------------------------|
| Pregnant Women      | <input type="checkbox"/> |                          |                          | <input checked="" type="checkbox"/> |
| Breastfeeding Women | <input type="checkbox"/> |                          |                          | <input checked="" type="checkbox"/> |
| Postpartum Women    |                          |                          | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Infants             | <input type="checkbox"/> |                          |                          | <input checked="" type="checkbox"/> |
| Children            |                          | <input type="checkbox"/> |                          | <input checked="" type="checkbox"/> |

#### f. Attach a copy of any nutrition risk criteria that will be added, modified, or deleted during the coming fiscal year. For each criterion, indicate:

Applicable participant category  
Applicable priority level(s)  
Whether a physician's diagnosis is required  
SA code number which conforms to list of codes provided by USDA for Participant Characteristics data collection

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

### C. Health Care Agreements, Referrals, and Coordination

#### 1. State Agency Referral Agreements and Coordination of Services

- a. The State agency has written formal agreements that permit the sharing of participant information with the following programs/providers (indicate whether information is shared manually (M) or

through ADP (A) by placing either an M or A in front of the appropriate service):

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> SNAP           | Rural/migrant health centers                                |
| <input checked="" type="checkbox"/> TANF           | Hospitals   |
| <input checked="" type="checkbox"/> Medicaid       | Childhood immunization                                      |
| SSI  | <input checked="" type="checkbox"/> Immunization registries |
| EPSDT  | Well-child programs   |
| <input checked="" type="checkbox"/> MCH programs   | Child protective services                                   |
| Children with special health care needs program(s) | Private physicians  |
| Family planning                                    | IHS facilities  |
| Other (specify):                                   |   |

**b. Formal agreements for coordination of services include:**

- ☒ Responsibilities of each party
- ☒ Assurance that information is used only for program eligibility and/or outreach
- ☒ Assurance that information will remain confidential and not be shared with a third party

**c. The State agency requires local agencies to coordinate services with, and/or develop referral systems for, the following (check all that apply):**

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> SNAP   | <input checked="" type="checkbox"/> Children with special health care needs       |
| <input checked="" type="checkbox"/> TANF   | <input type="checkbox"/> Schools  |
| <input type="checkbox"/> SSI   | <input type="checkbox"/> Expanded Food and Nutrition Education Program (EFNEP)    |
| <input checked="" type="checkbox"/> Medicaid   | <input type="checkbox"/> Other food assistance program (TEFAP, FDPIR, CSFP, etc.) |
| <input type="checkbox"/> CHIP  | <input checked="" type="checkbox"/> Breastfeeding promotion                       |
| <input type="checkbox"/> IHS facilities  | <input type="checkbox"/> Child protective services                                |
| <input type="checkbox"/> MCH (clinics/facilities)  | <input checked="" type="checkbox"/> Head Start                                    |
| <input checked="" type="checkbox"/> Early and Periodic Screening, Diagnostic and Treatment (EPSDT) | <input checked="" type="checkbox"/> Early Head Start                              |
| <input checked="" type="checkbox"/> Family planning  | <input type="checkbox"/> Healthy Start  |
| <input checked="" type="checkbox"/> Prenatal care  | <input checked="" type="checkbox"/> Substance abuse program                       |
| <input checked="" type="checkbox"/> Postnatal care   | <input checked="" type="checkbox"/> Child abuse counseling                        |
| <input checked="" type="checkbox"/> Immunization   | <input type="checkbox"/> Foster care agencies                                     |
| <input checked="" type="checkbox"/> Dental services  | <input checked="" type="checkbox"/> Homeless facilities                           |
| <input type="checkbox"/> Private physicians  | <input type="checkbox"/> Mental health services                                   |
| <input checked="" type="checkbox"/> Hospitals  | <input type="checkbox"/> Rural/migrant health centers                             |
| <input type="checkbox"/> Well-child programs   |   |
| <input type="checkbox"/> Other (specify):  |   |

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

[8.1.020 Participant Referrals](#)

**2. Local Agency Referral Procedures**

**a. The State agency ensures that local agencies make available to all adults applying or re-applying for the WIC Program for themselves or on behalf of others the following types of information:**

- ☒ State Medicaid Program, including presumptive eligibility determinations, where available
- ☐ Child support services
- ☒ SNAP
- ☒ Substance abuse counseling/treatment programs
- ☒ TANF, including presumptive eligibility determinations, where available
- ☐ Other State-funded medical insurance programs (specify):
- ☐ Other nutrition services (specify):
- ☐ EPSDT Program
- ☐ Children's Health Insurance programs (s)
- ☒ Other (specify): [All referrals noted in C.1.c above](#)

**b. The referral methods used by local agencies to other health and social service programs include (check all that apply and indicate the primary method of referral using the checkbox on the right):**

- |   | Primary                             |
|---|-------------------------------------|
| <input checked="" type="checkbox"/> State agency-developed referral forms   | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Local agency-developed referral form  | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Telephone call to referring agency  | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Verbal referral to participants   | <input checked="" type="checkbox"/> |
| <input type="checkbox"/> Automated client/participant information exchange  | <input type="checkbox"/>            |
| <input type="checkbox"/> Written literature on referral programs  | <input type="checkbox"/>            |
| <input type="checkbox"/> Follow-ups by staff to monitor   | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Maintain a list of local resources for drug and other harmful substance abuse | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Counseling  | <input type="checkbox"/>            |
| <input type="checkbox"/> Other (specify):   | <input type="checkbox"/>            |

**c. Methods used by other health and social service programs to refer clients to the WIC Program include (check all that apply and indicate the primary method of referral using the checkbox on the right):**

- |  | Primary                             |
|--|-------------------------------------|
| <input type="checkbox"/> WIC Program referral form                         | <input type="checkbox"/>            |
| <input type="checkbox"/> Health/social program referral form               | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Telephone call                         | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Verbal referral                        | <input checked="" type="checkbox"/> |
| <input type="checkbox"/> Automated client/participant information exchange | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> Written literature on the WIC Program  | <input type="checkbox"/>            |
| <input type="checkbox"/> Other (specify):                                  |                                     |

**d. The State agency has a system in place to monitor the extent to which WIC participants are using other**

**health or social services (check all that apply):**

☐ Yes (check):      ☐ Medicaid    ☐ TANF    ☐ MCH    ☐ SNAP

☐ Yes, other (specify):

☒ No

- e. **The State agency requires local agencies to monitor referrals to determine the extent of health or social services utilization in addition to State monitoring systems.**

☐ Yes      ☒ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**8.1.020 Participant Referrals**

- f. **To facilitate referrals to the Medicaid Program, the State agency provides each local agency a chart showing the maximum income limits, according to family size, applicable to pregnant women, infants, and children up to age 5 under the Medicaid Program.**

☐ Yes      ☒ No

- g. **The State agency assures that each local agency operating the Program within a hospital, and/or that has a cooperative arrangement with a hospital, advises potentially eligible individuals that receive inpatient or outpatient prenatal, maternity, or postpartum services, or that accompany a child under the age of 5 who receives well-child services, of the availability of Program services.**

☐ Yes      ☒ No

- h. **The State agency ensures that, to the extent possible, local agencies provide an opportunity for individuals who may be eligible to be certified within the hospital for participation in WIC.**

☒ Yes      ☐ No

- i. **The State agency ensures that when WIC is at maximum caseload, local agencies make referrals to:**

- ☒ Food banks
- ☒ Food pantries
- ☒ Soup kitchens or other emergency meal providers
- ☒ SNAP
- ☒ The Emergency Food Assistance Program (TEFAP)
- ☐ Food Distribution Program on Indian Reservations (FDPIR)
- ☐ Other (specify):

- j. **The State agency ensures that when WIC is at maximum caseload, local agencies notify the State agency of any waiting lists established.**

☒ Yes      ☐ No

- k. **The State agency ensures that when WIC is at maximum caseload, the State agency notifies FNS of any waiting lists established.**

☒ Yes      ☐ No

- l. **The State agency ensures that when the WIC participant's family has immediate needs for food beyond what WIC might provide, local agencies make referrals to:**

- ☒ Food banks
- ☒ Food pantries

- ☒ Soup kitchens
- ☒ SNAP
- ☒ The Emergency Food Assistance Program (TEFAP)
- ☐ Food Distribution Program on Indian Reservations (FDPIR)
- ☐ Other (specify):

**m. Immunization Screening and Referral**

**The State agency assures that each local agency is meeting the requirements of WIC Policy Memorandum**

**#2001-7, August 30, 2001: Immunization Screening and Referral, as follows:**

- ☒ Screening children under the age of two using a documented immunization history:
- ☐ Using the minimum screening protocol; or
- ☒ Using a more comprehensive means, (specify): [Missouri ShowMe Vax](#)
- ☐ Using another program or entity to screen and refer WIC children using a documented immunization history; (specify): ; **or**
- ☐ Implementing the minimum screening protocol is unnecessary because immunization coverage rates of WIC children by 24 months are 90% or greater; **or**
- ☐ The State agency has been unable to formalize a coordination agreement with the State Immunization Program. Provide explanation of extenuating circumstances:

**The State agency's policy and procedure manual has been updated to include the above immunization screening and referral protocol.**

- ☒ Yes
- ☐ No

**D. Processing Standards**

**1. Notification Standards**

**a. The State agency defines special nutritional risk applicants who are to be notified of their eligibility within 10 days of the date of the first request (at the local agency) for program benefits as the following (check all that apply):**

- ☒ Pregnant women eligible as Priority I
- ☒ High-risk infants (optional)
- ☒ Migrant farmworkers/family members
- ☐ Homeless (optional)
- ☐ Optional; please specify:

**b. The State agency requires local agencies to follow special policies and procedures to ensure timely certification of:**

- ☒ Rural applicants
- ☒ Employed applicants
- ☐ No special policies/procedures

**c. The State agency's policy allows it to authorize an extension of the notification period up to 15 days for special nutritional risk applicants when local agencies provide a written request with justification.**

- ☐ Yes
- ☒ No

**d. Policies and procedures are in place to assure all other applicants are notified of eligibility within 20 days of first request (at the local agency) for Program benefits.**

☒ Yes ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**8.1.110 Timelines for Applicant Processing**  
**7.1.050 Clinic Access**

## 2. Processing Standards

### a. Processing standards begin when the applicant (check all that apply):

- ☒ Telephones the local agencies to request benefits
- ☒ Visits the local agency in person
- ☒ Makes a written request for benefits

### b. The State agency requires the local agency to have a monitoring system in place to ensure processing standards are being met for all categories of applicants.

☒ Yes ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):** **8.1.110**  
**Timelines for Applicant Processing**

## E. Certification Periods

### 1. Certification Period Standards

- a. (i) The State agency authorizes local agencies to certify infants under six months of age for a period extending up to the first birthday provided the quality and accessibility of health care services are not diminished:
- ☒ Yes, at all local agencies
  - ☐ Yes, at selected local agencies
  - ☐ No
- (ii) The State agency authorizes local agencies to certify children for a period of up to one year provided that participant children receive required health and nutrition services:
- ☒ Yes, at all local agencies
  - ☐ Yes, at selected local agencies
  - ☐ No
- (iii) The State agency authorizes local agencies to certify breastfeeding mothers for a period extending up to the infant's first birthday or until breastfeeding is discontinued (whichever comes first), if there is no decrease in health and nutrition services that the participant would otherwise receive during a shorter certification period:
- ☒ Yes, at all local agencies
  - ☐ Yes, at selected local agencies
  - ☐ No
- (iv) The State agency ensures that health care and nutrition services are not diminished for participants certified for longer than six months:
- ☐ No
  - ☒ Yes (describe): Infants, children, and breastfeeding women certified longer than six (6) months must have a mid-certification assessment that includes anthropometric measurements, biochemical assessment (as needed), immunization screening, oral screening, and nutrition assessment.

**b. Extended certification is an option for the following (check all that apply):**

- ☒ Priority I infants      ☒ Priority II infants      ☒ Priority IV infants  
☒ Priority III Children      ☒ Priority V Children  
☐ Priority I Breastfeeding Women      ☐ Priority IV Breastfeeding Women

**c. The State agency authorizes local agencies to shorten or extend the certification period up to 30 days in certain circumstances.**

- ☒ Yes (If yes, provide citation indicating circumstances):      ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**8.1.180 Schedule Certification Periods**

**2. The State agency authorizes local agencies to disqualify an individual in the middle of a certification period for the following reasons (check all that apply):**

- ☒ Participant volunteers the information that they are over income  
☒ Participant abuse  
☒ Family member found income ineligible at recertification  
☒ Failure to pick up food instruments/cash-value vouchers for 2 consecutive issuances  
☒ Other (specify): **Not providing proof of income, identification, and/or residency 30 days after the initial certification.**

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**8.1.120 Residence Requirements for Participants**  
**8.1.130 Income Assessment and Documentation**  
**8.1.210 Proof of Identity**

**F. Transfer of Certification**

**1. Procedures for Transfer of Certification and Verification of Certification (VOC)**

**a. The State agency has procedures in place that are used by all local agencies for transfers of certification within the State agency (intra-State), between State agencies (inter-State), and to the WIC Overseas Program (WICO):**

| Intra-State                         | Inter-State                         | WIC Overseas                        |     |
|-------------------------------------|-------------------------------------|-------------------------------------|-----|
| <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Yes |
| <input type="checkbox"/>            | <input type="checkbox"/>            | <input type="checkbox"/>            | No  |

**b. A participant ID card/folder is provided which also serves as a VOC:**

- ☐ Yes      ☒ No

**c. The State agency requires all local agencies to use a standardized VOC:**

- ☒ Yes      ☐ No

**d. VOCs are issued to the following (check all that apply):**

- ☒ All participants



- ☒ Migrants
- ☒ Homeless
- ☒ Participants relocating during certification period
- ☒ Persons affiliated with the military who are transferred overseas
- ☐ Other (specify):

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**  
**8.1.160 Transfer of Certification and Verification of Certification (VOC)**

**2. The State agency requires all local agencies to include the following information on the VOC (check all that apply):**

- ☒ Name of participant
- ☒ Date certification performed
- ☒ Date income eligibility last determined
- ☒ Nutritional risk condition of the participant
- ☒ Date certification period expires
- ☒ Signature/printed or typed name of certifying local agency official
- ☒ Name/address/phone number of certifying local agency
- ☒ Identification number or some other means of accountability
- ☒ Other (specify): Last hemoglobin and anthropometric measurements; documents provided for proof of identity, residency, and income; household size; WIC category; all risk factors assigned; and medical documentation form if participant is receiving Food Package III.

**3. The State agency requires all local agencies to accept as valid all VOCs from both the domestic WIC Program and the WIC Overseas Program that contain the following essential elements:**

- ☒ Participant name
- ☒ Name and address of the certifying agency
- ☒ Date the current certification period expires

**4. The State agency honors the one-year certification period for transferring participants (infants, children, and breastfeeding women) even if it certifies participants every six months.**

- ☒ Yes      ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**8.1.160 Transfer of Certification and Verification of Certification (VOC)**

**8.1.180 Schedule of Certification Periods**

**G. Dual Participation, Rights and Responsibilities, Fair Hearings, Sanctions**

**1. Dual Participation**

**a. The State agency has written procedures to prevent and detect dual participation within each local agency and between local agencies:**

- ☒ Yes (Please attach any descriptions of policy in Appendix or cite appropriate section(s) of the Procedure Manual): 10.1.020 Participant Violations
- ☐ No

**b. The State agency has a written agreement with the Indian State agency(ies) or other geographic**

**State agencies in proximity for the detection and prevention of dual participation (attach a copy of each applicable agreement or provide a citation of where a copy is located):**

☒ Yes      ☐ No      ☐ Not applicable

**c. The State agency has established procedures to handle participants found in violation due to dual participation:**

☒ Yes      (Please attach any descriptions of policy in Appendix or cite appropriate section(s) of the Procedure Manual): [10.1.020 Participant Violations](#)  
☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**2. Participant Rights and Responsibilities**

**a. The State agency has uniform notification procedures that are used by all local agencies statewide:**

☒ Yes      ☐ No

**b. The State agency requires all local agencies to inform applicant/participant of his/her rights and responsibilities in written form, and must be read by or to the applicant, parent, or caretaker:**

☒ Yes      ☐ No

**c. The State agency has implemented a policy of disqualifying participants for not picking up food instruments:**

☒ Yes      ☐ No      ☐ Not applicable

**If yes, the policy is communicated to participants in the participant rights and responsibilities materials:**

☒ Yes      ☐ No      ☐ Not applicable

**d. The State agency has implemented a policy to specifically inform participants that they are not allowed to sell WIC food benefits, including online:**

☒ Yes      ☐ No; explain:

**e. The State agency has policies and procedures to identify attempted sales of WIC food benefits in their WIC State Plan:**

☒ Yes      ☐ No; explain:

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

[10.1.020 Participant Violations](#)

[8.1.080 Participant Explanation](#)

**f. The State agency has developed special notification policies and procedures for the following:**

- ☒ Applicant/participant who cannot read
- ☒ Applicant/participant who speaks in a language other than English
- ☒ Homeless
- ☒ Migrants
- ☒ Persons with disabilities
- ☐ Other (specify):

**g. The State agency requires all local agencies to provide notification of participant rights and**

**responsibilities in the following situations:**

- ☒ Eligibility at each certification
- ☒ Ineligibility at initial certification
- ☒ Mid-certification disqualification
- ☒ Expiration of a certification period
- ☒ Waiting list status
- ☐ Other (specify):

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**8.1.080 Participant Explanation**

**11.1.010 State Responsibility: Civil Rights Compliance**

**11.1.020 Local Responsibility: Civil Rights Compliance and Public Notification**

**3. Fair Hearing and Sanction System**

**a. The State has a law or regulation governing participant appeals:**

- ☒ Yes
- ☐ No

**b. The State agency has established statewide fair hearing procedures:**

- ☒ Yes; attach fair hearing procedures for participants or specify the location in the Procedure Manual and reference in additional detail section below.
- ☐ No

**c. State or local agency actions against participants include (check all that apply):**

- ☒ Reclaiming the value of improperly received benefits
- ☒ Disqualification from the Program for up to one year
- ☒ Suspension from the Program mid-certification
- ☐ Other (specify):

**d. Appeal hearings are held at:**

- ☒ WIC State agency parent agency
- ☐ Other State agency or hearing board (specify):
- ☐ Local WIC agency
- ☐ Other (specify):

**e. Statewide fair hearing procedures include (check all that apply):**

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Request for hearing            | <input checked="" type="checkbox"/> Local agency responsibilities        |
| <input checked="" type="checkbox"/> Denial or dismissal of request | <input checked="" type="checkbox"/> Continuation of benefits             |
| <input checked="" type="checkbox"/> Rules of procedure             | <input checked="" type="checkbox"/> Responsibilities of hearing official |
| <input checked="" type="checkbox"/> Fair hearing decision          | <input type="checkbox"/> Other (specify):                                |
| <input checked="" type="checkbox"/> Judicial review                |  |

**f. State agency procedures require written notification for (check all that apply):**

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Appeal rights                  | <input checked="" type="checkbox"/> Request for hearing |
| <input checked="" type="checkbox"/> Denial or dismissal of request | <input checked="" type="checkbox"/> Notice of hearing   |

- ☒ Termination within certification period      ☒ Fair hearing decision  
☒ Judicial review      ☐ Other (specify):

g. **The State agency has established timeframes to govern each step of the hearing process:**

- ☒ Yes      ☐ No

h. **The State agency requires all local agencies to document any notification/correspondence in the participant's file:**

- ☒ Yes      ☐ No

i. **The State agency has a written sanction policy for participants:**

- ☒ Yes (If yes, provide appropriate citation below)  
☐ No

j. **The State agency has established procedures which determine the type and levels of sanctions to be applied against participants:**

- ☒ Yes      ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix and/or Procedure Manual (citation):**

**10.1.020 Participant Violations**

**11.1.030 Participant Fair Hearing**

**8.1.170 Notification of Ineligibility, Termination, or Expiration of Certification**