**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

Centers for Disease Control and Prevention
CDC Office of Financial Resources

**NOTICE OF AWARD**

**AUTHORIZATION (Legislation/Regulations)**
Sections 301 and 317N of the Public Health Service Act (42 U.S.C. section 241 and 247b-15, as amended)

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### 1. DATE ISSUED

**MM/DD/YYYY** 10/25/2019

1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded

### 2. CFDA No.

93.270 - Adult Viral Hepatitis Prevention and Control

### 3. ASSISTANCE TYPE

Cooperative Agreement

### 4. GRANT NO.

Formerly 5 NU51PS005112-04-00

5a. ACTION TYPE: Non-Competing Continuation

### 5. TYPE OF AWARD

Other

### 6. PROJECT PERIOD

**MM/DD/YYYY**

<table>
<thead>
<tr>
<th>From</th>
<th>Through</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/01/2016</td>
<td>10/31/2020</td>
</tr>
</tbody>
</table>

### 7. BUDGET PERIOD

**MM/DD/YYYY**

<table>
<thead>
<tr>
<th>From</th>
<th>Through</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/01/2019</td>
<td>10/31/2020</td>
</tr>
</tbody>
</table>

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### 8. TITLE OF PROJECT (OR PROGRAM)

Improving Hepatitis B and C Care Cascades; Focus on Increased Testing and Diagnosis

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### 9a. GRANTEE NAME AND ADDRESS

**HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF**

PO BOX 570
MISSOURI DEPARTMENT OF HEALTH AND SENIOR SERVICES
Jefferson City, MO 65102-0570

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### 10a. GRANTEE AUTHORIZING OFFICIAL

Ms. Tonya R Loucks
920 Wildwood Drive
Jefferson City, MO 65109-5796
Phone: 573-751-6014

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### 9b. GRANTEE PROJECT DIRECTOR

Ms. Nicole. Massey
920 Wildwood
Jefferson City, MO 65102-0570
Phone: 573-751-6431

### 10b. FEDERAL PROJECT OFFICER

Mr. Wentzel Mitchell
12 Corporate Square Blvd, NE
Atlanta, GA 30329
Phone: 404-718-3226

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### 11. APPROVED BUDGET (Excludes Direct Assistance)

<table>
<thead>
<tr>
<th>AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION ($)</th>
<th>LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIOD ($)</th>
<th>LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD ($)</th>
<th>TOTAL DIRECT COSTS ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a. Salaries and Wages</td>
<td>34,962.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>b. Fringe Benefits</td>
<td>20,900.00</td>
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<tr>
<td>c. Total Personnel Costs</td>
<td>55,862.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>d. Equipment</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>e. Supplies</td>
<td>405.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>f. Travel</td>
<td>3,123.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>g. Construction</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>h. Other</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>i. Contractual</td>
<td>0.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>j. TOTAL DIRECT COSTS</td>
<td>61,163.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>k. INDIRECT COSTS</td>
<td>11,900.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>l. TOTAL APPROVED BUDGET</td>
<td>73,063.00</td>
<td>0.00</td>
<td>0.00</td>
</tr>
</tbody>
</table>

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### 12. AWARD COMPUTATION

**AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION ($)** 73,063.00

**TOTAL DIRECT COSTS ($)** 61,163.00

**LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIOD ($)** 0.00

**LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD ($)** 0.00

**TOTAL DIRECT COSTS ($)** 73,063.00

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### 13. Total Federal Funds Awarded to Date for Project Period

**YEAR** 10/31/2020

**AMOUNT OF FINANCIAL ASSISTANCE ($)** 73,063.00

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### 14. RECOMMENDED FUTURE SUPPORT

**SUBJECT TO THE AVAILABILITY OF FUNDS AND SATISFACTORY PROGRESS OF THE PROJECT**

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### 15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

- a. Deduction
- b. Additional Costs
- c. Matching
- d. Other Research (Add / Deduct Option)
- e. Other (See REMARKS)

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### 16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program regulations
- b. The grant program regulations
- c. The award notice including terms and conditions, if any, noted below under REMARKS.
- d. Federal administrative requirements, cost principles and audit requirements applicable to the grant.

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### 17. OBJ CLASS

**41.51**

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### REMARKS

(Other Terms and Conditions Attached - NCC Award Partial Funding)

X Yes  

No

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**GRANTS MANAGEMENT OFFICIAL:**

Constance J Jarvis, Grants Management Officer

2960 Brandywine Rd
Mableton E15
Atlanta, GA 30341-5509

Phone: 770-488-5859

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**18. VENDOR CODE**

**18b. EIN**

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**19. DUNS** 878052600

**20. CONG. DIST.** 04

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**FY-ACCOUNT NO.**

**DOCUMENT NO.**

**CFDA**

**ADMINISTRATIVE CODE**

**AMT ACTION FIN ASST**

**APPROPRIATION**

| 21. a. | 0-005ZRPN | b. | 00112PS17 | c. | d. | e. | f. | 75-20-0990 |
| 22. a. | b. | c. | d. | e. | f. |
| 23. a. | b. | c. | d. | e. | f. |
### Direct Assistance

<table>
<thead>
<tr>
<th>BUDGET CATEGORIES</th>
<th>PREVIOUS AMOUNT (A)</th>
<th>AMOUNT THIS ACTION (B)</th>
<th>TOTAL (A + B)</th>
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</thead>
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<tr>
<td>Personnel</td>
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<td>$0.00</td>
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<tr>
<td>Fringe Benefits</td>
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<tr>
<td>Travel</td>
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<tr>
<td>Equipment</td>
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<td>$0.00</td>
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<tr>
<td>Supplies</td>
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<tr>
<td>Contractual</td>
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<td>$0.00</td>
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<tr>
<td>Construction</td>
<td>$0.00</td>
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<tr>
<td>Other</td>
<td>$0.00</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$0.00</strong></td>
<td><strong>$0.00</strong></td>
<td><strong>$0.00</strong></td>
</tr>
<tr>
<td>Reporting Period Start Date</td>
<td>Reporting Period End Date</td>
<td>Reporting Type</td>
<td>Reporting Period Due Date</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>---------------------------</td>
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</tr>
<tr>
<td>11/01/2016</td>
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<td>Annual</td>
<td>01/29/2019</td>
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<td>11/01/2018</td>
<td>10/31/2019</td>
<td>Annual</td>
<td>01/29/2020</td>
</tr>
<tr>
<td>11/01/2019</td>
<td>10/31/2020</td>
<td>Annual</td>
<td>01/29/2021</td>
</tr>
</tbody>
</table>
1. T&C for this award
Notice of Funding Opportunity (NOFO): PS17-1702
Award Number: NU51PS005112-04
Award Type: Cooperative Agreement

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at https://www.cdc.gov/grants/federalregulationspolicies/index.html, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number PS17-1702, entitled “Improving Hepatitis B and C Care Cascades; Focus on Increased Testing and Diagnosis, and application dated August 9, 2019, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

Approved Funding: Funding in the amount of $125,250 is approved for the Year 2020 budget period, which is November 1, 2019 through October 31, 2020. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

Available Funding: The CDC is operating under a Continuing Resolution; as a result, the total available funding for the Fiscal Year (FY) 2020 budget period is contingent upon the enactment of applicable appropriation bill(s). Funding in the amount of $73,063 in Financial Assistance (FA) is awarded on this NoA. The remainder of the budget period Approved Funding amount is subject to the availability of funds.

Use of Unobligated Funds: This NoA includes use of Year 03 unobligated funds in the amount of $0, which has been applied as an offset to the currently approved funding level for this budget period. The use of unobligated funds is approved based on the Year 03 Federal Financial Report (FFR) dated August 9, 2019. The amount of this NoA will be subject to reduction if the final amount of unobligated funds is less than the amount of unobligated funds reported on the referenced FFR.

The awarding of the remaining $52,188 during the budget period is subject to funds availability.

After the budget period has expired, CDC may de-obligate all funds not expended and disbursed, including unliquidated obligations.

Note: Refer to the Payment Information section for draw down and Payment Management System (PMS) subaccount information.

Financial Assistance Mechanism: Cooperative Agreement

Substantial Involvement by CDC: This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

Technical Review Response Requirement: The review comments on the strengths and weaknesses of the proposal are provided as a part of this award and are located in...
GrantSolutions. A response to the weaknesses in these statements must be uploaded for approval into Grant Solutions as a Note and submitted to the Project Officer noted in the CDC Staff Contacts section of this NoA no later than 30 days from the budget period start date. Provide the award number in the subject line.

Failure to submit the required information by the due date, December 01, 2019, will cause delay in programmatic progress and will adversely affect the future funding of this project.

**Budget Revision Requirement:** By December 1, 2019 the recipient must submit a revised budget with a narrative justification. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 “Remarks” of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient’s authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

**Program Income:** Any program income generated under this grant or cooperative agreement will be used in accordance with the Cost sharing or matching alternative.

Cost sharing or matching alternative: Under this alternative, program income is used to finance some or the entire non-federal share of the project/program.

**Note:** The disposition of program income must have written prior approval from the GMO.

### FUNDING RESTRICTIONS AND LIMITATIONS

**Notice of Funding Opportunity (NOFO) Restrictions:** N/A

**Administrative Restriction(s):** The funds awarded for Indirect Costs in the amount of $11,900 are restricted until a current Indirect Cost Rate Agreement or Cost Allocation Plan is submitted to the GMS covering the awarded period. Document is to be submitted on or before November 30, 2019. If rate agreement is not submitted by the due date, an explanation is required and the funds remain restricted from use unless rescinded by the GMS in writing.

**Programmatic Restriction(s):** N/A

**Indirect Costs:** Expired

### REPORTING REQUIREMENTS

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely
manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Valerie McCloud, Grants Management Officer/Specialist
Centers for Disease Control and Prevention
Infectious Diseases Services Branch (IDSB)
Offices of Grants Services (OGS)
Office of Financial Resources (OFR)Centers for Disease Control and Prevention
Telephone: 770-488-4790 (Include “Mandatory Grant Disclosures” in subject line)
Email: VMCCLOUD@CDC.GOV (Include “Mandatory Grant Disclosures” in subject line)

AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC  20201

Fax: (202)-205-0604 (Include “Mandatory Grant Disclosures” in subject line) or
Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

PROGRAM OR FUNDING GENERAL REQUIREMENTS

HIV Program Review Panel Requirement: All written materials, audiovisual materials, pictorials, questionnaires, survey instruments, websites, educational curricula and other relevant program materials must be reviewed and approved by an established program review panel. A list of reviewed materials and approval dates must be submitted to the CDC Grants Management Specialist identified in the CDC Roles and Responsibilities section of this NoA.

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-
Automatic Drawdown (Direct/Advance Payments): Payments under CDC awards will be made available through the Department of Health and Human Services (HHS) Payment Management System (PMS), under automatic drawdown, unless specified otherwise in the NOA. Recipients must comply with requirements imposed by the PMS on-line system. Questions concerning award payments or audit inquiries should be directed to the payment management services office.

PMS Website: https://pms.psc.gov/
PMS Phone Support: +1(877)614-5533
PMS Email Support: PMSSupport@psc.gov

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, *herein identified as the “P Account”*. Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number (below) must be known in order to draw down funds from this P Account.

**Document Number:** 005112PS17

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

**Certification Statement:** By drawing down funds, the recipient certifies that proper financial management controls and accounting systems, to include personnel policies and procedures, have been established to adequately administer federal awards and funds drawn down. Recipients must comply with all terms and conditions in the NOFO, outlined in their NOA, grant policy terms and conditions contained in applicable HHS Grant Policy Statements, and requirements imposed by program statutes and regulations and HHS grants administration regulations, as applicable; as well as any regulations or limitations in any applicable appropriations acts.

**PROGRAM OR FUNDING SPECIFIC CLOSEOUT REQUIREMENTS**

**Recipients must submit closeout reports in a timely manner.** Unless the Grants Management Specialist/Grants Management Officer (GMS/GMO) approves a deadline extension the recipient must submit all closeout reports within 90 days (*January 29, 2021*) of the period of performance end date. Reporting timeframe is **November 01, 2016 through October 31, 2020**. Failure to submit timely and accurate final reports may affect future funding to the organization or awards under the direction of the same Project Director/Principal Investigator (PD/PI).

All manuscripts published as a result of the work supported in part or whole by the cooperative grant must be submitted with the performance progress reports.
The final and other programmatic reports required by the terms and conditions of the NoA are the following.

**Final Performance Progress and Evaluation Report (PPER):** This report should include the information specified in the NOFO and is submitted after solicitation from the GMS/GMO via www.grantsolutions.gov. At a minimum, the report will include the following:

- Statement of progress made toward the achievement of originally stated aims.
- Description of results (positive or negative) considered significant.
- List of publications resulting from the project, with plans, if any, for further publication.

Information collection initiated under this grant/cooperative agreement has been approved by the Office of Management and Budget under OMB Number 0920-1132, “Performance Progress and Monitoring Report”, Expiration Date 8/31/2019.

**Final Federal Financial Report (FFR, SF-425):** The FFR should only include those funds authorized and actually expended during the timeframe covered by the report. The Final FFR, SF-425 is required and must be submitted to the GMO/GMS no later than 90 days (January 29, 2021) after the period of performance end date. To submit the FFR, login to www.grantsolutions.gov, select “Reports” from the menu bar and then click on Federal Financial Reports.

This report must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. Should the amount not match with the final expenditures reported to the Department of Health and Human Services’ Payment Management Services (PMS), you will be required to update your reports to PMS accordingly. Remaining unobligated funds will be de-obligated and returned to the U.S. Treasury. If the final reports (FFR and PPER) cannot be submitted within 90 days after the end of the period of performance, in accordance with 45 CFR Part 75.381 (Closeout), the recipient must submit a letter requesting an extension that includes the justification for the delay and state the expected date the CDC Office of Grants Services will receive the reports. All required documents must be submitted to the business contact identified in CDC Staff Contacts.

**Equipment Inventory Report:** A complete inventory must be submitted with final PPER documents for all major equipment acquired or furnished under this project with a unit acquisition cost of $5,000 or more. The inventory list must include the description of the item, manufacturer serial and/or identification number, acquisition date and cost, percentage of Federal funds used in the acquisition of the item. The recipient should also identify each item of equipment that it wishes to retain for continued use in accordance with 45 CFR Part 75. These requirements do apply to equipment purchased with non-federal funds for this program. The awarding agency may exercise its rights to require the transfer of equipment purchased under the assistance award referenced in the cover letter. CDC will notify the recipient if transfer to title will be required and provide disposition instruction on all major equipment. Equipment with a unit acquisition cost of less than $5,000 that is no longer to be used in projects or programs currently or previously sponsored by the Federal Government may be retained, sold, or otherwise disposed of, with no further obligation to the Federal Government. If no equipment was acquired under this award, a negative report is required.

**Final Invention Statement:** A Final Invention Statement must be submitted with the final PPER documents. Electronic versions of the form can be downloaded by visiting http://grants1.nih.gov/grants/hhs568.pdf. If no inventions were conceived under this assistance award, a negative report is required. This statement may be included in a cover letter.

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**CDC Staff Contacts**

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-
to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

**Grants Management Specialist Contact:**
Valerie McCloud, Grants Management Specialist
Centers for Disease Control and Prevention
Infectious Diseases Services Branch

Office of Grants Services (OGS)
Office of Financial Resources (OFR)
Telephone: 770-488-4790
Email: VMCLOUD@cdc.GOV

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements. *(See Cover page for Project Officer Information)*

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization. *(See Cover page for Grants Management Officer Information)*