Notice of Award

RESEARCH PROJECT COOPERATIVE AGREEMENT

Department of Health and Human Services
Centers for Disease Control and Prevention

NATIONAL CENTER FOR CHRONIC DISEASE PREV AND HEALTH PROMO

Grant Number: 3U01DP006213-01S1
FAIN: U01DP006213

Principal Investigator(s):
Venkata Phani Sekhar Garikapaty, PHD

Project Title: COMPONENT A - MISSOURI PREGNANCY RISK ASSESSMENT MONITORING SYSTEM

Dr. Garikapaty, Venkata, Ph.D
MISSOURI STATE DEPT/ HEALTH & SENIOR SRV
920 Wildwood
Jefferson City, MO 651020570

Award e-mailed to: grants@health.mo.gov

Budget Period: 12/30/2016 – 04/30/2017
Project Period: 12/30/2016 – 04/30/2018

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of $9,340 (see “Award Calculation” in Section I and “Terms and Conditions” in Section III) to MISSOURI STATE DEPARTMENT OF HEALTH & SENIOR SERVICES in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 31 USC 6305 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the “Terms and Conditions” is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Merlin Williams
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows
SECTION I – AWARD DATA – 3U01DP006213-01S1

Award Calculation (U.S. Dollars)

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries and Wages</td>
<td>$3,557</td>
</tr>
<tr>
<td>Fringe Benefits</td>
<td>$1,398</td>
</tr>
<tr>
<td>Personnel Costs (Subtotal)</td>
<td>$4,955</td>
</tr>
<tr>
<td>Supplies</td>
<td>$750</td>
</tr>
<tr>
<td>Other Costs</td>
<td>$66</td>
</tr>
<tr>
<td>Consortium/Contractual Cost</td>
<td>$2,400</td>
</tr>
</tbody>
</table>

Federal Direct Costs $8,171
Federal F&A Costs $1,169
Approved Budget $9,340
Federal Share $9,340
TOTAL FEDERAL AWARD AMOUNT $9,340

AMOUNT OF THIS ACTION (FEDERAL SHARE) $9,340

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02 $0
03 $0
04 $0
05 $0

Fiscal Information:
CFDA Number: 93.946
EIN: [redacted]
Document Number: 17DP006213

IC CAN 2017
CD 93907P2 $9,340

SUMMARY TOTALS FOR ALL YEARS

<table>
<thead>
<tr>
<th>YR</th>
<th>THIS AWARD</th>
<th>CUMULATIVE TOTALS</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>$9,340</td>
<td>$9,340</td>
</tr>
<tr>
<td>2</td>
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<td>$0</td>
</tr>
<tr>
<td>3</td>
<td>$0</td>
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<tr>
<td>4</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>5</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

CDC Administrative Data:
PCC: / OC: 4141 / Processed: WILLIAMSM0 12/19/2016

SECTION II – PAYMENT/HOTLINE INFORMATION – 3U01DP006213-01S1

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they
choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 3U01DP006213-01S1

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.
b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) U01DP006213. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Office Of The Director, Centers For Disease Control & Prevention (ODCDC)

Treatment of Program Income:
Additional Costs

SECTION IV – DP Special Terms and Conditions – 3U01DP006213-01S1

FUNDING OPPORTUNITY ANNOUNCEMENT (FOA) NUMBER: DP16-001
GRANT #1U01DP006213-01
Revision 6

ADDITIONAL TERMS AND CONDITIONS OF THIS AWARD

SUPPLEMENT: The purpose of this revised Notice of Award (NOA) is to authorize supplemental funds in the amount of $9,340 for the period 12/30/2016-04/30/2017. This action is taken in accordance with the grantee’s request dated 11/23/2016. The budget is approved as follows:

<table>
<thead>
<tr>
<th>Budget Category</th>
<th>Current Award</th>
<th>Supplement</th>
<th>Revised Award</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries &amp; Wages</td>
<td>$62,679.00</td>
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<td>$66,236.00</td>
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<tr>
<td>Fringe Benefits</td>
<td>$31,340.00</td>
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<tr>
<td>Equipment</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Supplies</td>
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<td>$8,135.00</td>
</tr>
<tr>
<td>Contractual</td>
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</tr>
<tr>
<td>Consulting</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Travel</td>
<td>$</td>
<td>$</td>
<td>$</td>
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<tr>
<td>Other</td>
<td>$2,222.00</td>
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<td>$2,288.00</td>
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<tr>
<td><strong>Total Direct</strong></td>
<td><strong>$152,312.00</strong></td>
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<td><strong>$160,483.00</strong></td>
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<tr>
<td>Indirect Costs</td>
<td>$22,188.00</td>
<td>$1,169.00</td>
<td>$23,357.00</td>
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These funds are approved for the current budget period with no commitment for continued support in future budget periods.

**BUDGET REVISION REQUIREMENT:** By **January 30, 2017** the grantee must submit a revised budget with a narrative justification and revised work plan to the GMS via email:

1. **Budget Justification:** Submit budget justification narratives for the following cost categories: Salaries & Wages, Fringe Benefits, Supplies, Contractual, and Other.

**PAYMENT MANAGEMENT SYSTEM SUBACCOUNT:** Effective October 1, 2013, a new HHS policy on subaccounts requires the CDC setup payment subaccounts within the Payment Management System (PMS) for all new grant awards. Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the “P Account”. A “P” Account is a subaccount created specifically for the purpose of tracking designated types of funding in the PMS.

All award funds must be tracked and reported separately. Funds must be used in support of approved activities in the FOA and the approved application.

The grant document number and subaccount title (below) must be known in order to draw down funds from this P Account.

**Grant Document Number:** 17DP006213  
**Subaccount Title:** DP16001RESCOOPAGFY17

Please be advised that the grantee must exercise proper stewardship over Federal funds by ensuring that all cost charged to their cooperative agreement are allowable, allocable, necessary, and reasonable.

All other terms and conditions issued with the original Notice of Award remain in effect throughout the budget period unless changed, in writing, by the CDC Grants Management Officer.

**PLEASE REFERENCE THE GRANT NUMBER ON ALL CORRESPONDENCE.**

Natasha Grant  
Grants Management Specialist (GMS)  
Office of Grants Services (OGS)  
Office of Financial Resources (OFR)  
Office of the Chief Operating Officer (OCOO)  
Centers for Disease Control and Prevention (CDC)  
ngrant@cdc.gov | 770-488-1649

**STAFF CONTACTS**  
Grants Management Specialist: Barry Gregory

Grants Management Officer: Merlin Williams  
Center for Disease Control and Prevention (CDC)
SPREADSHEET SUMMARY  
**GRANT NUMBER:** 3U01DP006213-01S1  
**INSTITUTION:** MISSOURI STATE DEPT/ HEALTH & SENIOR SRV  

<table>
<thead>
<tr>
<th>Budget</th>
<th>Year 1</th>
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<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
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<tbody>
<tr>
<td>Salaries and Wages</td>
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<tr>
<td><strong>TOTAL FEDERAL DC</strong></td>
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