DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

Notice of Award

Award# 5 NUE1EH001393-05-00 FAIN# NUE1EH001393 Federal Award Date: 05/22/2023

Recipient Information	Federal Award Information 11. Award Number 5 NUE1EH001393-05-00 12. Unique Federal Award Identification Number (FAIN) NUE1EH001393 13. Statutory Authority Section 317 (k)(2) and 317I of the Public Health Service Act, [42 U.S.C. Sections 247b and 247b-10], as amended 14. Federal Award Project Title A Comprehensive Public Health Approach to Asthma Control Through Evidence-Based Interventions		
 Recipient Name MISSOURI DEPARTMENT OF HEALTH & SENIOR SERVICES 920 WILDWOOD DR JEFFERSON CITY, MO 65109-5796 [NO DATA] Congressional District of Recipient Testing Service Se			
 03 3. Payment System Identifier (ID) 1446000987B7 4. Employer Identification Number (EIN) 446000987 5. Data Universal Numbering System (DUNS) 878092600 6. Recipient's Unique Entity Identifier (UEI) UETLXV8NG8F4 7. Project Director or Principal Investigator 	 15. Assistance Listing Number 93.070 16. Assistance Listing Program Title Environmental Public Health and Emergency Response 17. Award Action Type Non-Competing Continuation 18. Is the Award R&D? No 		
Peggy Gaddy Peggy.Gaddy@health.mo.gov 573-522-2876	Summary Federal Award Financial Informatio 19. Budget Period Start Date 09/01/2023 - End Date 08/31/2024	n	
 8. Authorized Official Ms. Marcia A Mahaney Director Marcia.Mahaney@health.mo.gov 573-751-6014 	 20. Total Amount of Federal Funds Obligated by this Action 20a. Direct Cost Amount 20b. Indirect Cost Amount 21. Authorized Carryover 22. Offset 	\$625,000.00 \$598,617.00 \$26,383.00 \$0.00 \$0.00	
 Federal Agency Information CDC Office of Financial Resources 9. Awarding Agency Contact Information Judith Davis 	 23. Total Amount of Federal Funds Obligated this budget period 24. Total Approved Cost Sharing or Matching, where applicable 25. Total Federal and Non-Federal Approved this Budget Period 26. Period of Perfomance Start Date 09/01/2019 - End Date 08/31/2024 	\$0.00 \$0.00 \$625,000.00	
N/A xxg6@cdc.gov 770-488-2936	27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Period of Performance	\$3,125,000.00	
10.Program Official Contact Information Ms. Alisha Etheredge Director epq5@cdc.gov (770) 488-7884	 28. Authorized Treatment of Program Income ADDITIONAL COSTS 29. Grants Management Officer – Signature Mrs. Rhonda Latimer Grants Management Officer 		

30. Remarks

A REALING STREET

DEPARTMENT OF HEALTH AND HUMAN SERVICES

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33. Approved Budget (Excludes Direct Assistance)		
 Financial Assistance from the Federal Awarding Agency Only Total project costs including grant funds and all other financial participation 		
 a. Salaries and Wages b. Fringe Benefits c. TotalPersonnelCosts d. Equipment e. Supplies f. Travel g. Construction b. Other 	\$86,633.00 \$59,127.00 \$145,760.00 \$0.00 \$232.00 \$2,609.00 \$0.00 \$4,380.00	
i. Contractual	\$445,636.00	
j. TOTAL DIRECT COSTS	\$598,617.00	
k. INDIRECT COSTS	\$26,383.00	
1. TOTAL APPROVED BUDGETm. Federal Sharen. Non-Federal Share	\$625,000.00 \$625,000.00 \$0.00	
	(Excludes Direct Assistance)1. Financial Assistance from the Federal Awarding AgII. Total project costs including grant funds and all ca. Salaries and Wagesb. Fringe Benefitsc. TotalPersonnelCostsd. Equipmente. Suppliesf. Travelg. Constructionh. Otheri. Contractualj. TOTAL DIRECT COSTSk. INDIRECT COSTSl. TOTAL APPROVED BUDGETm. Federal Share	

ADMINISTRATIVE CODE OBJECT CLASS CFDA NO. AMT ACTION FINANCIAL ASSISTANCE APPROPRIATION FY-ACCOUNT NO. DOCUMENT NO. 75-19-0947 19NUE1EH001393 9-9390AVT EH 41.51 93.070 \$0.00 0-9390AVT 19NUE1EH001393 EH 41.51 93.070 \$0.00 75-20-0947 1-9390AVT 19NUE1EH001393 EH 41.51 93.070 \$0.00 75-21-0947 2-9390AVT 19NUE1EH001393 EH 41.51 93.070 \$0.00 75-22-0947 EH 41.51 93.070 \$625,000.00 75-23-0947 3-9390AVT 19NUE1EH001393



Award# 5 NUE1EH001393-05-00 FAIN# NUE1EH001393 Federal Award Date: 05/22/2023

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

AWARD ATTACHMENTS

MISSOURI DEPARTMENT OF HEALTH & SENIOR SERVICES

5 NUE1EH001393-05-00

1. T&C EH001393 Yr 5 Continuation

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at

<u>https://www.cdc.gov/grants/federalregulationspolicies/index.html</u>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number EH19-1902, entitled A Comprehensive Public Health Approach to Asthma Control Through Evidence-Based Interventions, and application dated April 13, 2023, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

Approved Funding: Funding in the amount of \$625,000 is approved for the Year 5 budget period, which is September 1, 2023 through August 31, 2024. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third-party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

Financial Assistance Mechanism: Cooperative Agreement

Substantial Involvement by CDC: This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

- Supporting recipients to implement cooperative agreement requirements and advance program activities to meet outcomes.
- Providing technical assistance to revise annual work plans and budgets.
- Providing expertise and resources related to scientific subject matter and health care systems.
- Providing consultation and guidance on enhancing and expanding existing asthma surveillance activities, including the analysis and interpretation of data sets.
- Providing advice on the development, publication, and dissemination of surveillance reports, fact sheets, or other data products.
- Collaborating with recipients to develop and implement strategic and individual
- evaluation plans and use evaluation findings.
- Providing technical assistance to operationalize and report performance measures.
- Analyzing recipient performance measurement data and evaluation findings to provide suggestions for program improvement.
- Engaging recipients in cross-state evaluations of program activities and outcomes. Establishing and facilitating learning opportunities to increase information sharing among recipients.
- Providing professional development and training opportunities, either in person or through virtual web-based training formats for the purpose of sharing best practices and

the latest science on asthma.

• Convening in-person meetings that provide recipients with opportunities to exchange resources, share lessons learned and address common issues.

Expanded Authority: The recipient is permitted the following expanded authority in the administration of the award.

Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

<u>Addition alternative</u>: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

FUNDING RESTRICTIONS AND LIMITATIONS

Indirect Costs:

Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 6, 2022, which calculates indirect costs as follows, a Provisional is approved at a rate of 18.10% of the base, which includes Direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2023, until June 30, 2025.

REPORTING REQUIREMENTS

Performance Progress and Monitoring: Performance information collection initiated under this grant/cooperative agreement has been approved by the Office of Management and Budget under **OMB Number 0920-1132**, "**Performance Progress and Monitoring Report**" **Expiration Date 10/31/2022.** The components of the PPMR are available for download at: <u>https://www.cdc.gov/grants/already-have-grant/Reporting.html</u>.

Required Disclosures for Federal Awardee Performance and Integrity Information System

(FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services Julie Davis, Grants Management Specialist Centers for Disease Control and Prevention 2939 Flowers Rd Atlanta, GA 30341 Email: xxg6@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services Office of the Inspector General ATTN: Mandatory Grant Disclosures, Intake Coordinator 330 Independence Avenue, SW Cohen Building, Room 5527 Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email: <u>MandatoryGranteeDisclosures@oig.hhs.gov</u>

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to <u>hhstips@oig.hhs.gov</u> or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

PROGRAM OR FUNDING SPECIFIC CLOSEOUT REQUIREMENTS

Final Performance Progress and Evaluation Report: This report should include the information specified in the NOFO and is submitted 90 days following the end of the period of

performance via <u>www.grantsolutions.gov</u>. At a minimum, the report will include the following:

- Statement of progress made toward the achievement of originally stated aims.
- Description of results (positive or negative) considered significant.
- List of publications resulting from the project, with plans, if any, for further publication.

Additional guidance may be provided by the GMS and found at: <u>https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html</u>

CDC Staff Contacts

Grants Management Specialist: The GMS is the federal staff member responsible for the dayto-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

GMS Contact:

Julie Davis, Grants Management Specialist Centers for Disease Control and Prevention Office of Financial Resources 2939 Flowers Rd. Atlanta, GA 30341 Telephone: 770-488-2936 Email: xxg6@cdc.gov

Program/Project Officer: The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

Programmatic Contact:

Alisha A. Etheredge, Public Health Advisor Asthma and Community Health Branch Division of Environmental Health Science and Practice National Center for Environmental Health Centers for Disease Control and Prevention 4770 Buford Highway Atlanta, GA 30341 770-488-7884 (Office) Email: aetheredge@cdc.gov

Grants Management Officer: The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

GMO Contact:

Rhonda Latimer Centers for Disease Control and Prevention Office of Financial Resources (OFR) 2939 Flowers Rd. Atlanta, GA 30341 Phone: 770-488-1647 ito1@cdc.gov