## Notice of Award

Award# 5 NU58DP006658-05-00

FAIN# NU58DP006658

Federal Award Date: 06/23/2022

## **Recipient Information**

#### 1. Recipient Name

Health and Senior Services, Missouri Department of 920 Wildwood Dr Community and Public Health-DUP Jefferson City, MO 65109-5796 [NO DATA]

# 2. Congressional District of Recipient

- 3. Payment System Identifier (ID)
- 4. Employer Identification Number (EIN)
- 5. Data Universal Numbering System (DUNS) 878092600
- 6. Recipient's Unique Entity Identifier (UEI)
  UETLXV8NG8F4
- 7. Project Director or Principal Investigator

Mr. Steve Cramer Section Administrator steve.cramer@health.mo.gov 573-522-2806

## 8. Authorized Official

Mrs. Marcia Mahaney
Director, Division of Admnistration
marcia.mahaney@health.mo.gov
573-751-6014

## Federal Agency Information

CDC Office of Financial Resources

## 9. Awarding Agency Contact Information

Mrs. Keisha Thompson GMS dwt6@cdc.gov 770-488-2681

## 10.Program Official Contact Information

Mr. Robert Montierth nxv9@cdc.gov 404.498.5378

## Federal Award Information

#### 11. Award Number

5 NU58DP006658-05-00

## 12. Unique Federal Award Identification Number (FAIN)

NU58DP006658

## 13. Statutory Authority

[AWARD AUTHORITY NOT DEFINED FOR DP16-1601]

#### 14. Federal Award Project Title

Diabetes and Heart Disease & Stroke Prevent Programs-Innovative State and Local Public Health Strategies to Prevent and Manage Diabetes and Heart Disease and Stroke

## 15. Assistance Listing Number

93.435

## 16. Assistance Listing Program Title

Innovative State and Local Public Health Strategies to Prevent and Manage Diabetes and Heart Disease and Stroke

#### 17. Award Action Type

Non-Competing Continuation

#### 18. Is the Award R&D?

No

## **Summary Federal Award Financial Information**

19.	<b>Budget Period Start Date</b>	09/30/2022	- End Date	09/29/2023
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	20.	Total Amount of Federal Funds Obligated by this Action	\$1,470,234.00
		20a. Direct Cost Amount	\$1,585,486.00
		20b. Indirect Cost Amount	\$14,514.00
	21.	Authorized Carryover	\$0.00
ı	22.	Offset	\$129,766.00
ı	23.	Total Amount of Federal Funds Obligated this budget period	\$0.00
	24.	Total Approved Cost Sharing or Matching, where applicable	\$0.00
	25.	Total Federal and Non-Federal Approved this Budget Period	\$1,470,234.00
	26.	Period of Perfomance Start Date 09/30/2018 - End Date 09/29/2023	

27.	Total Amount of the Federal Award including Approved	
	Cost Sharing or Matching this Period of Performance	\$7,870,234.00

## 28. Authorized Treatment of Program Income

ADDITIONAL COSTS

#### 29. Grants Management Officer - Signature

Ms. Stephanie Latham

Team Lead, Grants Management Officer

## 30. Remarks

## Notice of Award

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Federal Award Date: 06/23/2022

## **Recipient Information**

## **Recipient Name**

Health and Senior Services, Missouri Department of 920 Wildwood Dr Community and Public Health-DUP

Jefferson City, MO 65109-5796 [NO DATA]

**Congressional District of Recipient** 

03

**Payment Account Number and Type** 

**Employer Identification Number (EIN) Data** 

Universal Numbering System (DUNS)

878092600

Recipient's Unique Entity Identifier (UEI)

UETLXV8NG8F4

#### 31. Assistance Type

Cooperative Agreement

32. Type of Award

Other

(E	Excludes Direct Assistance)
1.	Financial Assistance from the Federal Awarding Agency Only
I	

33. Approved Budget

II. Total project costs including grant funds and all other financial participation

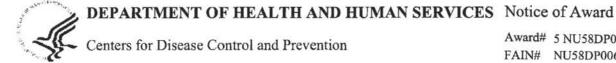
l. TOTAL APPROVED BUDGET m. Federal Share	\$1,600,000.00
k. INDIRECT COSTS	\$14,514.00
j. TOTAL DIRECT COSTS	\$1,585,486.00
i. Contractual	\$1,494,780.00
h. Other	\$7,002.00
g. Construction	\$0.00
f. Travel	\$4,852.00
e. Supplies	\$2,468.00
d. Equipment	\$0.00
c. TotalPersonnelCosts	\$76,384.00
b. Fringe Benefits	\$29,698.00
a. Salaries and Wages	\$46,686.00

#### 34. Accounting Classification Codes

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	CFDA NO.	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
2-921Z5SG	19NU58DP006658	DP	41.51	93.435	\$735,117.00	75-22-0948
2-939ZQZH	19NU58DP006658	DP	41.51	93.435	\$735,117.00	75-22-0948

n. Non-Federal Share

\$0.00



Award# 5 NU58DP006658-05-00 FAIN# NU58DP006658

Federal Award Date: 06/23/2022

## **Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# **AWARD ATTACHMENTS**

Health and Senior Services, Missouri Department of

5 NU58DP006658-05-00

1. Terms and Conditions

## AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <a href="https://www.cdc.gov/grants/federal-regulations-policies/index.html">https://www.cdc.gov/grants/federal-regulations-policies/index.html</a>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number DP18-1817, entitled Diabetes and Heart Disease & Stroke Prevent Programs-Innovative State and Local Public Health Strategies to Prevent and Manage Diabetes and Heart Disease and Stroke, and application dated April 8, 2022, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of 1,600,000 is approved for the Year 05 budget period, which is September 30, 2022 through September 29, 2023. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

**Component/Project Funding:** The NOFO provides for the funding of multiple components under this award. The approved component funding levels for this notice of award are:

NOFO Component	Amount	
Category A: (Division of Diabetes Translation DDT)	\$ 800,000	
Category B: (Division for Heart Disease and Stroke Prevention DHDSP)	\$ 800,000	

Financial Assistance Mechanism: Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

The CDC programs supporting this NOFO will be substantially involved beyond site visits and regular performance and financial monitoring during the project period. Substantial involvement means that the recipient can expect federal programmatic partnership in carrying out efforts under the award. CDC will work in partnership with the recipient to ensure the success of the cooperative agreement by:

· Supporting recipients in implementing cooperative agreement requirements and meeting

program outcomes;

- Providing technical assistance to revise annual work plans;
- Assisting recipients in advancing program activities to achieve project outcomes;
- Providing scientific subject matter expertise (e.g., engaging non-physician team members, implementing and sustaining the National Diabetes Prevention Program) and resources in support of the selected strategies;
- Collaborating with recipients to develop and implement rigorous evaluation plans that align with CDC evaluation activities;
- Providing technical assistance on recipients' evaluation and performance measurement plans;
- Providing technical assistance to define and operationalize performance measures;
- Using webinars and other social media for recipients and CDC to communicate and share tools and resources:
- Establishing learning communities to facilitate the sharing of information among recipients;
- Providing professional development and training opportunities either in person or through virtual, web-based training formats for the purpose of sharing the latest science, best practices, success stories, and program models;
- Participating in relevant meetings, committees, conference calls, and working groups related to the cooperative agreement requirements to achieve outcomes;
- Coordinating communication and program linkages with other CDC programs and Federal agencies, such as the Health Resources and Services Administration (HRSA), Centers for Medicare & Medicaid Services (CMS), Indian Health Service (IHS), and the National Institutes of Health (NIH);
- Providing surveillance technical assistance and state-specific data collected by CDC;
- Providing technical expertise to other CDC programs and Federal agencies on how to interface with recipients;
- Translating and disseminating lessons learned through publications, meetings, and other means on promising and best practices to expand the evidence base; and
- Hosting a meeting/training during the first year of the project period and later in the project period (for a total of 2 meetings/trainings for recipients).

## Additionally, CDC will:

Ensure that recipients have access to expertise found throughout the National Center for

Chronic Disease Prevention and Health Promotion.

- Collaborate with recipients to explore appropriate flexibilities needed to meet public
  health outcomes and goals. Flexibility in cooperative agreements includes recipients'
  ability to propose alternative methods to achieve the outcomes and goals of the
  cooperative agreement that align with recipients? opportunities for success,
  infrastructure, partner and stakeholder buy-in, demographics, and burden. This includes
  bringing together resources from multiple cooperative agreements to jointly advance the
  goals of each, and expanding the dialogue to bring in other CDC and recipient staff to
  reach a win/win solution.
- Create greater efficiencies and consistency across NCCDPHP programs. For example,
  - Jointly developed resources and tools that focus on cross-cutting functions, settings, domains, risk factors, conditions and diseases to ensure consistent messages and to meet technical assistance needs.
  - Joint training and technical assistance opportunities that help state health departments produce policies and programs that are more holistic.
- Continue and expand support for recipients to leverage National Center for Chronic Disease Prevention and Health Promotion resources to address cross-cutting functions, domains, settings, risk factors, and diseases.

**Use of Unobligated Funds:** This NoA includes use of Year 03 unobligated funds in the amount of \$129,766, which has been applied as an offset to the currently approved funding level for this budget period. The use of unobligated funds is approved based on the Year 03 Federal Financial Report (FFR) dated December 22, 2021. The amount of this NoA will be subject to reduction if the final amount of unobligated funds is less than the amount of unobligated funds reported on the referenced FFR.

**Budget Revision Requirement** Once selected, the following TBD contract cost must be submitted to the CDC before cost can be expended

- o TBD-\$75,369
- o TBD-\$125,734
- o TBD-\$55,000
- o TBD- \$25,235

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

Carryover of unobligated balances from one budget period to a subsequent budget period.
 Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the

recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

#### **FUNDING RESTRICTIONS AND LIMITATIONS**

## Notice of Funding Opportunity (NOFO) Restrictions:

- Recipients may not use funds for research.
- Recipients may not use funds for clinical care except as allowed by law.
- Recipients may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, recipients may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.
- Reimbursement of pre-award costs generally is not allowed, unless the CDC provides written approval to the recipient.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
  - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
  - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
- See <u>Additional Requirements (AR)12</u> for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible
- In accordance with the United States Protecting Life in Global Health Assistance policy, all non-governmental organization (NGO) applicants acknowledge that foreign NGOs that receive funds provided through this award, either as a prime recipient or subrecipient, are strictly prohibited, regardless of the source of funds, from performing abortions as a method of family planning or engaging in any activity that promotes abortion as a method of family planning, or to provide financial support to any other foreign non-governmental organization that conducts such activities. See Additional Requirement (AR) 35 for applicability
  - (https://www.cdc.gov/grants/additionalrequirements/ar-35.html).

## **Indirect Costs:**

Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 30, 2021, which calculates indirect costs as follows, a Provisional is approved at a rate of 19.00% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2022 to June 30, 2024.

## REPORTING REQUIREMENTS

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Keisha Thompson, Grants Management Specialist
Centers for Disease Control
Branch 5 Supporting Chronic Diseases and Injury Prevention
2960 Brandywine Road
Atlanta, Georgia 30341
Email: (Include "Mandatory Grant Disclosures" in subject line)

#### AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to <a href="mailto:hhstips@oig.hhs.gov">hhstips@oig.hhs.gov</a> or

by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified beginning on the bottom of Page 2 of the Notice of Award must be known in order to draw down funds.