

1. DATE ISSUED MM/DD/YYYY 09/17/2019		1a. SUPERSEDES AWARD NOTICE dated except that any additions or restrictions previously imposed remain in effect unless specifically rescinded	
2. CFDA NO. 93.071 - Medicare Enrollment Assistance Program			
3. ASSISTANCE TYPE Formula grant			
4. GRANT NO. 1801MOMIDR-01 Formerly		5. TYPE OF AWARD Mandatory	
4a. FAIN 1801MOMIDR		5a. ACTION TYPE Mandatory	
6. PROJECT PERIOD MM/DD/YYYY From 09/30/2018		Through 09/29/2020	
7. BUDGET PERIOD MM/DD/YYYY From 09/30/2019		Through 09/29/2020	
8. TITLE OF PROJECT (OR PROGRAM) FY2018 MIPPA: Priority 3 for ADRCs			

**Department of Health and Human Services  
Administration For Community Living  
CIP - MIPPA Project Grants**

330 C Street, SW  
330 C Street, SW  
Washington, DC 20201

**NOTICE OF AWARD**

AUTHORIZATION (Legislation/Regulations)  
The Medicare Improvements for Patients and Providers Act of 2008 –  
Section 119. Public Law (PL) 110-275 as amended by the Patient

9a. GRANTEE NAME AND ADDRESS HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF 920 WILDWOOD DR -DUP JEFFERSON CITY, MO 65109-5796		9b. GRANTEE PROJECT DIRECTOR Ms. Molly McGrath 920 Wildwood Dr Comm. Disease Control/Prevent Jefferson City, MO 65109-5796 Phone: 573-526-4389	
10a. GRANTEE AUTHORIZING OFFICIAL Ms. Tonya R Loucks 920 Wildwood Drive Jefferson City, MO 65109-5796 Phone: 573-751-6014		10b. FEDERAL PROJECT OFFICER Katherine J Glendenning Switzer Building 330 C Street, SW Washington, DC 20201-0003 Phone: 202-795-7350	

**ALL AMOUNTS ARE SHOWN IN USD**

11. APPROVED BUDGET (Excludes Direct Assistance)				12. AWARD COMPUTATION			
I Financial Assistance from the Federal Awarding Agency Only				a. Amount of Federal Financial Assistance (from item 11m) 13,735.00			
II Total project costs including grant funds and all other financial participation <input type="checkbox"/>				b. Less Unobligated Balance From Prior Budget Periods 0.00			
a. Salaries and WageS ..... 0.00				c. Less Cumulative Prior Award(s) This Budget Period 6,798.00			
b. Fringe Benefits ..... 0.00				d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION 6,937.00			
c. Total Personnel Costs ..... 0.00				13. Total Federal Funds Awarded to Date for Project Period 13,735.00			
d. Equipment ..... 0.00				14. RECOMMENDED FUTURE SUPPORT			
e. Supplies ..... 0.00				(Subject to the availability of funds and satisfactory progress of the project):			
f. Travel ..... 0.00				YEAR TOTAL DIRECT COSTS YEAR TOTAL DIRECT COSTS			
g. Construction ..... 0.00				a. b. d. e. c. f.			
h. Other ..... 13,735.00				15. PROGRAM INCOME SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:			
i. Contractual ..... 0.00				a. DEDUCTION			
j. TOTAL DIRECT COSTS → 13,735.00				b. ADDITIONAL COSTS			
k. INDIRECT COSTS At of \$ ( ) 0.00				c. MATCHING			
l. TOTAL APPROVED BUDGET 13,735.00				d. OTHER RESEARCH (Add / Deduct Option)			
m. Federal Share 13,735.00				e. OTHER (See REMARKS)			
n. Non-Federal Share 0.00				16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, THE FEDERAL AWARDOING AGENCY ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:			
				a. The grant program legislation			
				b. The grant program regulations.			
				c. This award notice including terms and conditions, if any, noted below under REMARKS.			
				d. Federal administrative requirements, cost principles and audit requirements applicable to this grant.			
				In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.			

**REMARKS** (Other Terms and Conditions Attached -  Yes  No)  
As identified in box 4, this amendment "-01" is issued as a non-competing continuation award for the budget period identified in box 7., and federal amount on line 12d. The budget year federal funds covering 9/30/2019 - 9/29/2020 have been placed in a Payment Management System subaccount labeled "1801xxMIDR02" or the Document No. identified in box 21b.

**GRANTS MANAGEMENT OFFICIAL:**

Tanielle Chandler, Grants Management Officer  
Switzer Building  
330 C Street, SW  
Washington, DC 20201-0003  
Phone: N/A

17.OBJ CLASS 41.15	18a. VENDOR CODE	18b. EIN	19. DUNS 878092600	20. CONG. DIST. 03
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 9-299999B	b. 1801MOMIDR02	c. CIP	d. \$6,937.00	e. 75-X-0142
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.

## NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 8	DATE ISSUED 09/17/2019
GRANT NO.	1801MOMIDR-01

### STANDARD TERMS

#### 1. Standard Administrative Terms

This award is paid by DHHS Payment Management System (PMS). Please go to <https://pms.psc.gov/> for payment and reporting information.

This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to <https://www.acl.gov/grants/managing-grant#4>.

Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 75, directly apply to this award apart from any coverage in the HHS GPS. Also, the general provisions from "The Department of Defense and Labor, Health and Human Services, and Education Appropriations Act, 2019 and Continuing Appropriations Act, 2019," Pub. L. No 115-245, signed into law on September 28, 2018, apply to this award and can be found on the ACL website: <https://www.acl.gov/grants/managing-grant#>

Initial expenditure of funds by the grantee constitutes acceptance of this award. Any future support is subject to the availability of funds and programmatic priorities.

Grantees are hereby given notice that the 48 CFR section 3.908, implementing section 828, entitled "Pilot Program for Enhancement of Contractor Whistleblower Protections," of the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2013 (Pub. L. 112-239, enacted January 2, 2013), applies to this award. The effective date is for all grants and contracts issued on or after July 1, 2013, through January 1, 2017.

#### **Salary Limitation:**

The General Provisions in Division H, § 202, of the (P.L. 116-6), includes provisions for a salary rate limitation. The law limits the salary amount that may be awarded and charged to ACL grants and cooperative agreements. Award funds may not be used to pay the salary of an individual at a rate in excess of Executive Level II. The Executive Level II salary of the Federal Executive Pay scale is \$192,300. This amount reflects an individual's base salary exclusive of fringe and any income that an individual may be permitted to earn outside of the duties to the applicant organization. This salary limitation also applies to subawards/subcontracts under an ACL grant or cooperative agreement. Note that these or other salary limitations will apply in FY 2019, as required by law

#### **DOMA: Implementation of United States v. Windsor and Federal Recognition of Same-Sex Spouses/Marriages:**

*References: United States v. Windsor, 133 S.Ct. 2675 (June 26, 2013); § 3 of the Defense of Marriage Act, codified at 1 USC § 7.*

A standard term and condition of award will be included in the final Notice of Award (NoA) that states: "In any grant-related activity in which family, marital, or household considerations are, by statute or regulation, relevant for purposes of determining beneficiary eligibility or participation, grantees must treat same-sex spouses, marriages, and households on the same terms as opposite-sex spouses, marriages, and households, respectively. By "same-sex spouses," HHS means individuals of the same sex who have entered into marriages that are valid in the jurisdiction where performed, including any of the 50 states, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. By

## NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 8	DATE ISSUED 09/17/2019
GRANT NO.	1801MOMIDR-01

"same-sex marriages," HHS means marriages between two individuals validly entered into in the jurisdiction where performed, including any of the 50 states, the District of Columbia, or a U.S. territory or in a foreign country, regardless of whether or not the couple resides in a jurisdiction that recognizes same-sex marriage. By "marriage," HHS does not mean registered domestic partnerships, civil unions or similar formal relationships recognized under the law of the jurisdiction of celebration as something other than a marriage."

### **Federal Awardee Performance and Integrity Information System (FAPIIS):**

If the total value of your currently active grants, cooperative agreements, and procurement contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS) about civil, criminal, or administrative proceedings described in paragraph 2 of this award term and condition. This is a statutory requirement under section 872 of Public Law 110-417, as amended (41 U.S.C. 2313). As required by section 3010 of Public Law 111-212, all information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available.

### **Federal Financial Accountability and Transparency Act (FFATA):**

The Federal Financial Accountability and Transparency Act (FFATA) requires data entry at the FFATA Subaward Reporting System located at <http://www.FSRS.gov> for all sub-awards and sub-contracts issued for \$25,000 or more as well as addressing executive compensation for both grantee and sub-award organizations. Additional guidance is located at: [http://www.acl.gov/Funding\\_Opportunities/Grantee\\_Info/FFATA.aspx](http://www.acl.gov/Funding_Opportunities/Grantee_Info/FFATA.aspx)

ACL discretionary grantees are required to use GrantSolutions (GS) for their end to end grants management services (tracking and receiving various award actions, submitting financial and progress reports, general correspondence, requests etc.). The grantee authorizing official identified in box 10a., and grantee project director identified in box 9b., must ensure they are registered with GS and have the appropriate role assigned to them by their organization. Please follow the GS grantee account registration information located at the following URL: <https://www.grantsolutions.gov/support/registration.html>. If you are unable to register or have questions associated with registration, contact your Grants Management Specialist (GMS).

### **Security and Privacy**

Should the collection of information require the use of an information technology system (2 CFR 200.58), the grant recipient and subrecipient(s) will be expected to adhere to the NIST Cybersecurity Framework to help ensure the security of any system used or developed by the grant recipient or subrecipient(s). In particular, if the data to be collected includes Personally Identifiable Information (PII, 2CFR 200.79) or Protected PII (2 CFR 200.82), the grant recipient and subrecipient(s) must apply the appropriate security controls required to protect the privacy and security of the collected PII and/or Protected PII.

## **REPORTING REQUIREMENTS**

### **1. MIPPA Performance Reporting Requirements**

## NOTICE OF AWARD (Continuation Sheet)

PAGE 4 of 8	DATE ISSUED 09/17/2019
GRANT NO. 1801MOMIDR-01	

All successful applicants under this announcement must comply with the following reporting and review activities:

- Submit program data via the SHIP Tracking and Referral System (STARS):
  - Grantees must report data for all MIPPA program activities including any outreach conducted to the public as well as one-on-one assistance provided to beneficiaries, their families, and/or caregivers. All data must be submitted, at a minimum, on a monthly basis.
  - All monthly data shall be submitted by the end of the month following the close of the reporting period. For example, all data from the month of October would be due November 30th.
  - Since many ZIP Codes cross county lines, ACL is requiring that the client county of residence be collected.
  - All required data must be submitted accurately, completely, and on time, and in the format specified by ACL. All reports shall be completed according to instructions distributed by ACL for grantees that directly enter data into the SHIP Data System and for grantees with proprietary systems that submit data through API (application programming interface). Contact-level encounter-data must be submitted for client contacts and event-level data for public and media activities. Technical consultation on report completion will be available through the ACL SHIP data system Contractor or the ACL designated MIPPA Project Officer.
  - States using a proprietary system (and all proprietary agencies operating within a state) must submit data into a fully compliant data system reflecting SHIP Data System specifications, with no unresolved preprocessor errors as a condition of eligibility for the reporting of performance data.
- Submit MIPPA semi-annual narrative progress report to ACL. The report shall include details regarding the progress made toward achieving the goals, outcomes, key objectives, and major tasks/action steps established in the state plan. Find the instructions in the [Current Guidelines for Preparing Performance Reports for Grants \(PDF, 68KB\)](#). The mid-term narrative progress report must be submitted through [www.grantsolutions.gov](http://www.grantsolutions.gov). The semi-annual narrative progress report covers the following periods:
  - September 30<sup>th</sup> through March 31<sup>st</sup> – due April 30<sup>th</sup>
  - April 1<sup>st</sup> through September 29<sup>th</sup> – due October 31<sup>st</sup>
- A final narrative report will be due at the end of the grant period. This final report will replace the last semi-annual narrative and must cover the entire life of the grant. The final narrative report is due 90 days after the end of the award (December 29th, 2020).
- **Products.** At any phase of the project period, the recipient shall deliver to the Administration for Community Living (ACL) upon request, any materials, systems or other items developed, refined, or enhanced under the grant award. The recipient agrees that ACL shall have royalty-free, non-exclusive, and irrevocable rights to reproduce, publish, or otherwise use and authorize others to use the items for Federal Government purposes.

PAGE 5 of 8	DATE ISSUED 09/17/2019
GRANT NO. 1801MOMIDR-01	

- Publications.** On all publications funded solely or in part by MIPPA funds, the recipient shall include the express acknowledgement, “This project was supported, in part by grant number 90XXXX####, from the U.S. Administration for Community Living, Department of Health and Human Services, Washington, D.C. 20201. Grantees undertaking project with government sponsorship are encouraged to express freely their findings and conclusions. Points of view or opinions do not, therefore, necessarily represent official ACL policy.”

**Financial Reporting**

All grantees must use the Federal Financial Report (SF-425) form at: <https://www.grants.gov/forms/post-award-reporting-forms.html>. The SF-425 form is downloadable as a PDF. Complete all lines as appropriate, sign and date and upload to GrantSolutions as a "grant note".

Grantees are required to submit semi-annual Federal Financial Report (SF-425), which are due 30 days following each six month reporting period. Semi-annual reporting periods are as follows:

- September 30<sup>th</sup> through March 31<sup>st</sup> – due April 30<sup>th</sup>
- April 1<sup>st</sup> through September 29<sup>th</sup> – due October 31<sup>st</sup>

Final financial reports must be submitted within 90 calendar days from the end of the project period.

**Payment Management System (PMS)**

Grantees are required to complete the FFR-Federal Cash Transaction Report (FCTR) in PMS which are due within 30 days after the end of each calendar quarter. The due dates are as follows:

- 10/01 – 12/31 due by 01/31
- 01/01 – 03/31 due by 04/30
- 04/01 – 06/30 due by 07/30
- 07/01 – 09/30 due by 10/30

**STAFF CONTACTS**

SHIP/SMP/MIPPA Project Officer

State Project Officer

Alabama Akia Dieuseul

Alaska Shefy Simon

NOTICE OF AWARD (Continuation Sheet)

PAGE 6 of 8	DATE ISSUED 09/17/2019
GRANT NO. 1801MOMIDR-01	

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Arizona	Shefy Simon
Arkansas	Sara Vogler
California	Melissa Simpson
Colorado	Sara Vogler
Connecticut	Shefy Simon
DC	Akia Dieuseul
Delaware	JulieAnn Chavez
Florida	Katie Glendening
Georgia	Katie Glendening
Guam	Shefy Simon
Hawaii	Shefy Simon
Idaho	Shefy Simon
Illinois	Shefy Simon
Indiana	Phil McKoy
Iowa	Maggie Flowers
Kansas	JulieAnn Chavez
Kentucky	Akia Dieuseul
Louisiana	Maggie Flowers
Maine	Marissa Whitehouse
Maryland	Akia Dieuseul
Massachusetts	Marissa Whitehouse
Michigan	Akia Dieuseul
Minnesota	Maggie Flowers
Mississippi	JulieAnn Chavez
Missouri	Katie Glendening
Montana	Sara Vogler

NOTICE OF AWARD (Continuation Sheet)

PAGE 7 of 8	DATE ISSUED 09/17/2019
GRANT NO. 1801MOMIDR-01	

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Nebraska	JulieAnn Chavez
Nevada	JulieAnn Chavez
New Hampshire	Marissa Whitehouse
New Jersey	Phil McKoy
New Mexico	JulieAnn
New York	Melissa Simpson
North Carolina	Katie Glendening
North Dakota	Sara Vogler
Ohio	Shefy Simon
Oklahoma	Sara Vogler
Oregon	Sara Vogler
Pennsylvania	JulieAnn Chavez
Puerto Rico	JulieAnn Chavez
Rhode Island	Marissa Whitehouse
South Carolina	Akia Dieuseul
South Dakota	Sara Vogler
Tennessee	Akia Dieuseul
Texas	JulieAnn Chavez
US Virgin Islands	Akia Dieuseul
Utah	Katie Glendening
Vermont	Katie Glendening
Virginia	Katie Glendening
Washington	Shefy Simon
West Virginia	Katie Glendening
Wisconsin	Maggie Flowers
Wyoming	Sara Vogler

NOTICE OF AWARD (Continuation Sheet)

PAGE 8 of 8	DATE ISSUED 09/17/2019
GRANT NO. 1801MOMIDR-01	

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