Notice of Award

RESOURCE PROGRAMS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR IMMUNIZATION AND RESPIRATORY DISEASES

Issue Date: 08/06/2014

Grant Number: 3H23IP000540-01S1 REVISED
FAIN: H23IP000540

Principal Investigator(s):
Cathy Sullivan

Project Title: (PPHF) CAPACITY BUILDING ASSISTANCE TO STRENGTHEN PUBLIC HEALTH

DIRECTOR
MISSOURI DEPT OF HHS
920 WILDWOOD DRIVE
PO BOX 570
JEFFERSON CITY, MI 651020570

Budget Period: 07/01/2012 – 08/31/2015
Project Period: 07/01/2012 – 08/31/2015

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MISSOURI STATE DEPARTMENT OF HEALTH & SENIOR SERVICES in support of the above referenced project. This award is pursuant to the authority of PHS 317, 42 USC, SEC. 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Shelia Edwards
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 3H23IP000540-01S1 REVISED

Award Calculation (U.S. Dollars)

Salaries and Wages	$91,482
Fringe Benefits	$43,454
Other Costs	$3,692
Consortium/Contractual Cost	$541,008
Federal Direct Costs	$679,636
Federal F&A Costs	$31,845
Approved Budget	$711,481
Federal Share	$711,481
TOTAL FEDERAL AWARD AMOUNT	$711,481
AMOUNT OF THIS ACTION (FEDERAL SHARE)	$0

Fiscal Information:

CFDA Number: 93.539
EIN: [redacted]
Document Number: 000540IM12

IC	CAN	2012
IP	939ZMPT	$711,481

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (1)	
GRANT NUMBER	**TOTAL FEDERAL AWARD AMOUNT**
3H23IP000540-01S1	$711,481
1H23IP000540-01	$1,397,940
TOTAL	**$2,109,421**

SUMMARY TOTALS FOR ALL YEARS		
YR	**THIS AWARD**	**CUMULATIVE TOTALS**
1	$711,481	$2,109,421

CDC Administrative Data:
PCC: N / **OC**: 4151 / **Processed**: EDWARDSSH 08/06/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 3H23IP000540-01S1 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 3H23IP000540-01S1 REVISED

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.

b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) H23IP000540. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Treatment of Program Income:
Additional Costs

SECTION IV – IP Special Terms and Conditions – 3H23IP000540-01S1 REVISED

ADDITIONAL TERMS AND CONDITIONS OF AWARD
Amendment Two

1. **NO COST EXTENSION**

The purpose of this amended Notice of Award is to grant an additional twelve month no cost extension for the budget period and project period. The revised budget and project period end dates are July 1, 2012 through August 31, 2015, as requested in correspondence dated July 16, 2014. Unobligated funds remaining on august 31, 2014 may continue to be used during the extended period; however; no additional new funds have been or will be awarded to support this award. All activities are expected to be completed on or before the extension period of August 31, 2015. New activities are not authorized.

A. ASSISTANCE AWARD CLOSEOUT REPORTING REQUIREMENTS

Grantee must submit closeout reports in a timely manner. Unless the Grants Management Specialist/Grants Management Officer (GMS/GMO) approves a deadline extension the grantee must submit all closeout reports within 90 days after the last day of the final budget period. Reporting timeframe is July 1, 2012 through August 31, 2015. Failure to submit timely and accurate final reports may affect future funding to the organization or awards under the direction of the same Project Director/Principal Investigator (PD/PI).

The final Federal Financial Report (FFR) SF 425 is required and must be submitted to the Grants Management Specialist via email or U.S. Mail by the due Date: November 30, 2015 at the address below:

Michael Vance, Grants Management Specialist
U.S. Department of Health and Human Services
Centers for Disease Control and Prevention
Office of the Chief Operating Office
Procurement and Grants Office - Office of Grants Services
Infectious Disease Service Branch - Team 1
2920 Brandywine Road, NE MS E15
Atlanta, GA 30341
Emai: MVance@cdc.gov

The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System's (PMS) cash transaction data.

If the FFR is not finalized by the due date, an interim FFR must be submitted, marked NOT FINAL, and an amount of un-liquidated obligations should be annotated to reflect unpaid expenses. Electronic versions of the form can be downloaded into Adobe Acrobat and completed

on-line by reviewing, http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf.

B. FINAL PERFORMANCE REPORT - CLOSE-OUT

All manuscripts published as a result of the work supported in part or whole by the cooperative grant must be submitted with the progress reports.

An original plus two copies of the reports must be mailed to the GMS for approval by the GMO by the due date: November 30, 2015. Ensure the Award and Program Announcement numbers shown above are on the reports.

Michael Vance, Grants Management Specialist
U.S. Department of Health and Human Services
Centers for Disease Control and Prevention
Office of the Chief Operating Office
Procurement and Grants Office - Office of Grants Services
Infectious Disease Service Branch - Team 1
2920 Brandywine Road, NE MS E15
Atlanta, GA 30341
Emai: MVance@cdc.gov

The final and other programmatic reports required by the terms and conditions of the NoA are the following.

Final Performance Report: An original and two copies are required. At a minimum, the report should include the following:

- Statement of progress made toward the achievement of originally stated aims.
- Description of results (positive or negative) considered significant.
- List of publications resulting from the project, with plans, if any, for further publication.

Final Federal Financial Report (FFR, SF-425): The FFR should only include those funds authorized and actually expended during the timeframe covered by the report. The Final FFR, SF-425 is required and must be submitted through eRA Commons no later than 90 days after the end of the project period. This report must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. Should the amount not match with the final expenditures reported to the Department of Health and Human Services' Payment Management Services (PMS), you will be required to update your reports to PMS accordingly. Remaining unobligated funds will be de-obligated and returned to the U.S. Treasury.

If the final reports (FFR and Final Progress Report) cannot be submitted within 90 days after the end of the project period, in accordance with 2 CFR Parts 200.343 (Closeout), 225 and 230, the grantee must submit a letter requesting an extension that includes the justification for the delay and state the expected date the CDC Procurement and Grants Office will receive the reports. All required documents must be mailed to the business contact identified in Staff Contacts.

Equipment Inventory Report: An original and two copies of a complete inventory must be submitted for all major equipment acquired or furnished under this project with a unit acquisition cost of $5,000 or more. The inventory list must include the description of the item, manufacturer serial and/or identification number, acquisition date and cost, percentage of Federal funds used in the acquisition of the item. The grantee should also identify each item of equipment that it wishes to retain for continued use in accordance with 2 CFR Parts 200, 215.37 or 2 CFR Part 215.71. These requirements do apply to equipment purchased with non-federal funds for this program. The awarding agency may exercise its rights to require the transfer of equipment purchased under the assistance award referenced in the cover letter. CDC will notify the grantee if transfer to title will be required and provide disposition instruction on all major equipment. Equipment with a unit acquisition cost of less than $5,000 that is no longer to be used in projects or programs currently or previously sponsored by the Federal Government may be retained, sold, or otherwise disposed of, with no further obligation to the Federal Government. If no equipment was acquired under this award, a negative report is required.

Final Invention Statement: An original and two copies of a Final Invention Statement are required. Electronic versions of the form can be downloaded by visiting http://www.hhs.gov/forms/hhs568.pdf. If no inventions were conceived under this assistance award, a negative report is required. This statement may be included in a cover letter.

2. All other terms and conditions issued the original award remain effect throughough the budget period, unless changed in writing by the Grants Management Officer.

ADDITIONAL TERMS AND CONDITIONS OF AWARD
Amendment One

1. NO COST EXTENSION

A. The purpose of this amended Prevention and Public Health Fund (PPHF) Notice of Award is to grant a twelve month no cost extension for the budget period and project period for IP11-1107PPHF12, Project: Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Fund; Program Area 2: Develop a Vaccine Ordering Module in an IIS that interfaces with CDCs VTrckS Vaccine Ordering and Management System.The revised budget and project period is: July 1, 2012 through August 31, 2014, as requested in correspondence dated March 15, 2013..

Unobligated funds remaining on August 31, 2013 may continue to be used during the extended period; however; no additional funds have been or will be awarded to support this award. All activities are expected to be completed on or before the extension period of August 31, 2014. New Activities are not authorized.

B. ASSISTANCE AWARD CLOSEOUT REPORTING REQUIREMENTS

The final Federal Financial Report (FFR) SF 425 is required and must be submitted via email to MVance@cdc.gov and mail the original to Michael Vance, Grant Management Specialist, USDHHS, CDC, Procurement and Grants Office, Grants Service Division, Infectious Disease Service Branch I, 2920 Brandywine Road, NE, MSE15 within 90 days after the end of each budget period/project period. The FFR for this budget period is due to the Grants Management Specialist by November 30, 2014. Reporting timeframe is July 1, 2012 through August 31, 2014.

The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System's (PMS) cash transaction data.

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Officer will receive the information.

If the FFR is not finalized by the due date, an interim FFR must be submitted, marked NOT FINAL, and an amount of un-liquidated obligations should be annotated to reflect unpaid expenses. Electronic versions of the form can be downloaded into Adobe Acrobat and completed on-line by reviewing, http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf

The following final reports will be due no later than November 30, 2014. Please refer to the Assistant Award close out for guidance which is incorporated by reference.

C. FINAL PERFORMANCE REPORT - CLOSE-OUT

-- A statement of progress made toward the achievement of originally stated aims
-- A description of results (positive or negative) considered significant
-- A list of publications resulting from the project, with plans, if any, for further publication.
- Final Federal Financial Report (Standard Form 425)

- Invention Statement and Certification
- Invention Utilization Report

The following final PPHF reports should be sent vial U.S. Mail to the following address :

Michael Vance, Grant Management Specialist
U.S. Department of Health and Human Services
Centers for Disease Control and Prevention
Procurment and Grants Office
Grants Services Divsion
Infectious Disease Service Branch 1
University Office Park, Standford Bldg. Room 2037
2920 Brandywine Road, NE E15
Atlanta, GA 30341

All terms and conditions of this PPHF award remain unchanged and in full effect, unless changed in writing by the Grants Management Officer.

PROGRAMMATIC AND TECHNICAL CONTACT

Janet Fath
U.S. Department of Health and Human Services
Centers for Disease Control and Prevention
National Center for Immunization and Respiratory Diseases
1600 Clifton Road, NE MS A19
Atlanta, GA 30333
(T) 404-639-6070_
Email: AZF2@cdc.gov

STAFF CONTACTS
Grants Management Specialist: Michael Vance
Centers for Disease Control and Prevention
Procurement and Grants Office
Koger Center, Colgate Building
2920 Brandywine Road, Mail Stop K 14
Atlanta, GA 30341
Email: mvance@cdc.gov **Phone**: 770-488-2686

Grants Management Officer: Shelia Edwards
Center for Disease Control and Prevention
Procurement and Grants Office
Koger Center,Stanford Building
2920 Brandywine Road, Mail Stop E-15
Atlanta, GA 30341
Email: fyq5@cdc.gov **Phone**: 770-488-1644

SPREADSHEET SUMMARY
GRANT NUMBER: 3H23IP000540-01S1 REVISED

INSTITUTION: MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

Budget	Year 1
Salaries and Wages	$91,482
Fringe Benefits	$43,454

Other Costs	$3,692
Consortium/Contractual Cost	$541,008
TOTAL FEDERAL DC	$679,636
TOTAL FEDERAL F&A	$31,845
TOTAL COST	$711,481