

NOTICE OF AWARD (Continuation Sheet)

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GRANT NO. 6 NU90TP000531-05-01	

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

AWARD ATTACHMENTS

Missouri Dept. of Health and Senior
Services/DSS&R

6 NU90TP000531-05-01

1. ZIKA - SUPP T&C

AWARD INFORMATION

REMARKS:

The Public Health Preparedness Program cooperative agreements are authorized by section 319C-1, and the Hospital Preparedness Program cooperative agreements are authorized by section 319C-2 of the Public Health Service (PHS) Act as amended.

Incorporation: The Centers for Disease Control and Prevention (CDC) hereby incorporates Funding Opportunity Announcement number TP12-1201, entitled **Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Cooperative Agreement Supplement**, as may be amended, which are hereby made a part of this Non-Research award hereinafter referred to as the Notice of Award (NoA). The Department of Health and Human Services (HHS) grant recipients must comply with all terms and conditions outlined in their NoA, including grants policy terms and conditions contained in applicable HHS Grants Policy Statements, and requirements imposed by program statutes and regulations, Executive Orders, and HHS grant administration regulations, as applicable; as well as any requirements or limitations in any applicable appropriations acts. The term grant is used throughout this notice and includes cooperative agreements.

Approved Supplemental Funding (PHEP): Supplemental funding in the amount of **\$818,745** is approved for year 05, these funds must be obligated and expended by June 30, 2017 (end of year 5 budget period).

Note: Refer to the Payment Information section for draw down and Payment Management System (PMS) subaccount information.

Restricted Funds: One-Hundred percent (100%) of Supplemental funds awarded in the amount of \$818,745 is restricted and cannot be drawn down from the Payment Management System (PMS) until a complete application is submitted to your Grants Management Specialist.

By November 17, 2016, the grantee must submit all required documents that include a complete application, budget justification and work plan. Failure to submit the required information in a timely manner may cause supplemental funds to be de-obligated from the Payment Management System (PMS). If the information cannot be provided by the due date, the recipient is required to contact the GMS/GMO identified in the Staff Contact section of this notice of award before the due date.

EXPANDED AUTHORITY: In accordance with 45 CFR Part 75.308 (d), the grantee is given expanded authority to carryover unobligated balances to the successive budget period without receiving prior approval from the Office of Grants Services. The following restrictions apply with this authority:

- The expanded authority can only be used to carryover unobligated balances from one budget period to the next successive budget period. Any unobligated funds not expended in the successive budget period must be de-obligated and returned to Treasury as required.
- No Cost Extensions will not be allowed
- The recipient must report the amount carried over on the Federal Financial Report for the period in which the funds remained unobligated.
- This authority does not diminish or relinquish CDC and ASPR administrative oversight of the HPP/PHEP program. The CDC and ASPR program offices will continue to provide oversight and guidance to the award recipients to ensure they are in compliance with statutes, regulations, and internal guidelines.
- The roles and responsibilities of the CDC and ASPR Program/Project Officers will remain the same as indicated in the Terms and Conditions of the Award.
- The roles and responsibilities of the CDC, Office of Grants Services, Grants Management Specialist, will

remain the same as indicated in the Terms and Conditions of the Award.

- All other terms and conditions remain in effect throughout the budget period unless otherwise changed in writing, by the Grants Management Officer.
- Your organization is responsible for ensuring that all costs allocated and obligated are allowable, reasonable, and allocable and in line with the goals and objectives outlined in the base FOA TP12-1201 and approved work plans.

NOTE: All Budget Period 04 Unobligated funds must be expended by June 30, 2017 these funds are approved under Expanded Authority. Please be advised that recipients must exercise proper stewardship over Federal Funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, and reasonable.

FUNDING RESTRICTIONS AND LIMITATIONS

MATCHING:

Matching Funds Requirement: **\$81,874**

Matching is calculated on the basis of the federal award amount and is comprised of grantee contributions proposed to support anticipated costs of the project during a specific budget period (confirmation of the existence of funding is supplied by the grantee via their Federal Financial Report). The grantee must be able to account separately for stewardship of the federal funding and for any required matching; it is subject to monitoring, oversight, and audit. When a grantee requests a carryover of unobligated funds from prior year(s), matching funds equal to the new requirement must be on record in the CDC grant file, or the grantee must provide evidence with the carryover request.

Match/cost sharing should be contributed in proportion to your federal cash disbursements.

The match requirement does not apply to the political subdivisions of New York City, Los Angeles County, or Chicago. In line with 48 U.S.C. 1469a(d), any required matching (including in-kind contributions) of less than 200,000 is waived with respect to cooperative agreements to the governments of American Samoa, Guam, the Virgin Islands, Federated States of Micronesia, Republic of Marshall Islands or the Northern Mariana Islands (other than those consolidated under other provisions of 48 U.S.C 1469). For instance, if 10% (the match requirement) of the award is less than \$200,000, then the entire match requirement is waived. If 10% of the award is greater than 200,000, then the first 200,000 is waived, and the rest must be paid as match.

Matching Funds Requirement for PHEP – 10%. Statutory formula for PHEP in Section 319C-1 of the Public Health Service (PHS) Act, as amended. For the year 05 budget period, matching funds are from non-Federal sources in an amount not less than 10% of such costs (\$1 for every \$10 of Federal funds provided in the award). Match can be provided directly by the state, in cash, or third party in-kind contributions. Match must be reported on the SF-425 (Federal Financial Report), if applicable.

Maintenance of Effort (MOE) - Requirement: MOE represents an applicant/grantee historical level of contributions related of Federal programmatic activities which have been made prior to the receipt of Federal Funds expenditures (money spent). MOE is used as an indicator of non-federal support for public health security before the infusion of Federal funds. These expenditures are calculated by the grantee without reference to any Federal funding that also may have contributed to such programmatic activities in the past. Awardees must stipulate the total dollar amount in their grant applications. Grantees must be able to account for MOE separately from accounting for Federal funds and separately from accounting for any matching funds requirement; this accounting is subject to ongoing monitoring, oversight, and audit. MOE may not include any Sub awardee matching funds requirements.

Note: This notice of award uses Maintenance of Effort (MOE) term that applies to both maintenance of funding (MOF) and maintaining state funding (MSF). Section 319C-1 requires PHEP awardees to maintain expenditures

for public health security. Section 319C-2 requires HPP awardees to maintain expenditures for healthcare preparedness. This provision addresses both requirements.

PAYMENT INFORMATION

Payment Management System Subaccount: Effective October 1, 2013, a new HHS policy on subaccounts requires the CDC setup payment subaccounts within the Payment Management System (PMS) for all grant awards. Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the "P Account". A P Account is a subaccount created specifically for the purpose of tracking designated types of funding in the PMS.

All award funds must be tracked and reported separately. Funds must be used in support of approved activities in the FOA and the approved application.

The grant document number and subaccount title (below) must be known in order to draw down funds from this P Account.

This award contains funding from multiple components. The grant document number and a component's applicable subaccount title (listed below) must be known in order to draw down funds from this P Account.

Grant Document Number: **000517ZZ17**

Component: PHEP
Subaccount Title: TP12-1201COAG-ZIKA17

Acceptance of the Terms of an Award: By drawing or otherwise obtaining funds from the grant Payment Management Services, the grantee acknowledges acceptance of the terms and conditions of the award and is obligated to perform in accordance with the requirements of the award. If the recipient cannot accept the terms, the recipient should notify the Grants Management Officer within thirty (30) days of receipt of this award notice.

Certification Statement: By drawing down funds, the grantee certifies that proper financial management controls and accounting systems, to include personnel policies and procedures, have been established to adequately administer Federal awards and funds drawn down. Recipients must comply with all terms and conditions outlined in their NoA, including grant policy terms and conditions contained in applicable HHS Grant Policy Statements, and requirements imposed by program statutes and regulations and HHS grants administration regulations, as applicable; as well as any regulations or limitations in any applicable appropriations acts.

All the other terms and conditions issued within the original award remains in effect throughout the budget period unless otherwise changed in writing by the Grants Management Officer.

Programmatic Contact:

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