

1. DATE ISSUED MM/DD/YYYY 01/08/2018
 2. CFDA NO. 93.758
 3. ASSISTANCE TYPE Block Grant

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

CDC Office of Financial Resources

2920 Brandywine Road
 Atlanta, GA 30341

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
 301(A)AND317(K)(2)PHS42USC241(A)247B(K)2

1a. SUPERSEDES AWARD NOTICE dated 04/10/2017
 except that any additions or restrictions previously imposed remain
 in effect unless specifically rescinded

4. GRANT NO. 6 NB01OT009170-01-02
 Formerly
 5. ACTION TYPE Post Award Amendment

6. PROJECT PERIOD MM/DD/YYYY
 From 10/01/2016 Through 09/30/2018

7. BUDGET PERIOD MM/DD/YYYY
 From 10/01/2016 Through 09/30/2018

8. TITLE OF PROJECT (OR PROGRAM)
 PPHF 2017: Preventive Health and Health Services Block Grant's financed solely by 2017 Prevention an

9a. GRANTEE NAME AND ADDRESS
 Missouri Dept. of Health and Senior Services/DSS&R
 920 Wildwood Dr
 Jefferson City, MO 65109-5796

9b. GRANTEE PROJECT DIRECTOR
 Ms. GAIL VASTERLINIG
 920 Wildwood Drive
 JEFFERSON CITY, MO 65109
 Phone: 573-751-6001

10a. GRANTEE AUTHORIZING OFFICIAL
 Mr. Bret Fischer
 920 Wildwood Dr
 Jefferson City, MO 65102-0570
 Phone: 573-751-6014

10b. FEDERAL PROJECT OFFICER
 Jeffrey Brock
 1825 Century Boulevard
 DPHPI/HDSDB
 Atlanta, GA 30345
 Phone: 404 498-3078

ALL AMOUNTS ARE SHOWN IN USD

| | |
|--|---------------------|
| 11. APPROVED BUDGET (Excludes Direct Assistance) | |
| I Financial Assistance from the Federal Awarding Agency Only | II |
| II Total project costs including grant funds and all other financial participation | |
| a. Salaries and Wages | 0.00 |
| b. Fringe Benefits | 0.00 |
| c. Total Personnel Costs | 0.00 |
| d. Equipment | 0.00 |
| e. Supplies | 0.00 |
| f. Travel | 0.00 |
| g. Construction | 0.00 |
| h. Other | 3,891,843.00 |
| i. Contractual | 0.00 |
| j. TOTAL DIRECT COSTS → | 3,891,843.00 |
| k. INDIRECT COSTS | 0.00 |
| l. TOTAL APPROVED BUDGET | 3,891,843.00 |
| m. Federal Share | 3,891,843.00 |
| n. Non-Federal Share | 0.00 |

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|---|---------------------|
| 12. AWARD COMPUTATION | |
| a. Amount of Federal Financial Assistance (from item 11m) | 3,891,843.00 |
| b. Less Unobligated Balance From Prior Budget Periods | 0.00 |
| c. Less Cumulative Prior Award(s) This Budget Period | 3,891,843.00 |
| d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION | 0.00 |
| 13. Total Federal Funds Awarded to Date for Project Period | 3,891,843.00 |

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|---|--------------------|------|--------------------|
| 14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project): | | | |
| YEAR | TOTAL DIRECT COSTS | YEAR | TOTAL DIRECT COSTS |
| a. 2 | | d. 5 | |
| b. 3 | | e. 6 | |
| c. 4 | | f. 7 | |

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|---|--|----------|
| 15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES: | | b |
| a. DEDUCTION | | |
| b. ADDITIONAL COSTS | | |
| c. MATCHING | | |
| d. OTHER RESEARCH (Add / Deduct Option) | | |
| e. OTHER (See REMARKS) | | |

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation.
 b. The grant program regulations.
 c. This award notice including terms and conditions, if any, noted below under REMARKS.
 d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

GRANTS MANAGEMENT OFFICIAL: Barbara (Rene) Benyard, Grants Management Officer, Team Lead

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|---------------------|--------------------|-----------|---------------------|---------------------|
| 17. OBJ CLASS 41.51 | 18a. VENDOR CODE | 18b. EIN | 19. DUNS 878092600 | 20. CONG. DIST. 03 |
| FY-ACCOUNT NO. | DOCUMENT NO. | CFDA | ADMINISTRATIVE CODE | AMT ACTION FIN ASST |
| 21. a. 7-93907RH | b. 17NB01OT009170B | c. 93.758 | d. OT | e. \$0.00 |
| 22. a. 7-93907RH | b. 17NB01OT009170 | c. 93.758 | d. OT | e. \$0.00 |
| 23. a. | b. | c. | d. | e. |

NOTICE OF AWARD (Continuation Sheet)

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|--------------------------------|---------------------------|
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| GRANT NO. 6 NB01OT009170-01-02 | |

Direct Assistance

| BUDGET CATEGORIES | PREVIOUS AMOUNT (A) | AMOUNT THIS ACTION (B) | TOTAL (A + B) |
|-------------------|---------------------|------------------------|---------------|
| Personnel | \$0.00 | \$0.00 | \$0.00 |
| Fringe Benefits | \$0.00 | \$0.00 | \$0.00 |
| Travel | \$0.00 | \$0.00 | \$0.00 |
| Equipment | \$0.00 | \$0.00 | \$0.00 |
| Supplies | \$0.00 | \$0.00 | \$0.00 |
| Contractual | \$0.00 | \$0.00 | \$0.00 |
| Construction | \$0.00 | \$0.00 | \$0.00 |
| Other | \$0.00 | \$0.00 | \$0.00 |
| Total | \$0.00 | \$0.00 | \$0.00 |

NOTICE OF AWARD (Continuation Sheet)

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| Federal Financial Report Cycle | | | |
|--------------------------------|---------------------------|----------------|---------------------------|
| Reporting Period Start Date | Reporting Period End Date | Reporting Type | Reporting Period Due Date |
| 10/01/2016 | 09/30/2018 | Annual | 12/29/2018 |

AWARD ATTACHMENTS

Missouri Dept. of Health and Senior
Services/DSS&R

6 NB01OT009170-01-02

1. 2018 Audit Clarification (Terms and Conditions)
2. Audit Clarification

REVISED TERMS AND CONDITIONS

Funding Opportunity Announcement (FOA): OT17-1700CONT01PPHF17

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NOTE 1: The purpose of this amended Notice of Award is to provide clarification for PHHS Block Audit requirements.

NOTE 2: CDC has prepared the attached clarification which relates to the agreement of CDC, HHS, and OMB, accordingly, in which a determination was made that Recipients are **not** required to annually audit the Block program as a *major program* as the Single Audit Act satisfies the requirement of 42 U.S. Code § 300w-2 Payments under allotments to States.

NOTE 3: Please be advised that grantee must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, and reasonable.

NOTE 4: All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer.

PLEASE REFERENCE AWARD NUMBER ON ALL CORRESPONDENCE

CDC Clarification on Using the Single Audit to Comply with Section 1906(b) of the Public Health Service (PHS) Act (42 U.S. Code § 300w-5(b)) – Reports, Data and Audits

Section 1906(b) of the PHS Act, as amended, provides as follows:

- (b) (1) Each State shall establish fiscal control and fund accounting procedures as may be necessary to assure the proper disbursement of and accounting for Federal funds paid to the State under section 1903 and funds transferred under section 1904(c) for use under this part.
- (2) Each State shall annually audit its expenditures from payments received under section 1903. Such State audits shall be conducted by an entity independent of any agency administering a program funded under this part and, in so far as practical, in accordance with the Comptroller General's standards for auditing governmental organizations, programs, activities, and functions. Within 30 days following the date each audit is completed, the chief executive officer of the State shall transmit a copy of that audit to the Secretary.
- (3) Each State shall, after being provided by the Secretary with adequate notice and opportunity for a hearing within the State, repay to the United States amounts found not to have been expended in accordance with the requirements of this part or the certification provided by the State under section 1905. If such repayment is not made, the Secretary shall, after providing the State with adequate notice and opportunity for a hearing within the State, offset such amounts against the amount of any allotment to which the State is or may become entitled under this part.
- (4) The State shall make copies of the reports and audits required by this section available for public inspection within the State.
- (5) The Comptroller General of the United States shall, from time to time, evaluate the expenditures by States of grants under this part in order to assure that expenditures are consistent with the provisions of this part and the certification provided by the State under section 1905.
- (6) Not later than October 1, 1990, the Secretary shall report to the Congress on the activities of the States that have received funds under this part and may include in the report any recommendations for appropriate changes in legislation.

Recipients often submit audits pursuant to the Single Audit Act, 31 USC 7501 et seq., which includes the following provision:

An audit conducted in accordance with this chapter shall be in lieu of any financial audit of Federal awards which a non-Federal entity is required to undergo under any other Federal law or regulation. To the extent that such audit provides a Federal agency with the information it requires to carry out its responsibilities under Federal law or regulation, a Federal agency shall rely upon and use that information. 31 USC § 7503(a).

The Single Audit Act also authorizes agencies to "conduct or arrange for additional audits which are necessary to carry out its responsibilities under Federal law or regulation ... [so long as] such audits [are] not duplicative of other audits of federal awards." 31 USC § 7503(b).

In addition, the applicable grants regulation for awards made under Section 1903, found at 45 C.F.R. 96.31 provides as follows:

(a) *Basic rule.* Grantees and subgrantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501–7507) and revised OMB Circular A–133, "Audits of State, Local Governments, and Non-Profit Organizations." The audits shall be made by an independent auditor in accordance with generally accepted Government auditing standards covering financial audits.

Based upon the above, a Recipient may use an audit under the Single Audit Act to comply with the audit provision in section 1906(b) if: 1) the audit is conducted annually; 2) meets the requirements of the Single Audit Act (31 USC 7501-7507) and 45 CFR 96.31; 3) submits that audit to, and receives acceptance of the audit by, the Federal Audit Clearinghouse; and, 4) ensures that applicable Block Catalog of Federal Domestic Assistance (CFDA) number 93.758 is listed on the Schedule of Expenditures of Federal Awards (SEFA) contained in that audit.

The audit requirement at section 1906(b) does not:

- 1) Conflict with the Single Audit Act requirement.
- 2) Require an audit of the applicable CFDA in addition to the audit required by the Single Audit Act.
- 3) Require submission of the audit required by the Single Audit Act to CDC.
- 4) Mandate treatment of the applicable CFDA as a Major Program under the Single Audit Act.

OMB Compliance Supplements for 2008 to 2017 are here under A-133 --

<https://www.whitehouse.gov/omb/information-for-agencies/circulars#numerical>