

1. DATE ISSUED MM/DD/YYYY 04/01/2020		1a. SUPERSEDES AWARD NOTICE dated 01/10/2020 except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA No. 93.305			
3. ASSISTANCE TYPE Cooperative Agreement			
4. GRANT NO. 6 NU58DP006006-05-04 Formerly 1U58DP006006-01		5. TYPE OF AWARD Other	
4a. FAIN NU58DP006006		5a. ACTION TYPE Post Award Amendment	
6. PROJECT PERIOD MM/DD/YYYY From 03/29/2015		Through 06/28/2020	
7. BUDGET PERIOD MM/DD/YYYY From 03/29/2019		Through 06/28/2020	

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

2939 Brandywine Road
Atlanta, GA 30341

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
317(K)(2) 42 USC 247B(K)(2)

8. TITLE OF PROJECT (OR PROGRAM)
TOBACCO CONTROL PROGRAM

9a. GRANTEE NAME AND ADDRESS HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF 920 Wildwood Dr Missouri Dept. of Health and Senior Services Jefferson City, MO 65109-5796	9b. GRANTEE PROJECT DIRECTOR Mr. Steve Cramer 930 Wildwood Dr Jefferson City, MO 65109-5796 Phone: 5735222806
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10a. GRANTEE AUTHORIZING OFFICIAL Ms. Marcia A Mahaney 920 Wildwood Drive Jefferson City, MO 65109-5796 Phone: 573-751-6014	10b. FEDERAL PROJECT OFFICER Daphne Kennebrew 1600 Clifton Rd Atlanta, GA 30333 Phone: 404-639-8015
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ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)		12. AWARD COMPUTATION	
I Financial Assistance from the Federal Awarding Agency Only		a. Amount of Federal Financial Assistance (from item 11m) 1,670,246.00	
II Total project costs including grant funds and all other financial participation		b. Less Unobligated Balance From Prior Budget Periods 403,970.00	
a. Salaries and Wages	528,702.00	c. Less Cumulative Prior Award(s) This Budget Period 1,077,836.00	
b. Fringe Benefits	284,133.00	d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 188,440.00	
c. Total Personnel Costs	812,835.00	13. Total Federal Funds Awarded to Date for Project Period 5,834,125.00	
d. Equipment	0.00	14. RECOMMENDED FUTURE SUPPORT	
e. Supplies	2,600.00	(Subject to the availability of funds and satisfactory progress of the project):	
f. Travel	21,266.00	YEAR	TOTAL DIRECT COSTS
g. Construction	0.00	a. 6	d. 9
h. Other	89,365.00	b. 7	e. 10
i. Contractual	570,942.00	c. 8	f. 11
j. TOTAL DIRECT COSTS	1,497,008.00	15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:	
k. INDIRECT COSTS	173,238.00	a. DEDUCTION	
l. TOTAL APPROVED BUDGET	1,670,246.00	b. ADDITIONAL COSTS	
m. Federal Share	1,670,246.00	c. MATCHING	
n. Non-Federal Share	0.00	d. OTHER RESEARCH (Add / Deduct Option)	
		e. OTHER (See REMARKS)	
		16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:	
		a. The grant program legislation.	
		b. The grant program regulations.	
		c. This award notice including terms and conditions, if any, noted below under REMARKS.	
		d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.	
		In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.	

REMARKS (Other Terms and Conditions Attached - Approved 2-month extension with supplemental funds. Yes No)

GRANTS MANAGEMENT OFFICIAL:

Stephanie Latham, Team Lead, Grants Management Officer
2939 Flowers Rd. South
TV-2
Atlanta, GA 30333
Phone: 770.488.2917

17. OBJ CLASS 41.51	18a. VENDOR CODE	18b. EIN	19. DUNS 878092600	20. CONG. DIST. 03
FY-ACCOUNT NO.	DOCUMENT NO.	CFDA	ADMINISTRATIVE CODE	AMT ACTION FIN ASST
21. a. 0-939ZREN	b. 006006DP15	c. 93.305	d. DP	e. \$188,440.00
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 3	DATE ISSUED 04/01/2020
GRANT NO. 6 NU58DP006006-05-04	

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3	DATE ISSUED 04/01/2020
GRANT NO. 6 NU58DP006006-05-04	

Federal Financial Report Cycle			
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date
03/29/2015	03/28/2016	Annual	06/26/2016
03/29/2016	03/28/2017	Annual	06/26/2017
03/29/2017	03/28/2018	Annual	06/26/2018
03/29/2018	03/28/2019	Annual	06/26/2019
03/29/2019	03/28/2020	Annual	06/26/2020
03/29/2020	04/28/2020	Annual	07/27/2020

AWARD ATTACHMENTS

Missouri Department of Health

6 NU58DP006006-05-04

1. Terms and Conditions
2. DP15-1509 MO YR5 Supp Technical Review_02252020

ADDITIONAL TERMS AND CONDITIONS OF AWARD

Supplemented Extension: The purpose of this amendment is to approve a **2-month extension with supplemental funds** per the request submitted by your organization dated February 4, 2020. The budget and project period end dates have been extended from **April 28, 2020 to June 28, 2020**. Additional funds in the amount of **\$188,440.00** are authorized and have been distributed as indicated in the approved budget of this Notice of Award.

Annual Federal Financial Report (FFR SF-425): Annual financial reporting is required every twelve-month period. Due to the approved extension period, the final budget period has been extended and an additional annual financial report will be required. A completed FFR SF-425 covering the original final budget period of **March 29, 2019 to April 28, 2020** must be submitted by **July 27, 2020**.

Recipients must submit all closeout reports identified in this section within 90 days of the period of performance end date. The reporting timeframe is the full period of performance. Failure to submit timely and accurate final reports may affect future funding to the organization or awards under the direction of the same Project Director/Principal Investigator (PD/PI).

Final Performance Progress and Evaluation Report (PPER): This report should include the information specified in the NOFO. At a minimum, the report will include the following:

- Statement of progress made toward the achievement of originally stated aims.
- Description of results (positive or negative) considered significant.
- List of publications resulting from the project, with plans, if any, for further publication.

All manuscripts published as a result of the work supported in part or whole by the cooperative grant must be submitted with the performance progress reports.

Final Federal Financial Report (FFR, SF-425): The FFR should only include those funds authorized and actually expended during the timeframe covered by the report. The Final FFR, SF-425 is required and must be submitted no later than 90 days after the period of performance end date. The final report must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. Should the amount not match with the final expenditures reported to the Department of Health and Human Services' PMS, you will be required to update your reports to PMS accordingly. Remaining unobligated funds will be de-obligated and returned to the U.S. Treasury.

Electronic versions of the form can be downloaded at: <https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortby=1>

Equipment and Supplies - Tangible Personal Property Report (SF-428): A completed Tangible Personal Property Report SF-428 and Final Report SF-428B addendum must be submitted, along with any Supplemental Sheet SF-428S detailing all major equipment acquired or furnished under this project with a unit acquisition cost of \$5,000 or more. Electronic versions of the forms can be downloaded by visiting: <https://www.grants.gov/web/grants/forms/post-award-reporting-forms.html#sortby=1>

If no equipment was acquired under an award, a negative report is required.

The recipient must identify each item of equipment that it wishes to retain for continued use in accordance with 45 CFR Part 75. The awarding agency may exercise its rights to require the transfer of equipment purchased under the assistance award. CDC will notify the recipient if transfer to title will be required and provide disposition instruction on all major equipment.

Equipment with a unit acquisition cost of less than \$5,000 that is no longer to be used in projects or

programs currently or previously sponsored by the Federal Government may be retained, sold, or otherwise disposed of, with no further obligation to the Federal Government.

GMS Contact:

Rhonda Colbert, Grants Management Specialist
Grants Management Specialist
Branch 5 Supporting Chronic Diseases and Injury Prevention
Office of Grants Services (OGS)
Office of Financial Resources (OFR)
Office of the Chief Operating Officer (OCOO)
Centers for Disease Control and Prevention (CDC)
Hvx1@cdc.gov **email** | 770-488-2848 **office**



TECHNICAL REVIEW

CDC-RFA-DP15-1509 Year 5 Supplement
 National State-Based Tobacco Control Programs
 National Center for Chronic Disease Prevention and Health Promotion
 Reporting Period 10/1/2018- 9/30/2019
 Budget Period 4/29/2020 – 6/28/2020
 Date: 2/13/2020

RECIPIENT

Grant Institution Name:	Missouri Department of Health and Senior Services
Grant Number:	DP006006

REVIEWER

Name:	Daphne Kennebrew Date: 2/13/2020
Signature	 Recoverable Signature  Daphne Kennebrew <hr/> Signed by: 71f4b051-4012-446e-8470-c7d9076226bf

ANNUAL PERFORMANCE REPORT

	<p>During the reporting period, Missouri successfully completed the following activities:</p> <ul style="list-style-type: none"> • Prevent initiation of tobacco use among youth and young adults. • Promote quitting among adults and youth. • Eliminate exposure to secondhand smoke. • Identify and eliminate tobacco-related disparities.
Key Strengths	<p>During the reporting period, the awardee has been able to participate in several technical assistance opportunities provided by CDC to help build capacity and promote sustainability within the state tobacco control program. Also, the grantee has developed and fostered many partnerships and collaboratives within the state to assist with promoting smoke free policies and communities. Lastly, the awardee has conducted three social media campaigns targeting women of child bearing age, low income and Medicaid members were conducted during this reporting period.</p>
Key Weaknesses	<p>There are no noted weaknesses currently.</p>
Recommendations	<p>OSHS will continue to support the tobacco program manager and her staff by providing resources and technical assistance to the program as continued work is done to increase the number of tobacco and smoke free communities and policies are established to decrease access for youth to tobacco.</p>
OTHER COMMENTS:	<p>The project officer and awardee will review and discuss the annual objectives which were not met during the reporting period to determine if adjustments are needed to ensure the state remains on track with meeting yearly objectives.</p>

	While the awardee has met many of the annual objectives for the reporting period, there were several objectives where not completed or started based on unforeseeable events to cause in a delay in program implementation. Also, the awardee reports the program experienced new staffing during the reporting period which caused many activities to be delayed while new staff was trained for his/her duties. Many of the objectives which were not met were only delayed and will be completed during the next reporting period
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WORK PLAN	
Key Strengths	The work plan incorporates appropriate objectives and activities from the Best Practices components: Prevention Among Youth and Young Adults, Protection from Secondhand Smoke, Mass Reach Communications, Surveillance and Evaluation, Infrastructure, Administration and Management
Key Weaknesses	There are no noted weaknesses currently.
Recommendations	During next monthly call recipient should review workplan with their Project Officer to ensure annual objectives are SMART and outcome-based. Any technical assistance and/or resources needed by the awardee will be discussed.
OTHER COMMENTS:	None noted at this time.

In accordance with U.S. law, no Federal funds provided by CDC can be used by recipients to engage in direct or grassroots lobbying for or against proposed or pending legislation or regulations at the federal, state, or local levels. The recipient should work with the project officer to ensure activities adhere to federal guidelines, and federal dollars are not used to engage in unauthorized activities. Throughout all objectives and activities, the recipient should clarify that the work plan language clearly describes the role, nature, and purpose of the funded activities. This includes providing clear language focusing on the message (e.g., addressing the health risks/effects, using evidence-based strategies for increasing protections) when conducting public educational initiatives. In addition, language should be included for proper engagement of elected officials as documented in the federal guidelines. Additionally, recipients should consult appropriate legal counsel to ensure compliance with all rules, regulations, and restriction of any funding sources.

The recipient should refer to the AR-12 and CDC Guidance documents on Anti-Lobbying restrictions for more information on allowable and restricted activities.

<https://www.cdc.gov/grants/additionalrequirements/ar-12.html>

<https://www.cdc.gov/grants/documents/Anti-Lobbying-Restrictions.pdf>