Notice of Award

Award# 5 NU58DP006476-04-00

FAIN# NU58DP006476

Federal Award Date: 06/25/2021

Recipient Information

1. Recipient Name

Missouri Department of Health

920 Wildwood Dr

-DUP7

Jefferson City, MO 65109-5796

[NO DATA]

2. Congressional District of Recipient

- 3. Payment System Identifier (ID)
- 4. Employer Identification Number (EIN)
- 5. Data Universal Numbering System (DUNS)
- 6. Recipient's Unique Entity Identifier
- 7. Project Director or Principal Investigator

Dr. John Dane DDS, FAAHD John.Dane@health.mo.gov

573-751-6441

8. Authorized Official

Ms Patricia Bedell

Deputy Director

Pat.Bedell@health.mo.gov

573-751-6014

Federal Agency Information

CDC Office of Financial Resources

9. Awarding Agency Contact Information

Monique Tatum

itn8@cdc.gov

770-488-2617

10.Program Official Contact Information

Ms. Monique Brown

Public Health Advisor

DOH

giu3@cdc.gov

4046390925

Federal Award Information

11. Award Number

5 NU58DP006476-04-00

12. Unique Federal Award Identification Number (FAIN)

NU58DP006476

13. Statutory Authority

301(a) and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 241(a) and 247b(k)(2)], as amended

14. Federal Award Project Title

Missouri Oral Health Integration and Improvement Initiative (MOHIII)

15. Assistance Listing Number

93.366

16. Assistance Listing Program Title

State Actions to Improve Oral Health Outcomes and Partner Actions to Improve Oral Health Outcomes

17. Award Action Type

Non-Competing Continuation

18. Is the Award R&D?

No

Summary Federal Award Financial Information

19. Budget Period Start Date	09/01/2021	 End Date 	08/31/2022
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20. Total Amount of Federal Funds Obligated by this Action	\$354,000.00
20a. Direct Cost Amount	\$319,132.00
20b. Indirect Cost Amount	\$34,868.00

21. Authorized Carryover22. Offset

23. Total Amount of Federal Funds Obligated this budget period

0 0 1

24. Total Approved Cost Sharing or Matching, where applicable

25. Total Federal and Non-Federal Approved this Budget Period \$354,000.00

26. Project Period Start Date 09/01/2018 - End Date 08/31/2023

27. Total Amount of the Federal Award including Approved

Cost Sharing or Matching this Project Period

Cost Sharing or Matching this Project Period Not Available

28. Authorized Treatment of Program Income

ADDITIONAL COSTS

29. Grants Management Officer - Signature

Ms. Stephanie Latham

Team Lead, Grants Management Officer

30. Remarks

\$0.00

\$0.00

\$0.00

\$0.00

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920 Wildwood Dr

-DUP7

Jefferson City, MO 65109-5796

[NO DATA]

Congressional District of Recipient

Payment Account Number and Type

Employer Identification Number (EIN) Data

Universal Numbering System (DUNS)

878092600

Recipient's Unique Entity Identifier

Not Available

31. Assistance Type

Cooperative Agreement

32. Type of Award

Other

33. Approved Budget

(Excludes Direct Assistance)

I. Financial Assistance from the Federal Awarding Agency Only

II. Total project costs including grant funds and all other financial participation

a. Salaries and Wages	\$106,788.00
b. Fringe Benefits	\$66,684.00
c. TotalPersonnelCosts	\$173,472.00
d. Equipment	\$0.00
e. Supplies	\$6,263.00
f. Travel	\$11,223.00
g. Construction	\$0.00
h. Other	\$6,266.00
i. Contractual	\$121,908.00
j. TOTAL DIRECT COSTS	\$319,132.00
k. INDIRECT COSTS	\$34,868.00
l. TOTAL APPROVED BUDGET	\$354,000.00
m. Federal Share	\$354,000,00

34. Accounting Classification Codes

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
1-939ZRDG	18NU58DP006476	DP	41 51	\$354,000 00	75-21-0948

n. Non-Federal Share

\$354,000.00

\$0.00



Award# 5 NU58DP006476-04-00

FAIN# NU58DP006476

Federal Award Date: 06/25/2021

Direct Assistance

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$1,396.90	\$1,396.90
Total	\$0.00	\$1,396.90	\$1,396.90

AWARD ATTACHMENTS

Missouri Department of Health

5 NU58DP006476-04-00

1. Terms and Conditions

AWARD INFORMATION

Incorporation: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at https://www.cdc.gov/grants/federalregulationspolicies/index.html, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number DP18-1810, entitled State Actions to Improve Oral Health Outcomes, and application dated April 19, 2021, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

Approved Funding: Funding in the amount of \$354,000 is approved for the Year 04 budget period, which is September 1, 2021 through August 31, 2022. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third-party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

The NOFO provides for the funding of multiple components under this award. The approved component funding levels for this notice of award are:

NOFO Component	Amount
Component I	\$ 354,000
Component II	\$ 0

Financial Assistance Mechanism: Cooperative Agreement

Substantial Involvement by CDC: This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

- i. Technical Assistance: Provide programmatic, evaluation, epidemiologic, and technical assistance for recipients and their stakeholders and partners through programmatic and one-on-one technical consultation, national training, workshops, Web Conferences, SEALS, and Water Fluoridation Reporting System (WFRS) training, and other forms of guidance. CDC will also facilitate technical assistance between national partners and recipients as needed.
- ii. Information Sharing between Recipients: The Program will share information, best practices, lessons learned, and evaluation results among recipients through program list-serv,

conferences, guidance, material development, webinars, digital media, participation in appropriate meetings and committees, conference calls and working groups, including "communities of practice".

iii. Additional Support: CDC will develop technical assistance resources for recipients through

cooperative agreements with national organizations.

Direct Assistance (DA): DA is awarded in the amount of \$1,396.90 for SAS license in this budget period.

Budget Revision Requirement: By October 1, 2021 the recipient must submit a revised budget with a narrative justification for the following:

Salary/Wages - exceeds the 40% personnel budget threshold. Recipient needs to provide justification for increased personnel amount.

Contracts: Once determined, the recipient must submit a notification of contractor to the CDC for the TBD contract cost of \$85,908 before cost can be expended.

If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date. Failure to submit the required information in a timely manner may adversely affect the future funding of this project.

Expanded Authority: The recipient is permitted the following expanded authority in the administration of the award.

☑ Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

FUNDING RESTRICTIONS AND LIMITATIONS

Indirect Costs: Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 17, 2020, which calculates indirect costs as follows, a Final is approved at a rate of 20.10% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2020 to June 30, 2023.

REPORTING REQUIREMENTS

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations

potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Monique Tatum, Grants Management Specialist
Centers for Disease Control and Prevention
Chronic Disease and Birth Defect Services Branch
2939 Flowers Road South MS-TV-2
Atlanta, Georgia 30341

Email: mtatum@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or

Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

Payment Management System Subaccount: Funds awarded in support of approved activities have been obligated in a newly established subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application. All award funds must be tracked and reported separately.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

CDC STAFF CONTACTS

Grants Management Specialist: The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

GMS Contact:

Monique Tatum, Grants Management Specialist Centers for Disease Control and Prevention Chronic Disease and Birth Defect Services Branch

Telephone: 770-488-2617 Email: mtatum@cdc.gov

Program/Project Officer: The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

Programmatic Contact:

Astrid Palmer, Project Officer
Centers for Disease Control and Prevention
NCCDPHP-DCPC
4770 Buford Hwy
Atlanta, GA 30341
Telephone: 770,488,6013

Telephone: 770-488-6013 Email: <u>APalmer1@cdc.gov</u>

Grants Management Officer: The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

GMO Contact:

Rhonda Latimer
Grants Management Officer (GMO)
Office of Grants Services (OGS)
Office of Financial Resources (OFR)
Office of the Chief Operating Officer (OCOO)
Centers for Disease Control and Prevention (CDC)
Email: ITO1@cdc.gov | Phone: 770-488-1647