Notice of Award

Award# 5 NU58DP006820-04-00

FAIN# NU58DP006820

Federal Award Date: 04/14/2023

Recipient Information

1. Recipient Name

MISSOURI DEPARTMENT OF HEALTH & SENIOR SERVICES 920 WILDWOOD DR JEFFERSON CITY, MO 65109-5796 [NO DATA]

- 2. Congressional District of Recipient
- 3. Payment System Identifier (ID) 1446000987B7
- 4. Employer Identification Number (EIN) 446000987
- 5. Data Universal Numbering System (DUNS) 878092600
- 6. Recipient's Unique Entity Identifier (UEI) **UETLXV8NG8F4**
- 7. Project Director or Principal Investigator

Ms. Valerie Howard Assistant Bureau Chief valerie.howard@health.mo.gov 573-522-2824

8. Authorized Official

Mrs. Marcia Mahaney Director, Division of Admnistration marcia.mahaney@health.mo.gov 573-751-6014

Federal Agency Information

CDC Office of Financial Resources

9. Awarding Agency Contact Information

Ackeem Evans Grants Management Specialist qtq4@cdc.gov 678-475-4564

10.Program Official Contact Information

Ms. Susan McCarthy Public Health Advisor zcu0@cdc.gov 404-498-0518

Federal Award Information

11. Award Number

5 NU58DP006820-04-00

12. Unique Federal Award Identification Number (FAIN)

NU58DP006820

13. Statutory Authority

301(a) and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 241(a) and 247b(k)(2)], as

14. Federal Award Project Title

National and State Tobacco Control Program

15. Assistance Listing Number

16. Assistance Listing Program Title

National and State Tobacco Control Program

17. Award Action Type

Non-Competing Continuation

18. Is the Award R&D?

Summary Federal Award Financial Information

| 19. | Budget Period Start Date | 04/29/2023 | - End Date | 04/28/2024 | |
|-----|---------------------------------|------------|------------|------------|--|

| 20. | . Total Amount of Federal Funds Obligated by this Action | \$1,349,783.00 |
|-----|--|----------------|
| | 20a. Direct Cost Amount | \$1,838,142.00 |
| | 20b. Indirect Cost Amount | \$111,040.00 |

21. Authorized Carryover

\$0.00

22. Offset

\$599,399.00

23. Total Amount of Federal Funds Obligated this budget period

\$0.00

\$0.00

24. Total Approved Cost Sharing or Matching, where applicable

\$1,349,783.00

25. Total Federal and Non-Federal Approved this Budget Period

26. Period of Perfomance Start Date 06/29/2020 - End Date 04/28/2025

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Period of Performance

\$6,872,465.00

28. Authorized Treatment of Program Income

ADDITIONAL COSTS

29. Grants Management Officer - Signature

Darryl Mitchell

30. Remarks

Notice of Award

Award# 5 NU58DP006820-04-00

FAIN# NU58DP006820

Federal Award Date: 04/14/2023

\$364,623.00

\$1,949,182.00

\$0.00

Recipient Information

Recipient Name

MISSOURI DEPARTMENT OF HEALTH &

SENIOR SERVICES

920 WILDWOOD DR

JEFFERSON CITY, MO 65109-5796

[NO DATA]

Congressional District of Recipient

03

Payment Account Number and Type

1446000987B7

Employer Identification Number (EIN) Data

446000987

Universal Numbering System (DUNS)

878092600

Recipient's Unique Entity Identifier (UEI)

UETLXV8NG8F4

31. Assistance Type

Cooperative Agreement

32. Type of Award

Other

| | | | roved | uc | lget |
|----|---|---|-------|----|------|
| CD | 1 | 1 | D. | | |

(Excludes Direct Assistance)

a. Salaries and Wages

I. Financial Assistance from the Federal Awarding Agency Only

 $\hbox{II. Total project costs including grant funds and all other financial participation}\\$

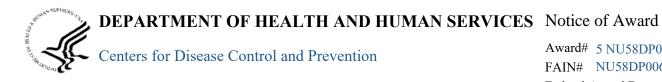
| b. Fringe Benefits | \$248,855.00 |
|--------------------------|----------------|
| c. TotalPersonnelCosts | \$613,478.00 |
| d. Equipment | \$0.00 |
| e. Supplies | \$1,500.00 |
| f. Travel | \$15,018.00 |
| g. Construction | \$0.00 |
| h. Other | \$41,034.00 |
| i. Contractual | \$1,167,112.00 |
| j. TOTAL DIRECT COSTS | \$1,838,142.00 |
| k. INDIRECT COSTS | \$111,040.00 |
| 1. TOTAL APPROVED BUDGET | \$1,949,182.00 |

34. Accounting Classification Codes

| FY-ACCOUNT NO. | DOCUMENT NO. | ADMINISTRATIVE CODE | OBJECT CLASS | CFDA NO. | AMT ACTION FINANCIAL ASSISTANCE | APPROPRIATION |
|----------------|----------------|---------------------|--------------|----------|---------------------------------|---------------|
| 3-9390L46 | 20NU58DP006820 | DP | 41.51 | 93.387 | \$1,349,783.00 | 75-X-0948 |

m. Federal Share

n. Non-Federal Share



Award# 5 NU58DP006820-04-00

FAIN# NU58DP006820

Federal Award Date: 04/14/2023

Direct Assistance

| BUDGET CATEGORIES | PREVIOUS AMOUNT (A) | AMOUNT THIS ACTION (B) | TOTAL (A + B) |
|-------------------|---------------------|------------------------|---------------|
| Personnel | \$0.00 | \$0.00 | \$0.00 |
| Fringe Benefits | \$0.00 | \$0.00 | \$0.00 |
| Travel | \$0.00 | \$0.00 | \$0.00 |
| Equipment | \$0.00 | \$0.00 | \$0.00 |
| Supplies | \$0.00 | \$0.00 | \$0.00 |
| Contractual | \$0.00 | \$0.00 | \$0.00 |
| Construction | \$0.00 | \$0.00 | \$0.00 |
| Other | \$0.00 | \$0.00 | \$0.00 |
| Total | \$0.00 | \$0.00 | \$0.00 |

AWARD ATTACHMENTS

MISSOURI DEPARTMENT OF HEALTH & SENIOR SERVICES

5 NU58DP006820-04-00

1. Terms and Conditions

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at https://www.cdc.gov/grants/federal-regulations-policies/index.html, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number DP20-2001, entitled National and State Tobacco Control Program, and application dated February 1, 2023, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

Approved Funding: Funding in the amount of \$1,949,182 is approved for the Year 04 budget period, which is April 29, 2023 through April 28, 2024. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

| NOFO Component | Amount |
|----------------|--------------|
| Component I | \$ 1,560,000 |
| Component II | \$ 389,182 |

Financial Assistance Mechanism: Cooperative Agreement

Substantial Involvement by CDC: This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

- Providing technical assistance to revise annual work plans.
- Assisting in advancing program activities to achieve project outcomes.
- Providing scientific subject matter expertise and resources.
- Collaborating with recipients to develop evaluation plans that align with CDC evaluation activities.
- Providing technical assistance on recipient's evaluation and performance measurement plan.
- Providing ongoing training, technical assistance, and consultation on policy, systems, and environmental strategies and activities for tobacco control, including tobacco use and dependence treatment strategies and activities.
- Providing up-to-date information that includes dissemination of best practices for tobacco prevention and control.

- Informing and educating recipients and other partners about evidence-based policy, systems, and environmental strategies and activities for tobacco control through workshops, conferences, training, electronic and verbal communication, including the National Conference on Tobacco or Health.
- Identifying, developing, and disseminating education media campaign materials for use by programs; facilitating coordination of education media ads between programs; providing technical assistance on design, implementation, and evaluation of media.
- Providing monthly National Tobacco Control Program, Media Network, and periodic Evaluation Technical Assistance webinars to provide updates from subject matter experts about relevant tobacco-related topics and issues.
- Maintaining electronic mechanisms for information sharing, program planning, and progress reporting.
- Developing and maintaining partnerships with federal and non-federal organizations to assist in tobacco control and maintain a national infrastructure that complements the state infrastructure.
- Serving as a resource to recipients in identifying and eliminating tobaccorelated disparities among population groups.
- Maintaining a website with access to a data warehouse containing comparable measures of tobacco use and dependence prevention and control from different data sources.
- Helping identify gaps in the evidence-base of tobacco control and prioritizing efforts to fill those gaps; providing training and technical assistance on publications and opportunities for dissemination of program evaluation findings.
- Serving as a convener and resource for the continued expansion of the evidencebase of tobacco control.
- Providing technical assistance, as requested, for developing impact statements and publishing selected statements on the CDC/OSH website as appropriate.
- Providing technical assistance, as requested, on the submission of data to the National Quitline Data Warehouse (NQDW).
- Facilitating development of the evidence base especially in areas of innovative strategies in reaching populations disproportionately impacted by tobacco use and dependence and associated disease, disability, and death through tobacco use and dependence treatment initiatives.
- Collecting and analyzing data that can be used to monitor and evaluate tobacco use and dependence treatment initiatives.
- Disseminating the Weekly Dose newsletter containing pertinent information regarding tobacco-related topics and NOFO-related information.
- Providing access to CDC's Office on Smoking and Health Media Campaign Resource Center.
- Collaborating with the Food and Drug Administration to provide information related to regulatory action.
- Providing data visualization training and technical assistance.
- Providing a Tobacco Control Network, Communities of Practice, and tailored webinars that include lessons learned from peers, and opportunities for tailored technical assistance and sustainability planning.
- Providing 12-month on-boarding support for new tobacco control program managers.

 Providing leadership development through the OSH Leadership and Sustainability School for program managers.

Use of Unobligated Funds: NoA includes use of Year 02 unobligated funds in the amount of \$599,399, which has been applied as an offset to the currently approved funding level for this budget period. The use of unobligated funds is approved based on the Year 02 Federal Financial Report (FFR) dated July 29, 2022. The amount of this NoA will be subject to reduction if the final amount of unobligated funds is less than the amount of unobligated funds reported on the referenced FFR.

Budget Revision Requirement: Once selected, the TBD contract cost must be submitted to the CDC before the cost can be expended. Failure to submit the required information in a timely manner may adversely affect the future funding of this project.

Expanded Authority: The recipient is permitted the following expanded authority in the administration of the award.

☑ Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

FUNDING RESTRICTIONS AND LIMITATIONS

Indirect Costs:

Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 16, 2022, which calculates indirect costs as follows, a Final is approved at a rate of 18.1% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2022 to June 30, 2023.

REPORTING REQUIREMENTS

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Ackeem Evans, Grants Management Specialist
Chenega Enterprise Systems and Solutions (CHESS)
Centers for Disease Control and Prevention
OGS BRANCH 6
2939 Flowers Rd
Atlanta, GA 30341

Fax: 404-248-4180 (Include "Mandatory Grant Disclosures" in subject line) Email: QTQ4@CDC.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or

Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application. The grant document number identified beginning on the bottom of Page 2 of the Notice of Award

must be known in order to draw down funds.

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