

1. DATE ISSUED MM/DD/YYYY 08/01/2018	2. CFDA NO. 93.197	3. ASSISTANCE TYPE Cooperative Agreement
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DEPARTMENT OF HEALTH AND HUMAN SERVICES
Centers for Disease Control and Prevention
CDC Office of Financial Resources
2920 Brandywine Road
Atlanta, GA 30341

1a. SUPERSEDES AWARD NOTICE dated
except that any additions or restrictions previously imposed remain
in effect unless specifically rescinded

4. GRANT NO. 1 NUE2EH001375-01-00 Formerly	5. ACTION TYPE New
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6. PROJECT PERIOD MM/DD/YYYY From 09/30/2018	Through 09/29/2020
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7. BUDGET PERIOD MM/DD/YYYY From 09/30/2018	Through 09/29/2019
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NOTICE OF AWARD
AUTHORIZATION (Legislation/Regulations)
Section 317(k)(3) of the Public Health Service Act, [42 U.S.C.
247b (k)(3)]

8. TITLE OF PROJECT (OR PROGRAM)
Lead Poisoning Prevention-Childhood Lead Prevention

9a. GRANTEE NAME AND ADDRESS
HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF
Alternate Name: MISSOURI STATE DEPT/ HEALTH & SENIOR SRV
920 WILDWOOD DR
JEFFERSON CITY, MO 65109-5796

9b. GRANTEE PROJECT DIRECTOR
Mr. Jeff Wenzel
930 Wildwood Dr
Jefferson City, MO 65109-5796
Phone: 573-526-4911

10a. GRANTEE AUTHORIZING OFFICIAL
Ms. Tonya R Loucks
920 WILDWOOD DR
Business Official
JEFFERSON CITY, MO 65109-5796
Phone: 573-751-6014

10b. FEDERAL PROJECT OFFICER
Monica L Leonard
4770 Buford Hwy
NCEH
Atlanta, GA 30341-3717
Phone: 404.498.1826

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes Direct Assistance)	
I Financial Assistance from the Federal Awarding Agency Only	<input type="checkbox"/>
II Total project costs including grant funds and all other financial participation	<input type="checkbox"/>
a. Salaries and Wages	206,018.00
b. Fringe Benefits	106,780.00
c. Total Personnel Costs	312,798.00
d. Equipment	0.00
e. Supplies	2,459.00
f. Travel	9,997.00
g. Construction	0.00
h. Other	11,620.00
i. Contractual	41,500.00
j. TOTAL DIRECT COSTS →	378,374.00
k. INDIRECT COSTS	66,626.00
l. TOTAL APPROVED BUDGET	445,000.00
m. Federal Share	445,000.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION	
a. Amount of Federal Financial Assistance (from item 11m)	445,000.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	0.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	445,000.00
13. Total Federal Funds Awarded to Date for Project Period	445,000.00

14. RECOMMENDED FUTURE SUPPORT (Subject to the availability of funds and satisfactory progress of the project):			
YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:		<input type="checkbox"/>
a. DEDUCTION		
b. ADDITIONAL COSTS		
c. MATCHING		
d. OTHER RESEARCH (Add / Deduct Option)		
e. OTHER (See REMARKS)		

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

a. The grant program legislation
b. The grant program regulations.
c. This award notice including terms and conditions, if any, noted below under REMARKS.
d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached - Yes No)

GRANTS MANAGEMENT OFFICER Ralph U Robinson

17. OBJ CLASS 41.51	18a. VENDOR CODE	18b. EIN	19. DUNS 878092600	20. CONG. DIST. 03
FY-ACCOUNT NO.	DOCUMENT NO.	CFDA	ADMINISTRATIVE CODE	AMT ACTION FIN ASST
21. a. 8-93908GK	b. 18NUE2EH001375	c. 93.197	d. EH	e. \$207,420.00
22. a. 8-9390A4T	b. 18NUE2EH001375	c. 93.197	d. EH	e. \$237,580.00
23. a.	b.	c.	d.	e.

NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 2	DATE ISSUED 08/01/2018
GRANT NO. 1 NUE2EH001375-01-00	

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

AWARD ATTACHMENTS

MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

1 NUE2EH001375-01-00

1. Terms and Conditions
2. Objective Review Summary Statement

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number EH17-1701, entitled Lead Poisoning Prevention – Childhood Lead Poisoning Prevention, and application dated April 19, 2017, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

Approved Funding: Funding in the amount of \$445,000 is approved for the Year 01 budget period, which is September 30, 2018 through September 29, 2019. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

Financial Assistance Mechanism: Cooperative Agreement

Substantial Involvement by CDC: This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

CDC Program Support to Awardees

CDC will:

- Support awardees in the development/enhancement and implementation of their lead poisoning surveillance programs.
- Review the use of data and information collection methods and analysis instruments specific to the use of CDC HHLPPS.
- Provide guidance in implementing activities, and will identify major program issues, strategies, and priorities related to the cooperative agreement.
- Provide technical assistance in assessing program effectiveness.
- Promote collaboration with other federal, state, and local health; environmental; and housing agencies by initiating contacts, conference calls, and on-site visits to discuss programmatic issues.
- Provide HHLPPS at no cost, support awardees in deployment of the system and migration of data from other systems to HHLPPS, and provide ongoing maintenance of the system. (Note: Many states previously established HHLPPS under CDC-funded cooperative agreements.)

- Provide assistance in the evaluation of surveillance activities and reporting and disseminating reports to partners.
- Provide consultation and technical assistance regarding techniques and approaches used to deliver or render services.
- Review the use of data and information collected to support development, enhancement or implementation of population-based interventions and/or designating areas as lead-safe.

Objective Review Statement Response Requirement: The review comments on the strengths and weaknesses of the proposal are provided as part of this award. A response to the weaknesses in these statements must be submitted to and approved, in writing, by the Grants Management Specialist/Grants Management Officer (GMS/GMO) noted in the CDC Staff Contacts section of this NoA, no later than 30 days from the budget period start date. Failure to submit the required information by the due date, October 31, 2018, will cause delay in programmatic progress and will adversely affect the future funding of this project.

Budget Revision Requirement: By October 31, 2018 the recipient must submit a revised budget with a narrative justification. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

- OTHER – Temp Agency line item must be redirected to the Contractual cost category. All required contractual information must be provided in budget according to the CDC Budget Preparation Guidelines.

Program Income: Any program income generated under this grant or cooperative agreement will be used in accordance with the Addition alternative.

Addition alternative: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

FUNDING RESTRICTIONS AND LIMITATIONS

Indirect Costs: Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 9, 2018, which calculates indirect costs as follows, a Fixed is approved at a rate of 21.30% of the base, which includes, “Direct salaries and wages including all fringe benefits”. The effective dates of this indirect cost rate are from July 1, 2018 to June 30, 2019.

REPORTING REQUIREMENTS

Performance Progress and Monitoring: Performance information collection initiated under this grant/cooperative agreement has been approved by the Office of Management and Budget under **OMB Number 0920-1132, “Performance Progress and Monitoring Report”, Expiration Date 8/31/2019.** The components of the PPMR are available for download at: <https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html> .

Prevention Fund Recipient Reporting Rescission: Effective May 31, 2018, CDC grant award recipients that complete projects or activities which are funded under the Prevention and Public Health Fund (PPHF) (Section 4002 of Public Law 111-148) are no longer required to report separately on their use of PPHF funds, or distinguish sub-recipients use of PPHF funds. The rescission of PPHF reporting requirements does not alter or change administrative, programmatic, financial, or other reporting requirements indicated in a Notice of Award.

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Victoria McBee, Grants Management Specialist
Centers for Disease Control and Prevention
OD, Environmental, Occupational Health & Injury Prevention Services Branch
2960 Brandywine Road, MS E-01
Atlanta, GA 30318
Fax: 770-488-2688 (Include "Mandatory Grant Disclosures" in subject line)
Email: YIG9@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or
Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for

failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the “P Account”. Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

CDC Staff Contacts

Grants Management Specialist: The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

GMS Contact:

Victoria McBee, Grants Management Specialist
Centers for Disease Control and Prevention (CDC)
OD, Environmental, Occupational Health & Injury Prevention Services Branch
2960 Brandywine Road, MS E-01
Atlanta, GA 30341
Telephone: 770-488-2825
Fax: 770-488-2688
Email: yig9@cdc.gov

Program/Project Officer: The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

Programmatic Contact:

CDR Monica Leonard, Project Officer
Division of Emergency and Environmental Health Services
National Center for Environmental Health
Centers for Disease Control and Prevention (CDC)
4770 Buford Highway - Mailstop F58
Atlanta, Georgia 30341
Phone: 404-498-1826
E-mail address: Zgf7@cdc.gov

Grants Management Officer: The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to

obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

GMO Contact:

Tiffany Mannings, Grants Management Officer
Centers for Disease Control and Prevention (CDC)
OD, Environmental, Occupational Health & Injury Prevention Services Branch
2960 Brandywine Road, Mail Stop E-01
Atlanta, GA 30341
Telephone: 770-488-2515
Fax: 770-488-2670
Email: yuo7@cdc.gov

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SUMMARY STATEMENT

REVIEW DATE: May 9, 2017

APPL NUMBER: NUE2EH2017000056

INSTITUTION: Missouri Department of Health and Senior Services

TITLE: Lead Poisoning Prevention-Childhood Lead Prevention

APPROVED

SCORE: 81.33

SUMMARY:

The Missouri Department of Health and Senior Services is requesting funds to continue its established Childhood Lead Poisoning Prevention Program. This program links populations with a confirmed blood lead level at or above 4 ug/dL with appropriate resources and services. The applicant proposes to strengthen the program through the use of surveillance data to identify, prioritize, and provide or link appropriate interventions to target populations. Efforts are already underway to increase statewide blood testing through programmatic procedural changes including state rules review, to improve health and lead safety education, and to improve follow-up testing among potentially at-risk populations.

Strengths:

- The applicant describes conditions unique to the state that contribute to lead poisoning, including having 19.3% of housing units built before 1950, which is higher than the national average, and being the largest producer of lead in the United States today. Parents and older siblings who are exposed to lead in their workplaces might also expose children to the lead dust they carry home.
- The applicant has an established childhood lead poisoning surveillance system, which collects data on through the Missouri Strategic Architectures and Information Cooperative (MOHSAIC). The applicant describes plans to develop and implement enhancements to the system.
- The applicant presents performance measures in its work plan and describes multiple strategies and activities that will be used to implement them.
- The applicant demonstrates that it has maintained adequate staffing to perform the Childhood Lead Poisoning Prevention Program since the inception of the program in 1997, by noting the many accomplishments of the program (pp.11-12).

Weaknesses:

- The applicant does not clearly describe the operation of the MOHSAIC surveillance system.
- The applicant does not provide a clear description of how they will conduct analyses of surveillance data and does not describe a surveillance reporting system and dissemination

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plan that includes how data are collected, evaluated, reported, shared with partners, and disseminated to the public.

- The applicant does not describe a specific plan for submitting data to CDC.
- Many of the performance measures listed in the work plan are actually activities rather than concrete measures of performance (pp.32-37).
- The applicant notes a vacant position for a Health and Senior Services Manager assigned to the project at 20% time (p.13) but does not provide a plan for identifying and hiring a qualified applicant for that position on a timely basis.

Budget:

- The applicant provides a budget justification that includes personnel, travel, supplies, and contractual costs for the City of St Joseph Health Department, and information systems network access. The budget appears reasonable and is aligned with the activities described in the application.
- Very detailed budget justification, travel is reasonable

Recommendations: