

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 03/07/2019
GRANT NO. 5 NU58DP006043-05-00	

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

AWARD ATTACHMENTS

Missouri Department of Health

5 NU58DP006043-05-00

1. Terms and Conditions
2. Summary Statement

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number CDC-RFA-DP15-1513, entitled Behavioral Risk Factor Surveillance System, and application dated November 29, 2018, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NOA).

Approved Funding: Funding in the amount of \$204,698 is approved for the Year 5 budget period, which is March 29, 2019 through March 28, 2020. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Financial Assistance Mechanism: Cooperative Agreement

Substantial Involvement by CDC: This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

Budget Revision Requirement: By April 29, 2019, the recipient must submit a revised budget based on the approved funding with a narrative justification, to include the period of performance dates for all contracts which must fall within the budget period dates. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

Program Income: Any program income generated under this grant or cooperative agreement will be used in accordance with the Addition alternative.

Addition alternative: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

FUNDING RESTRICTIONS AND LIMITATIONS

Notice of Funding Opportunity (NOFO) Restrictions:

- Awardees may not use funds for research.
- Awardees may not use funds for clinical care.
- Awardees may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, awardees may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.

- Reimbursement of pre-award costs is not allowed.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
 - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
 - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body

See [Additional Requirement \(AR\) 12](#) for detailed guidance on this prohibition and [additional guidance on lobbying for CDC awardees](#).

- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Indirect Costs: Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 9, 2018, which calculates indirect costs as follows: a Fixed type is approved at a rate of 21.30% of the base, which includes direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2018 to June 30, 2019.

REPORTING REQUIREMENTS

Prevention Fund Recipient Reporting Rescission: Effective May 31, 2018, CDC grant award recipients that complete projects or activities which are funded under the Prevention and Public Health Fund (PPHF) (Section 4002 of Public Law 111-148) are no longer required to report separately on their use of PPHF funds, or distinguish sub-recipients use of PPHF funds. The rescission of PPHF reporting requirements does not alter or change administrative, programmatic, financial, or other reporting requirements indicated in a Notice of Award.

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
 Karen Clackum, Grants Management Specialist
 Centers for Disease Control and Prevention
 Chronic Diseases and Birth Defects Services Branch
 2960 Brandywine Rd.
 Atlanta, GA 30341
 Email: KClackum@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201
Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or
Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

CDC Staff Contacts

Grants Management Specialist: The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

GMS Contact:

Karen Clackum, Grants Management Specialist

Centers for Disease Control and Prevention
Chronic Diseases and Birth Defects Services Branch
2960 Brandywine Rd.
Atlanta, GA 30341
Telephone: 770-488-2680
Email: KClackum@cdc.gov

Program/Project Officer: The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

Programmatic Contact:

Audrey Williams, Project Officer
Centers for Disease Control and Prevention
National Center for Chronic Disease Prevention and Health Promotion
4770 Buford Hwy. MS F-78
Chamblee, GA 30341
Telephone: 770-488-5941
Email: AKWilliams@cdc.gov

Grants Management Officer: The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NOA, including revisions to the NOA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization. GMO contact information is located on Page 1 of this NOA.

**Centers for Disease Control and Prevention
Guidance CDC/NCCDPHP/BRFSS Funding Opportunity Announcement (FOA)
CDC-RFA-DP15-151305 Behavioral Risk Factor Surveillance System (BRFSS)
CDC-RFA-DP15-151305**

Summary Statement

Date Reviewed: 12-14-2018

Grant Number: NU58DP006043

Applicant Organization: Missouri Department of Health and Senior Services

Funds Requested: \$260,000

Funds Recommended: \$204,698

Recommendation (approved or disapproved): Approved

Human Subjects Issues: N/A

Summary of the Project (provided by applicant)

The Missouri Behavioral Risk Factor Surveillance System (MO BRFSS) has been in existence for over 30 years through a cooperative agreement between the Missouri Department of Health and Senior Services and the U.S. Centers for Disease Control and Prevention. MO BRFSS will continue collecting data about the health risks and conditions of Missouri adults aged 18 and older. Approximately 7,000 adults are interviewed annually by trained interviewers via randomly selected residential landline and cell phone numbers. Follow-up telephone calls to solicit additional information are made with adults that report having asthma or having a child in the home with asthma. The adverse childhood experiences (ACE) module will be administered in 2019 for the first time in Missouri as well as questions on family planning and lung cancer screening among past and current smokers. MO BRFSS data are reported annually on the Missouri Department of Health and Senior Services web site at <https://health.mo.gov/data/brfss/index.php>. Data are used by state and local health agencies and officials to guide decisions about improving the health of Missourians.

Summary of Strengths

Missouri provided a detailed summary of how their BRFSS data was used last fiscal period. BRFSS data usage includes preparing statewide fact sheets for various state programs, preparing statewide presentations, and developing impact statements on chronic disease conditions in the state.

Summary of Weaknesses / Concerns: None

Budget:

The budget is clearly explained, adequately justified, and is reasonable and consistent with the stated objectives and planned activities to some extent.

Component A: Base Funds

Grantee Requests: \$185,000

Program Recommendation: \$175,355

Comments:

Component B: Asthma Module

Grantee Requests: \$75,000

Program Recommendation: \$ 29,343

Comments:

Component C: Opioid Module

Grantee Requests: \$0

Program Recommendation: \$0

Comments: N/A

Data Management Plan (addressed or Not addressed)

DMP Elements: Addressed.

- 1) Description of data to be generated or collected included?
- 2) Standards to be used in generating/collecting data?
- 3) Mechanisms and limitations for access to the data?
- 4) Standards for data release?
- 5) Plans for archiving and long-term

Recommendation(s):

The applicant should address any issues of concern noted in the Weaknesses / Concerns or Budget Sections and / or as follows:

Audrey K Williams

Project Officers Signature

12/14/18

Date: