COOPERATIVE AGREEMENTS **Issue Date:** 08/17/2015
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR HIV, VIRAL HEPATITIS, STDS AND TB PREVENTION

Grant Number: 5U62PS003976-03 REVISED
FAIN: U62PS003976

Principal Investigator(s):
Ken Palermo

Project Title: Testing, Linkage to Care, Retention and Re-engagement to Care

BRET FISCHER
DIRECTOR, DIVISION OF ADMINISTRATION
MISSOURI DEPARTMENT OF HEALTH &
920 WILDWOOD DRIVE
JEFFERSON CITY, MO 65109

Award e-mailed to: grants@health.mo.gov

Budget Period: 09/30/2014 – 09/30/2016
Project Period: 09/30/2012 – 09/30/2016

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MISSOURI STATE DEPARTMENT OF HEALTH & SENIOR SERVICES in support of the above referenced project. This award is pursuant to the authority of 307,317K2 PHSA,42USC241,247BK2,PL108 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Arthur Lusby
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 5U62PS003976-03 REVISED

Award Calculation (U.S. Dollars)

Salaries and Wages	$112,936
Fringe Benefits	$55,703
Personnel Costs (Subtotal)	$168,639
Supplies	$251,354
Travel Costs	$13,758
Other Costs	$5,392
Consortium/Contractual Cost	$1,527,549
Federal Direct Costs	$1,966,692
Federal F&A Costs	$33,308
Approved Budget	$2,000,000
Federal Share	$2,000,000
Less Unobligated Balance	$1,000,000
TOTAL FEDERAL AWARD AMOUNT	$1,000,000
AMOUNT OF THIS ACTION (FEDERAL SHARE)	$0

Fiscal Information:

CFDA Number: 93.940
EIN: [redacted]
Document Number: UPS003976A

IC	CAN	2014
PS	939ZZJF	$1,000,000

SUMMARY TOTALS FOR ALL YEARS		
YR	**THIS AWARD**	**CUMULATIVE TOTALS**
3	$1,000,000	$1,000,000

CDC Administrative Data:
PCC: N / **OC**: 4151 / **Processed**: LUSBYA 08/17/2015

SECTION II – PAYMENT/HOTLINE INFORMATION – 5U62PS003976-03 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 5U62PS003976-03 REVISED

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.
b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.

c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) U62PS003976. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Treatment of Program Income:
Additional Costs

SECTION IV – PS Special Terms and Conditions – 5U62PS003976-03 REVISED

Funding Opportunity Announcement (FOA) Number: PS12-1210
Award Number: 5 U62PS003976-03 (Revision 3)
Award Type: Cooperative Agreement
Applicable Cost Principles: 2 CFR Part 225 Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A–87)

ADDITIONAL AWARD INFORMATION

PURPOSE:

NO COST EXTENSION: The purpose of this revised Notice of Award is to approve a no-cost extension (12 months). The budget and project period ending date has been extended from September 29, 2015 to September 29, 2016. This action is in response to the grantee's correspondence dated April 3, 2015. It is our understanding that the extended time is needed to complete the project. Therefore, the twelve month no cost extension is granted to allow for completion and an orderly phase out of the project. Your extended budget period covers September 30, 2014 through September 29, 2016; all funds approved for this period are available for obligations.

REVISED REPORTING REQUIREMENTS: Submit the reports to the Grants Management Specialist, along with a courtesy copy to the Project Officer.

Submit the reports to the Grants Management Specialist, along with a courtesy copy to the Project Officer.

i. An Annual progress report will be due 90 days after the end of the budget period due date **December 31, 2016**and should include the following elements. Reporting timeframe is September 30, 2014 through September 29, 2016.

-- a comparison of actual accomplishments to the goal established for the period;
-- the reasons for failure, if established goals were not met; and
-- other pertinent information including, when appropriate, analysis and explanation of performance costs significantly higher than expected.

ii. Grantees must submit closeout reports in a timely manner. Unless the Grants Management Specialist/Grants Management Officer (GMS/GMO) approves a deadline extension the grantee must submit all closeout reports within 90 days after the last day of the final budget period. Reporting timeframe is **September 30, 2012** through **September 29, 2016**. Failure to submit timely and accurate final reports may affect future funding to the organization or awards under the direction of the same Project Director/Principal Investigator (PD/PI).

All manuscripts published as a result of the work supported in part or whole by the cooperative grant must be submitted with the progress reports.

An original plus two copies of the reports must be mailed to the GMS for approval by the GMO by the due date noted. Ensure the Award and Program Announcement numbers shown above are on the reports.

The final and other programmatic reports required by the terms and conditions of the NoA are the following:

Final Performance Report: An original and two copies are required. At a minimum, the report should include the following:

- Statement of progress made toward the achievement of originally stated aims.
- Description of results (positive or negative) considered significant.
- List of publications resulting from the project, with plans, if any, for further publication.

Final Federal Financial Report (FFR, SF-425): The FFR should only include those funds authorized and actually expended during the timeframe covered by the report. The Final FFR, SF-425 is required and must be submitted through eRA Commons no later than 90 days after the end of the project period. This report must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. Should the amount not match with the final expenditures reported to the Department of Health and Human Services' Payment Management System (PMS), you will be required to update your reports to PMS accordingly. Remaining unobligated funds will be de-obligated and returned to the U.S. Treasury.

If the final reports (FFR and Final Progress Report) cannot be submitted within 90 days after the end of the project period, in accordance with 2 CFR Parts 200.343 (Closeout), 225 and 230, the grantee must submit a letter requesting an extension that includes the justification for the delay and state the expected date the CDC Procurement and Grants Office will receive the reports. All required documents must be mailed to the business contact identified in Staff Contacts.

Equipment Inventory Report: An original and two copies of a complete inventory must be submitted for all major equipment acquired or furnished under this project with a unit acquisition cost of $5,000 or more. The inventory list must include the description of the item, manufacturer serial and/or identification number, acquisition date and cost, percentage of Federal funds used in the acquisition of the item. The grantee should also identify each item of equipment that it wishes to retain for continued use in accordance with 2 CFR Parts 200, 215.37 or 2 CFR Part 215.71. These requirements do apply to equipment purchased with non-federal funds for this program. The awarding agency may exercise its rights to require the transfer of equipment purchased under the assistance award referenced in the cover letter. CDC will notify the grantee if transfer to title will be required and provide disposition instruction on all major equipment. Equipment with a unit acquisition cost of less than $5,000 that is no longer to be used in projects or programs currently or previously sponsored by the Federal Government may be retained, sold, or otherwise disposed of, with no further obligation to the Federal Government. If no equipment was acquired under this award, a negative report is required.

Final Invention Statement: An original and two copies of a Final Invention Statement are required. Electronic versions of the form can be downloaded by visiting http://www.hhs.gov/forms/hhs568.pdf. If no inventions were conceived under this assistance award, a negative report is required. This statement may be included in a cover letter.

CARRYOVER:

This revision is also to approve carryover of unobligated funds in the amount of **$1,000,00**0 from budget year 2 to budget year 3 as requested in your letter dated July 14, 2015. The funds will be used to support the objectives of the program. The use of unobligated funds is approved based on the Federal Financial Report (FFR) dated December 23, 2014. The total notice of award will be subject to a reduction if the actual unobligated amount is less than the funding shown on the referenced FFR.

The funds have been authorized by cost categories as follows:

AMOUNT	CATEGORY

36,427	SALARIES
18,214	FRINGE
150,311	SUPPLIES
3,424	TRAVEL
1,835	OTHER
778,369	CONTRACTUAL
11,420	INDIRECT
$1,000,000.00	**Total Approved**

These funds have been approved to support one-time activities to be completed by the end of the current budget period.

These funds have been approved for identified activities and must be completed by the end of the current budget period. CDC has extended this award to allow for continued drawdown authority in the Payment Management System.

Award funds may be withdrawn from the subaccount identified below:

Grant Document Number: UPS003976A

All other terms and conditions of this award remain in full effect, unless otherwise changed, in writing, by the Grants Management Officer.

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Programmatic Contact:
Dr. Kim Williams, Project Officer
Department of Health and Human Services
Center for Disease Control and Prevention
Division of HIV/AIDS Prevention
1600 Clifton Road, MS E37
Atlanta, GA 30333
Telephone: (404)639-6157
Fax: (404)639-1934
Email: ktw5@cdc.gov

STAFF CONTACTS
Grants Management Specialist: Shirley K Byrd
Center for Disease Control and Prevention (CDC)
KOGR Bldg STANF Rm 2057
MS E-15
Atlanta, GA 30341
Email: yuo6@cdc.gov

Grants Management Officer: Arthur Lusby
Centers for Disease Control and Prevention (CDC)
Procurment and Grants Office
2920 Brandywine Road, MS E-15
Atlanta, GA 30341
Email: alusby@cdc.gov **Phone**: (770) 488-2865 **Fax**: 770-488-2868

SPREADSHEET SUMMARY
GRANT NUMBER: 5U62PS003976-03 REVISED

INSTITUTION: MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

Budget	Year 3
Salaries and Wages	$112,936
Fringe Benefits	$55,703
Personnel Costs (Subtotal)	$168,639
Supplies	$251,354
Travel Costs	$13,758
Other Costs	$5,392
Consortium/Contractual Cost	$1,527,549
TOTAL FEDERAL DC	$1,966,692
TOTAL FEDERAL F&A	$33,308
TOTAL COST	$1,000,000

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