# Notice of Award

Award# 5 NU50DD000082-02-00

FAIN# NU50DD000082

Federal Award Date: 05/24/2021

# Recipient Information

#### 1. Recipient Name

Missouri Department of Health 920 WILDWOOD DR Missouri Department of Health JEFFERSON CITY, MO 65109-5796 [NO DATA]

- 2. Congressional District of Recipient
- 3. Payment System Identifier (ID)
- 4. Employer Identification Number (EIN)
- 5. Data Universal Numbering System (DUNS) 878092600
- 6. Recipient's Unique Entity Identifier

# 7. Project Director or Principal Investigator

Ms. Catherine Harbison Catherine Harbison@health.mo.gov 573-751-6473

### 8. Authorized Official

Ms. Marcia A Mahaney
Director
Marcia Mahaney@health.mo.gov
573-751-6014

# **Federal Agency Information**

CDC Office of Financial Resources

# 9. Awarding Agency Contact Information

Yves Wilkerson Grants Management Specialist qkm9@cdc.gov 404-498-2057

# 10.Program Official Contact Information

Stephanie Henry sxh1@cdc.gov 404-498-3809

# **Federal Award Information**

#### 11. Award Number

5 NU50DD000082-02-00

12. Unique Federal Award Identification Number (FAIN)

NU50DD000082

#### 13. Statutory Authority

Sec 399M(b)(1) PHSA [42U.S.C. 280g-1(b)(1)]

#### 14. Federal Award Project Title

Early Hearing Detection and Intervention Information System (EHDI-IS)

### 15. Assistance Listing Number

93.314

#### 16. Assistance Listing Program Title

Early Hearing Detection and Intervention Information System (EHDI-IS) Surveillance Program

#### 17. Award Action Type

Non-Competing Continuation

#### 18. Is the Award R&D?

No

# **Summary Federal Award Financial Information**

19. Budget Period Start Date 07/01/2021 - End Date 06/30/2022

20. Total Amount of Federal Funds Obligated by this Action \$160,000.00 20a. Direct Cost Amount \$134,174.00 20b. Indirect Cost Amount \$25,826.00 21. Authorized Carryover \$0.00

22. Offset\$0.0023. Total Amount of Federal Funds Obligated this budget period\$0.00

24. Total Approved Cost Sharing or Matching, where applicable \$0.00

25. Total Federal and Non-Federal Approved this Budget Period \$160,000.00

26. Project Period Start Date 07/01/2020 - End Date 06/30/2024

27. Total Amount of the Federal Award including Approved
Cost Sharing or Matching this Project Period
Not Available

#### 28. Authorized Treatment of Program Income

ADDITIONAL COSTS

# 29. Grants Management Officer - Signature

Nicole Comick-Bates Grants Management Officer

# 30. Remarks

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# **Recipient Information**

# **Recipient Name**

Missouri Department of Health 920 WILDWOOD DR Missouri Department of Health JEFFERSON CITY, MO 65109-5796

[NO DATA]

Congressional District of Recipient

03

Payment Account Number and Type

Employer Identification Number (EIN) Data

Universal Numbering System (DUNS)

878092600

Recipient's Unique Entity Identifier

Not Available

# 31. Assistance Type

Cooperative Agreement

32. Type of Award

Other

# 33. Approved Budget

(Excludes Direct Assistance)

I. Financial Assistance from the Federal Awarding Agency Only

II. Total project costs including grant funds and all other financial participation

a. Salaries and Wages	\$80,086.00
b. Fringe Benefits	\$48,403.00
c. TotalPersonnelCosts	\$128,489.00
d. Equipment	\$0.00
e. Supplies	\$0.00
f. Travel	\$1,195.00
g. Construction	\$0.00
h. Other	\$4,490.00
i. Contractual	\$0.00
j. TOTAL DIRECT COSTS	\$134,174.00
k. INDIRECT COSTS	\$25,826.00
1. TOTAL APPROVED BUDGET	\$160,000.00

m. Federal Share

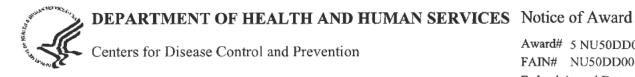
\$160,000.00

n. Non-Federal Share

\$0.00

# 34. Accounting Classification Codes

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
0-939ZRCP	20NU50DD000082	DD	41.51	\$0.00	75-20-0958
1-939ZRCP	20NU50DD000082	DD	41.51	\$160,000.00	75-21-0958



Award# 5 NU50DD000082-02-00

FAIN# NU50DD000082

Federal Award Date: 05/24/2021

# **Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# **AWARD ATTACHMENTS**

Missouri Department of Health

5 NU50DD000082-02-00

1. Terms and Conditions

# AWARD INFORMATION

**Incorporation**: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at

https://www.cdc.gov/grants/federalregulationspolicies/index.html, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number CDC-RFA DD20-2006, entitled Improving Timely Documentation, Reporting, and Analysis of Diagnostic and Intervention Data through Optimization of EHDI Surveillance Practices and Information Systems, and application dated March 2, 2021, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of \$160,000 is approved for the Year 02 budget period, which is July 1, 2021 through June 30, 2022. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

Financial Assistance Mechanism: Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO:

- Supporting recipient in implementing cooperative agreement requirements and advancing program activities to meet outcomes.
- 2. Providing technical assistance to revise annual work plans and budgets.
- Collaboration on enhancing and expanding outcomes surveillance activities, including the collection, management, analysis, and dissemination of EHDI data.
- Collaborating with recipient to develop and implement strategies and evaluation plans and use evaluation findings.
- 5. Providing technical assistance to define and operationalize performance measures and implement recipients' performance measurement plans.
- Collaborating on and co authoring scientific reports, white papers, manuscripts, book chapters, and other derivative works arising from data collected and analyzed through this cooperative agreement consistent with CDC policies and procedures.

**Budget Revision Requirement:** By **August 1, 2021** the recipient must submit responses to the following budget concerns:

# TRAVEL

The funds were reduced to reflect the disallowance of the registration and redirected to Other. The funds can be redirected to another category.

# OTHER

The funds were increased to reflect the funds redirected from Travel. The funds can be redirected to another category.

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

☑ Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

**Program Income**: Any program income generated under this grant or cooperative agreement will be used in accordance with the Addition alternative.

Addition alternative: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

# **FUNDING RESTRICTIONS AND LIMITATIONS**

Restrictions that must be considered while planning the programs and writing the budget are:

- Recipients may not use funds for research.
- Recipients may not use funds for clinical care except as allowed by law.
- Recipients may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, recipients may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.

- Reimbursement of pre-award costs generally is not allowed, unless the CDC provides written approval to the recipient.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
  - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
  - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body

See <u>Additional Requirement (AR) 12</u> for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients.

 The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 17, 2020, which calculates indirect costs as follows, a Provisional is approved at a rate of 20.10% of the base, which includes, Direct salaries and wages including fringe benefits. The effective dates of this indirect cost rate are from July 1, 2021 to June 30, 2023.

### REPORTING REQUIREMENTS

Performance Progress and Monitoring: Performance information collection initiated under this grant/cooperative agreement has been approved by the Office of Management and Budget under OMB Number 0920-1132, "Performance Progress and Monitoring Report", Expiration Date 10/31/2022. The components of the PPMR are available for download at: <a href="https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html">https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html</a>.

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Yves Wilkerson, Grants Management Specialist
Centers for Disease Control and Prevention
Branch 4
2939 Brandywine Road MS TV-2
Atlanta, GA 30341

Email: <a href="mailto:gkm9@cdc.gov">gkm9@cdc.gov</a> (Include "Mandatory Grant Disclosures" in subject line)

# AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

# PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to <a href="mailto:hhstips@oig.hhs.gov">hhstips@oig.hhs.gov</a> or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

**Payment Management System Subaccount**: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified at the bottom of Page 2 of the Notice of Award must be known in order to draw down funds.

# CDC Staff Contacts

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

<sup>\*\*</sup> Contact information for the GMS is listed on Page 1 of this NoA.

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

\*\* Contact information for the PO is listed on Page 1 of this NoA.

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

\*\* Contact information for the GMO is listed on Page 1 of this NoA.

# Gibson, Lisa

From:

(Grantsolutions) < noreply@grantsolutions.gov>

Sent:

Tuesday, May 25, 2021 6:12 AM

To:

**DHSS.Grants** 

Subject:

The Grant for Missouri Department of Health (5 NU50DD000082-02-00) has been

awarded.



The Grant/Cooperative Agreement for Grant# 5 NU50DD000082-02-00 has been awarded and released.

Organization: Missouri Department of Health

Grant#: <u>5 NU50DD000082-02-00</u>
Application#: NU50DD2021001292

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Application Type: Non-Competing Continuation

Program Office: National Center on Birth Defects and Developmental Disabilities [DD] (NCBDDD)

Grant Program: DD20-2006 Improving Timely Documentation, Reporting, and Analysis of Diagnostic

Project Title: Early Hearing Detection and Intervention Information System (EHDI-IS)

Agency: Centers for Disease Control and Prevention

To view the award in GrantSolutions, please click the grant number below or use the following URL

(https://www.grantsolutions.gov/gs/ws/process/award/5+NU50DD000082-02-00).

If you do not have a Grantee account, please complete the Grantee Account Registration form and submit it to the HelpDesk. After verification with the Grants

Office associated with this award, the HelpDesk will inform you of your new account information. Please follow the instructions provided in the form.

Grantee Account Registration Form		

This message has been sent by GrantSolutions (https://www.grantsolutions.gov)