

1. DATE ISSUED MM/DD/YYYY 08/26/2018 | 2. CFDA NO. 93.136 | 3. ASSISTANCE TYPE Cooperative Agreement

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Centers for Disease Control and Prevention
CDC Office of Financial Resources
2920 Brandywine Road
Atlanta, GA 30341

1a. SUPERSEDES AWARD NOTICE dated 05/08/2018
except that any additions or restrictions previously imposed remain in effect unless specifically rescinded

4. GRANT NO. 6 NUP2CE002427-05-03
Formerly 5UF2CE002427-03 | 5. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY
From 02/01/2014 Through 01/31/2019

7. BUDGET PERIOD MM/DD/YYYY
From 02/01/2018 Through 01/31/2019

NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulations)
317(K) OF PHS ACT(42USC247B(K))

8. TITLE OF PROJECT (OR PROGRAM)
Missouri Rape Prevention and Education

9a. GRANTEE NAME AND ADDRESS
HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF
Alternate Name: MISSOURI STATE DEPT/ HEALTH & SENIOR SRV
920 Wildwood Dr
Jefferson City, MO 65109-5796

9b. GRANTEE PROJECT DIRECTOR
Ms. Karen Kliethermes00
930 Wildwood Dr
State of Missouri Senior Services/DSS&R
Jefferson City, MO 65109-5796
Phone: 573-526-0220

10a. GRANTEE AUTHORIZING OFFICIAL
Ms. Tonya R Loucks
920 WILDWOOD DR
Business Official
JEFFERSON CITY, MO 65109-5796
Phone: 573-751-6014

10b. FEDERAL PROJECT OFFICER
Kathryn M Jones
4770 Buford Hwy
DVP PPTB
Atlanta, GA 30341
Phone: 770-488-1118

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)

I Financial Assistance from the Federal Awarding Agency Only		<input type="checkbox"/>
II Total project costs including grant funds and all other financial participation		<input checked="" type="checkbox"/>
a. Salaries and Wages	56,716.00	
b. Fringe Benefits	30,059.00	
c. Total Personnel Costs	86,775.00	
d. Equipment	0.00	
e. Supplies	2,220.00	
f. Travel	7,735.00	
g. Construction	0.00	
h. Other	9,419.00	
i. Contractual	764,746.00	
j. TOTAL DIRECT COSTS →	870,895.00	
k. INDIRECT COSTS	5,008.00	
l. TOTAL APPROVED BUDGET	875,903.00	
m. Federal Share	875,903.00	
n. Non-Federal Share	0.00	

12. AWARD COMPUTATION

a. Amount of Federal Financial Assistance (from item 11m)	875,903.00
b. Less Unobligated Balance From Prior Budget Periods	131,235.00
c. Less Cumulative Prior Award(s) This Budget Period	652,491.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	92,177.00
13. Total Federal Funds Awarded to Date for Project Period	3,141,316.00

14. RECOMMENDED FUTURE SUPPORT
(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 6		d. 9	
b. 7		e. 10	
c. 8		f. 11	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:
a. DEDUCTION
b. ADDITIONAL COSTS
c. MATCHING
d. OTHER RESEARCH (Add / Deduct Option)
e. OTHER (See REMARKS)

b

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation
 - b. The grant program regulations.
 - c. This award notice including terms and conditions, if any, noted below under REMARKS.
 - d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.
- In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

GRANTS MANAGEMENT OFFICER Barbara (Rene) Benyard, Grants Management Officer, Team Lead

17. OBJ CLASS	41.51	18a. VENDOR CODE	18b. EIN	19. DUNS	878092600	20. CONG. DIST.	03
FY-ACCOUNT NO.	DOCUMENT NO.	CFDA	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION		
21. a.	6-939ZSFL	b. 002427RP14	c. 93.136	d. CE	e. \$0.00	f. 75-16-0952	
22. a.	8-939ZSFL	b. 002427RP14	c. 93.136	d. CE	e. \$92,177.00	f. 75-18-0952	
23. a.	7-939ZSFL	b. 002427RP14	c. 93.136	d. CE	e. \$0.00	f. 75-17-0952	

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 3	DATE ISSUED 08/26/2018
GRANT NO. 6 NUF2CE002427-05-03	

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3	DATE ISSUED 08/26/2018
GRANT NO. 6 NUF2CE002427-05-03	

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
02/01/2014	01/31/2015	Annual	05/01/2015
02/01/2015	01/31/2016	Annual	04/30/2016
02/01/2016	01/31/2017	Annual	05/01/2017
02/01/2017	01/31/2018	Annual	05/01/2018
02/01/2018	01/31/2019	Annual	05/01/2019

AWARD ATTACHMENTS

MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

6 NUF2CE002427-05-03

1. SUPPLEMENTAL TERMS AND CONDITIONS
2. TECHNICAL REVIEW

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number **CE14-1401**, entitled **Rape Prevention and Education Program (RPE)**, and supplemental application dated July 03, 2018, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA)

Approved Supplemental Funding: Funding in the amount of **\$92,177** is approved for the Year 05 budget period, which is **February 1, 2018** through **January 31, 2019**.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

Financial Assistance Mechanism: Cooperative Agreement

Note: The recipient organization must have current internal policies and procedures in place that clearly describe the process. Internal policies and procedures must be in compliance with OMB circulars, GSA per diem regulations and 45 CFR Part 75.474.

Carryover of Unobligated Funding: Carryover of Year 03 unobligated funds in the amount of **\$102,390** is approved based on Federal Financial Report (FFR) dated April 24, 2018. The carryover of unobligated funding increases the overall approved budget of this budget period. The total NoA award amount will be subject to a reduction if the final unobligated balance is less than the amount reported on the referenced FFR.

Technical Review Statement Response Requirement: The review comments on the strengths and weaknesses of the proposal are provided as part of this award. A response to the weaknesses in these statements is not required.

Budget Revision Requirement: By **September 28, 2018**, the recipient must notify CDC of the following:

Contractual:

Recipient is required to notify CDC upon selection of contract identified as "To Be Announced" (TBA) and provide an itemized budget for proposed costs.

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the Staff Contacts section of this notice before the due date.

FUNDING RESTRICTIONS AND LIMITATIONS

Indirect Costs: *Indirect costs are not applicable to this award.*

Programmatic Restriction(s): Applicants must adhere to Congressional legislation (Section 393B of the Public Health Service Act [42 U.S.C. 280b-1c]). The legislation stipulates the following: Applicants may not use more than five percent of the amount received for each fiscal year for administrative expenses. This five percent limitation is in lieu of, and replaces, the indirect cost rate. An applicant may not use more than two percent of the amount received for each fiscal year for surveillance studies or prevalence studies.

Administrative Costs: In accordance with Congressional legislation, Section 393B of the Public Health Service Act [42 U.S.C. 280b-1c], in lieu of indirect costs, the recipient may not use more than five percent of the amount received for each fiscal year for administrative costs.

Recipients are responsible for managing the day-to-day operations of grant and of subgrants supported activities. Recipient must advise sub recipients of all flow down requirements imposed on them and monitor subgrant supported activities as necessary to assure compliance with applicable Federal laws, regulations, and the provisions to ensure performance goals are achieved and to avoid unallowable costs. If there are questions concerning this requirement, please be sure to contact the assigned Grants Management Specialist/Officer identified on the Notice of Award.

For Example: Authorized Amount \$640,000 *.05 = \$32,000 Recipient cannot exceed this amount

Surveillance/Prevalence Costs: Grantees may not use more than two percent of the total RPE award for each budget year for surveillance studies or prevalence studies in accordance with the RPE regulations.

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the “P Account”. Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

Grant Document Number: **002427RP14**

CLOSEOUT REQUIREMENTS

Recipients must submit all closeout reports in a timely manner. Unless the Grants Management

Specialist/Grants Management Officer (GMS/GMO) approves a deadline extension the recipient must submit all closeout reports within 90 days of the period of performance end date. Reporting timeframe is **February 1, 2014** through **January 31, 2019**. Due by **April 30, 2019**. Failure to submit timely and accurate final reports may affect future funding to the organization or awards under the direction of the same Project Director/Principal Investigator (PD/PI).

All manuscripts published as a result of the work supported in part or whole by the cooperative grant must be submitted with the performance progress reports.

The final and other programmatic reports required by the terms and conditions of the NoA are the following.

Final Performance Progress and Evaluation Report (PPER): This report should include the information specified in the NOFO and is submitted after solicitation from the GMS/GMO via www.grantsolutions.gov. At a minimum, the report will include the following:

- Statement of progress made toward the achievement of originally stated aims.
- Description of results (positive or negative) considered significant.
- List of publications resulting from the project, with plans, if any, for further publication.

All manuscripts published as a result of the work supported in part or whole by the grant must be submitted with the performance progress reports.

Final Federal Financial Report (FFR, SF-425): The FFR should only include those funds authorized and actually expended during the timeframe covered by the report. The Final FFR, SF-425 is required and must be submitted no later than 90 days after the period of performance end date. To submit the FFR, login to www.grantsolutions.gov, select "Reports" from the menu bar and then click on Federal Financial Reports.

Electronic versions of the form can be downloaded at: <https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortby=1>.

This report must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. Should the amount not match with the final expenditures reported to the Department of Health and Human Services' Payment Management Services (PMS), you will be required to update your reports to PMS accordingly. Remaining unobligated funds will be de-obligated and returned to the U.S. Treasury.

If the final reports (FFR and PPER) cannot be submitted within 90 days after the end of the period of performance, in accordance with 45 CFR Part 75.381 (Closeout), the recipient must submit a letter requesting an extension that includes the justification for the delay and state the expected date the CDC Office of Grants Services will receive the reports. All required documents must be submitted to the business contact identified in CDC Staff Contacts.

Equipment and Supplies -Tangible Personal Property Report (SF-428): A completed Tangible Personal Property Report SF-428 and Final Report SF-428B addendum must be submitted, along with any Supplemental Sheet SF-428S detailing all major equipment acquired or furnished under this project with a unit acquisition cost of \$5,000 or more. Electronic versions of the forms can be downloaded by visiting: <https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortby=1>.

If no equipment was acquired under an award, a negative report is required.

The recipient must identify each item of equipment that it wishes to retain for continued use in accordance with 45 CFR Part 75. The awarding agency may exercise its rights to require the transfer of equipment purchased under the assistance award. CDC will notify the recipient if transfer to title will be required and provide disposition instruction on all major equipment.

Equipment with a unit acquisition cost of less than \$5,000 that is no longer to be used in projects or programs currently or previously sponsored by the federal government may be retained, sold, or otherwise disposed of, with no further obligation to the federal government.

Final Invention Statement: A Final Invention Statement must be submitted with the final PPER documents. Electronic versions of the form can be downloaded by visiting <http://grants1.nih.gov/grants/hhs568.pdf>. If no inventions were conceived under this assistance award, a negative report is required. This statement may be included in a cover letter.

Please be advised that recipient must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, necessary and reasonable.

All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer.

CDC Staff Contacts

GMO Contact:

Terrian J. Dixon, Grants Management Specialist
Office of Financial Resources
Office of Grants Services
Centers for Disease Control and Prevention (CDC)
2960 Brandywine Road, MS-E01
Atlanta, Georgia 30341-4146
Telephone: 770-488-2774
Email Address: thd4@cdc.gov

GMO Contact:

Barbara (René) Benyard, Grants Management Officer
Office of Financial Resources
Office of Grants Services
Centers for Disease Control and Prevention (CDC)
2960 Brandywine Road, MS-E01
Atlanta, Georgia 30341-4146
Telephone: 770-488-2757
Email: bnb8@cdc.gov

**National Center for Injury Prevention and Control
Division of Violence Prevention/Prevention Practice & Translation Branch**

Technical Review

Recipient's Name: Missouri Department of Health and Senior Services

Recipient #: CE002427-05 (SUPP18) **Budget Year:** FY18

NOFO #: CD14-14010501SUPP18 **Title:** Rape Prevention and Education: Admin Program Supplement

Requested Amount: \$92,177 **Recommended Award Amount:** \$ 92,177

1. Response to Technical Review (check one):

The recipient must submit a response to the weakness(es) and recommendations identified in the Technical Review within 30 days from receipt date of the notice of award.

No response to Technical Review is required.

2. Budget (check one):

Revised budget is required due to (provide reason(s)): _____

Revised budget is NOT required. _____

3. Performance (check one):

The project officer certifies the application is satisfactory and funding is recommended.

The project officer certifies the application is not fully satisfactory and weaknesses and recommendations should be addressed, and funding should not be recommended until major weaknesses are satisfactorily addressed.

Project Officer's Name: Kathryn M. Jones

(Print Name)

Project Officer's Signature (mandatory):



Date:

7/16/18

Recipient's Name: Missouri Department of Health and Senior Services

Award #: CE002427-05 (SUPP18)

Budget Year: FY18

A thorough review of the administrative program supplement application has been performed. The review considered the performance criteria published in the program supplement guidance. The results of the review are as follows:

Proposed Supplement Activities, Deliverables, and Workplan Alignment

- The recipient selected one or more supplement activities to be completed which fulfill RPE state health department infrastructure enhancements, partnership development, prevention strategies and implementation, and/or data collection.

Yes _____

No _____ If no, please indicate weaknesses/challenges: _____

- The recipient indicated corresponding deliverables for each selected supplement activity.

Yes _____

No _____ If no, please indicate weaknesses/challenges: _____

- The recipient's budget is consistent with the selected supplement activity(ies) and deliverable(s).

Yes _____

No _____ If no, please indicate weaknesses/challenges: _____

Other Relevant Comments:

- CDC's Project Officer will work with recipient to resolve any crucial weaknesses/challenges identified in the review.
- Project Officer has verified that the conference will take place in January 2019.
- The Youth Thrive trainings include content development, delivery, and evaluation, as well as meeting materials and supplies. These costs are in line with other multi-day trainings.