

### DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Centers for Disease Control and Prevention

Notice of Award

Award# 5 NU58DP007130-02-00 FAIN# NU58DP007130 Federal Award Date: 05/18/2023

#### **Federal Award Information Recipient Information** 11. Award Number 1. Recipient Name 5 NU58DP007130-02-00 MISSOURI DEPARTMENT OF HEALTH & 12. Unique Federal Award Identification Number (FAIN) SENIOR SERVICES NU58DP007130 920 Wildwood Dr 13. Statutory Authority Missouri Dept. of Health and Senior Services Public Health Service Act, as amended, Section 301(a) and Section 317K, 42 U.S.C. 241(a); 42 U.S.C. 247b-Jefferson City, MO 65109-5796 12 [NO DATA] 14. Federal Award Project Title 2. Congressional District of Recipient Cancer Prevention and Control Programs 3. Payment System Identifier (ID) **15. Assistance Listing Number** 1446000987B7 03 808 4. Employer Identification Number (EIN) 16. Assistance Listing Program Title 446000987 Cancer Prevention and Control Programs for State, Territorial and Tribal Organizations 5. Data Universal Numbering System (DUNS) 878092600 **17. Award Action Type** 6. Recipient's Unique Entity Identifier (UEI) Non-Competing Continuation UETLXV8NG8F4 18. Is the Award R&D? 7. Project Director or Principal Investigator No Ms. Misty Phillips **Summary Federal Award Financial Information** Comprehensive Cancer Program Manager misty.phillips@health.mo.gov **19. Budget Period Start Date** 06/30/2023 - End Date 06/29/2024 573-522-2848 20. Total Amount of Federal Funds Obligated by this Action \$4,037,866.00 8. Authorized Official 20a. Direct Cost Amount \$3,985,252.00 Mrs. Marcia Mahaney 20b. Indirect Cost Amount \$52,614,00 Director, Division of Admnistration 21. Authorized Carryover \$0.00 marcia.mahaney@health.mo.gov 22. Offset 573-751-6014 \$0.00 23. Total Amount of Federal Funds Obligated this budget period \$0.00 **Federal Agency Information** 24. Total Approved Cost Sharing or Matching, where applicable \$1,115,833.00 CDC Office of Financial Resources 25. Total Federal and Non-Federal Approved this Budget Period \$5,153,699.00 9. Awarding Agency Contact Information 26. Period of Perfomance Start Date 06/30/2022 - End Date 06/29/2027 Ms. Karen Law 27. Total Amount of the Federal Award including Approved Grants Management Specialist Cost Sharing or Matching this Period of Performance \$9,262,550.22 uep2@cdc.gov 404-498-1360 28. Authorized Treatment of Program Income **10.Program Official Contact Information** ADDITIONAL COSTS Charissa Rivers 29. Grants Management Officer - Signature ili3@cdc.gov

Ms. Pamela Render Grants Management Officer

### 30. Remarks

770-488-3938



### DEPARTMENT OF HEALTH AND HUMAN SERVICES

# Centers for Disease Control and Prevention

### Notice of Award

Award# 5 NU58DP007130-02-00 FAIN# NU58DP007130 Federal Award Date: 05/18/2023

Recipient Information	<b>33. Approved Budget</b> (Excludes Direct Assistance)				
Recipient Name	I. Financial Assistance from the Federal Awarding Agency Only				
MISSOURI DEPARTMENT OF HEALTH &	II. Total project costs including grant funds and all other financial participa				
SENIOR SERVICES	a. Salaries and Wages	\$688,124.00			
920 Wildwood Dr Missouri Dept. of Health and Senior Services	b. Fringe Benefits	\$459,791.00			
Jefferson City, MO 65109-5796	c. TotalPersonnelCosts	\$1,147,915.00			
[NO DATA] Congressional District of Recipient	d. Equipment	\$0.00			
03	e. Supplies	\$2,319.00			
Payment Account Number and Type	f. Travel	\$25,815.00			
1446000987B7 Employer Identification Number (EIN) Data	g. Construction	\$0.00			
446000987	h. Other	\$321,781.00			
Universal Numbering System (DUNS) 878092600 Recipient's Unique Entity Identifier (UEI) UETLXV8NG8F4	i. Contractual	\$2,487,422.00			
	j. TOTAL DIRECT COSTS	\$3,985,252.00			
	k. INDIRECT COSTS	\$52,614.00			
<ul><li>31. Assistance Type</li><li>Cooperative Agreement</li><li>32. Type of Award</li><li>Other</li></ul>	1. TOTAL APPROVED BUDGET	\$4,037,866.00			
	m. Federal Share	\$4,037,866.00			
	n. Non-Federal Share	\$1,115,833.00			

#### ADMINISTRATIVE CODE OBJECT CLASS AMT ACTION FINANCIAL ASSISTANCE CFDA NO. APPROPRIATION FY-ACCOUNT NO. DOCUMENT NO. 3-9213161 22NU58DP007130 93 898 \$60 747 00 75-23-0948 DP 41.51 22NU58DP007130 DP 75-23-0948 3-9213164 41.51 93.898 \$38,656.00 3-921Z1RL 22NU58DP007130 DP 41.51 93.898 \$102,165.00 75-23-0948 3-921Z1RU 22NU58DP007130 DP 41.51 93.898 \$2,500,000.00 75-23-0948 3-9390539 22NU58DP007130 DP 41.51 93.898 \$11,872.00 75-23-0948 3-9390540 22NU58DP007130 DP 41.51 93 898 \$129,705.00 75-23-0948 3-9390541 22NU58DP007130 DP 41.51 93.898 \$164,522.00 75-23-0948 3-9390542 22NU58DP007130 DP 41.51 93.898 \$25,442.00 75-23-0948 3-9390543 22NU58DP007130 DP 41.51 93.898 \$76,325.00 75-23-0948 3-939ZRBL 22NU58DP007130 DP 41.51 93.898 \$831,790.00 75-23-0948 DP 41.51 \$55,224.00 75-23-0948 3-939ZUUH 22NU58DP007130 93.898 3-939ZUUM 22NU58DP007130 DP 41.51 93.898 \$41,418.00 75-23-0948

# **DEPARTMENT OF HEALTH AND HUMAN SERVICES** Notice of Award



Award# 5 NU58DP007130-02-00 FAIN# NU58DP007130 Federal Award Date: 05/18/2023

#### **Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)	
Personnel	\$0.00	\$0.00	\$0.00	
Fringe Benefits	\$0.00	\$0.00	\$0.00	
Travel	\$0.00	\$0.00	\$0.00	
Equipment	\$0.00	\$0.00	\$0.00	
Supplies	\$0.00	\$0.00	\$0.00	
Contractual	\$0.00	\$0.00	\$0.00	
Construction	\$0.00	\$0.00	\$0.00	
Other	\$0.00	\$0.00	\$0.00	
Total	\$0.00	\$0.00	\$0.00	

### AWARD ATTACHMENTS

MISSOURI DEPARTMENT OF HEALTH & SENIOR SERVICES

5 NU58DP007130-02-00

1. Terms and Conditions

2. Excel Funding Spreadsheet

### AWARD INFORMATION

**Incorporation**: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <u>https://www.cdc.gov/grants/federal-regulations-</u> <u>policies/index.html</u>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number **CDC-RFA-DP22-2202**, titled **Cancer Prevention and Control Programs for State, Territorial, and Tribal Organizations**, and application dated February 22, 2023, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of **\$4,037,866** is approved for the **Year 02** budget period, which is **June 30, 2023** through **June 29, 2024**. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

**Note:** Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

**Component/Project Funding:** The NOFO provides for the funding of multiple components under this award. The approved component funding levels for this notice of award are:

NOFO Component	Amount		
NBCCEDP	\$2,500,000		
NCCCP	\$407,866		
NPCR	\$1,130,000		

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

### Financial Assistance Mechanism: Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

- Align programs with the division's strategic plan priorities, principles, and approaches; coordinate and facilitate consistent CDC recipient messaging, when needed; and, support and facilitate cross-program coordination and collaboration to leverage opportunities and reduce duplication, where appropriate.
- Monitor recipient progress in implementing NOFO strategies and activities in CDCapproved workplans. Review recipient progress reports and program performance and evaluation data to assess progress and areas in need of improvement; provide needed technical assistance and corrective action plans, as needed.
- Provide ongoing guidance, consultation, and technical assistance to recipients and facilitate connection to subject-matter experts to support the planning, implementation, monitoring, and evaluation of NOFO strategies and activities.
- Facilitate and support training and capacity building activities including peer-to-peer sharing to optimize effective NOFO implementation
- Provide guidance to recipients on program-applicable Public Laws, https://www.cdc.gov/cancer/dcpc/about/foa-dp22-2202/
- Provide guidance to recipients on relevant scientific evidence, research findings, and national/state/local data; current national and public health recommendations; current clinical guidelines and recommendations; documented best practices; and peer-to-peer success stories related to the NOFO.
- Provide data to assist recipients identify vulnerable populations for program focus to reduce cancer health disparities and achieve health equity. Provide eligible population estimates for available geographic areas to inform breast and cervical cancer screening targets and other interventions. https://www.cdc.gov/cancer/dcpc/about/foa-dp22-2202.
- Manage and continually improve national program data systems (e.g., NBCCEDP clinical data; NPCR) and provide recipients with regular data monitoring feedback reports for their use in quality assurance, program improvement, and program monitoring and evaluation.
- Develop and implement national program evaluation plans (e.g., annual recipient surveys, cost-effectiveness studies) and support recipients' development of their own high-quality evaluation plans. Conduct evaluation data analysis and report findings including publication in peer-reviewed journals.
- Develop and provide publicly available software programs for collecting, receiving, validating, processing, and analyzing data, as well as provide updated NPCR Program Standards and Manuals to recipients.
- Aid dissemination of information, including success stories and evaluation results, about recipient programs to partner and public health audiences.

### **BUDGET REQUIREMENTS:**

**NCCCP:** Within 30 days of the award, please provide all the contractual/consultant elements that are TBD as required in the CDC Budget Preparation Guidelines for all contractors and consultants.

**NBCCEDP:** Indirect costs are not allowed for the NBCCEDP component. Administrative costs of no more than 10% are allowed. The costs requested on the indirect line for NBCCEDP have been moved to the Other cost category. Please update your internal budgets to reflect this change. No budget revision or further action is necessary for this update.

### FUNDING RESTRICTIONS AND LIMITATIONS

### Notice of Funding Opportunity (NOFO) Restrictions:

- Recipients may not use funds for research.
- Recipients may not use funds for clinical care except as allowed by law.
- Recipients may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- Generally, recipients may not use funds to purchase furniture or equipment. Any such proposed spending must be clearly identified in the budget.
- Reimbursement of pre-award costs generally is not allowed, unless the CDC provides written approval to the recipient.
- Reimbursement of pre-award costs generally is not allowed, unless the CDC provides written approval to the recipient.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
  - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
  - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
- See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

### Program 1: NBCCEDP

In accordance with the applicable authorizing statute (Sections 501-1508 and 1510 of the Public Health Service Act) PL 101-354, use of federal funds for treatment is prohibited. Page 70 of 92. In accordance with the applicable authorizing statute (Sections 501-1508 and 1510 of the Public Health Service Act) PL 101-354, not more than 10 percent of cooperative funds awarded may be spent annually for administrative expenses. These administrative expenses are in lieu of and replace indirect costs [Section 1504(f) of the PHS Act, as amended].

**Indirect Costs:** Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 16, 2023, which calculates indirect costs as follows, a Provisional is approved at a rate of 18.10% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2023 to June 30, 2025.

**Matching Funds Requirement**: Matching is generally calculated on the basis of the federal award amount and is comprised of recipient contributions proposed to support anticipated costs of the project during a specific budget period (confirmation of the existence of funding is supplied by the recipient via their Federal Financial Report). The recipient must be able to account separately for stewardship of the federal funding and for any required matching; it is subject to monitoring, oversight, and audit. The recipient may not use matching expenditures to count toward any Maintaining State Funding requirement.

**Note:** The required and/or encouraged match dollar amounts are identified on the "Component Funding Spreadsheet" associated with this Notice of Award.

**NBCCEDP:** Recipient financial participation is required for this program in accordance with the authorizing legislation. Section 1502(a) and (b)(1), (2), and (3) of the Public Health Services (PHS) Act, as amended, requires matching funds from non-Federal sources in an amount not less than one dollar for every three dollars of Federal funds awarded under this program. However, Title 48 of the U.S. Code 1469a (d) requires DHHS to waive matching fund requirements up to \$200,000 for Guam, U.S. Virgin Islands, American Samoa and the Commonwealth of the Northern Mariana Islands, the Commonwealth of Puerto Rico, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. Matching funds may be cash, in-kind or donated services or equipment. Contributions may be made directly or through donations from public or private entities. Public Law 93-638 authorizes tribal organizations contracting under the authority of Title I to use funds received under the Indian Self-Determination Act as matching funds.

Recipients may also designate as State/Tribal/Territorial/Pacific Island Jurisdiction matching funds any non-Federal amounts spent pursuant to Title XIX of the Social Security Act for the screening and case management of women for breast and cervical cancers. Matching funds may not include: (1) payment for treatment services or the donation of treatment services; (2) services assisted or subsidized by the Federal government; or (3) the indirect or overhead costs of an organization. All costs used to satisfy the matching requirements must be documented by the applicant and will be subject to audit.

**NCCCP:** Although there is no cost-sharing requirement, recipients should document and report how funds have been leveraged to increase financial and in-kind support for their NCCCP work plans and coalitions.

**NPCR:** Per PHS Act (42 USC 280e-280e-4), matching funds are required for Program 3, NPCR applicants in an amount not less than 25 percent of such costs or one dollar for every three dollars of Federal funds awarded under this program; [Title 42, Chapter 6A, Subchapter II, Part M, § 280e(b)(1)]. Matching funds may be cash, in-kind, or donated services or equipment. Contributions may be made directly or through donations from public or private entities. However, Title 48 of the U.S. Code 1469a (d) requires DHHS to waive matching fund requirements for Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands up to \$200,000. Public Law 93-638 authorizes tribal organizations contracting under the authority of Title 1 to use funds received under the Indian Self-Determination Act as matching funds. Non-federal financial contributions in excess of the Maintenance of Effort may be used for matching.

**Maintenance of Effort (MOE) Requirement:** MOE represents an applicant/recipient historical level of contributions related to federal programmatic activities which have been made prior to the receipt of federal funds "expenditures (money spent)." MOE is used as an indicator of non-federal support for public health before the infusion of federal funds. These expenditures are calculated by the recipient without reference to any federal funding that also may have contributed to such programmatic activities in the past. Recipients must stipulate the total dollar amount in their grant applications. Recipients must be able to account for MOE separately from accounting for federal funds and separately from accounting for any matching funds requirement; this accounting is subject to ongoing monitoring, oversight, and audit. MOE may not include any matching funds requirement.

**NBCCEDP:** Maintenance of Effort is required for this program in accordance with the authorizing legislation PL 101-354. The average amount of non-Federal contributions toward breast and cervical cancer programs and activities for the two-year period preceding the first Federal fiscal year of funding for NBCCEDP is referred to as Maintenance of Effort (MOE). Only those non-Federal contributions in excess of the MOE amount may be considered matching funds. Supplanting, or replacing, existing program efforts currently paid with Federal or non-Federal sources is not allowable.

NCCCP: Maintenance of effort is not required for this program.

**NPCR:** Maintenance of Effort is required for this program. Recipients must agree to make available (directly or through donations from public or private entities) non-Federal contributions equal to the amount expended during the fiscal year preceding the first year of the original NPCR cooperative agreement award for the collection of data on cancer, as noted in Public Health Service Act (42 USC 280e-280e-4).

In determining the amount of non-Federal contributions for cost-sharing or matching, the recipient may include only those contributions that are in excess of the amount of contributions made by the State for collection of data on cancer for the fiscal year preceding the first year of the original NPCR cooperative agreement award. CDC may decrease the amount of non-Federal contributions required if the State can show that the amount will cause them financial hardship [Title 42, Chapter 6A, Subchapter II, Part M, § 280e(b)(2)(B)].

### **REPORTING REQUIREMENTS**

**Required Disclosures for Federal Awardee Performance and Integrity Information System** (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services Karen Law, Grants Management Specialist Centers for Disease Control and Prevention Branch 5 Email: <u>uep2@cdc.gov</u> (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services Office of the Inspector General ATTN: Mandatory Grant Disclosures, Intake Coordinator 330 Independence Avenue, SW Cohen Building, Room 5527 Washington, DC 20201 Fax: (202) 205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email: <u>MandatoryGranteeDisclosures@oig.hhs.gov</u>

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

### PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to <u>hhstips@oig.hhs.gov</u> or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

**Payment Management System Subaccount**: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified beginning on the bottom of Page 2 of the Notice of Award must be known in order to draw down funds.

For additional information and/or to obtain your agency point of contact at the PMS, see, <u>https://pms.psc.gov/find-pms-liaison-accountant.html</u>

### Attachment 1

Federal Cost Categories	NCCCP	NCCCP	NBCCEDP	NBCCEDP	NPCR	NPCR	Year 02 Budget
		Programmatic Encouraged Cost Share: 10%		Match 3:1		Match 4:1	
Salariaa & Wagaa	¢100.000		¢E1E 267		¢44.760		\$688,124
Salaries & Wages	\$128,088		\$515,267		\$44,769	-	
Fringe Benefits	\$87,420		\$341,965		\$30,406	-	\$459,791
Travel	\$3,360		\$15,123		\$7,332	-	\$25,815
Equipment	\$0		\$0		\$0	-	\$0
Supplies	\$540		\$1,229		\$550	-	\$2,319
Contractual	\$55,000		\$1,398,317		\$1,031,159	-	\$2,484,476
Consultant	\$0		\$2,946		\$0	-	\$2,946
Other	\$94,451		\$225,153		\$2,177	-	\$321,781
Total Direct	\$368,859		\$2,500,000		\$1,116,393	-	\$3,985,252
Indirect	\$39,007		\$0		\$13,607	-	\$52,614
Non-Federal Share Requirement		-		\$833,333		\$282,500	\$1,115,833
Programmatic Encouraged Cost Share		\$40,787	-	-	-	-	
Total Approved Budget	\$407,866	\$0	\$ 2,500,000		\$1,130,000	\$0	\$4,037,866