RESOURCE PROGRAMS
Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR IMMUNIZATION AND RESPIRATORY DISEASES

Issue Date: 07/10/2012

Grant Number: 3H23IP000540-01S1

Principal Investigator(s):
Cathy Sullivan

Project Title: (PPHF) CAPACITY BUILDING ASSISTANCE TO STRENGTHEN PUBLIC HEALTH

DIRECTOR
MISSOURI DEPT OF HHS
920 WILDWOOD DRIVE
PO BOX 570
JEFFERSON CITY, MI 651020570

Award e-mailed to: grants@health.mo.gov

Budget Period: 07/01/2012 – 08/31/2013
Project Period: 07/01/2012 – 08/31/2013

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of $711,481 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MISSOURI STATE DEPARTMENT OF HEALTH & SENIOR SERVICES in support of the above referenced project. This award is pursuant to the authority of PHS 317, 42 USC, SEC. 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Hector Buitrago
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 3H23IP000540-01S1

Award Calculation (U.S. Dollars)

Salaries and Wages	$91,482
Fringe Benefits	$43,454
Other Costs	$3,692
Consortium/Contractual Cost	$541,008
Federal Direct Costs	$679,636
Federal F&A Costs	$31,845
Approved Budget	$711,481
Federal Share	$711,481
TOTAL FEDERAL AWARD AMOUNT	$711,481
AMOUNT OF THIS ACTION (FEDERAL SHARE)	$711,481

Fiscal Information:

CFDA Number: 93.539
EIN: [redacted]
Document Number: 000540IM12

IC	*CAN*	*2012*
IP	939ZMPT	$711,481

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (1)	
GRANT NUMBER	**TOTAL FEDERAL AWARD AMOUNT**
3H23IP000540-01S1	$711,481
1H23IP000540-01	$1,397,940
TOTAL	**$2,109,421**

SUMMARY TOTALS FOR ALL YEARS		
YR	**THIS AWARD**	**CUMULATIVE TOTALS**
1	$711,481	$2,109,421

CDC Administrative Data:
PCC: N / **OC**: 4141 / **Processed**: BUITRAGOH 07/10/2012

SECTION II – PAYMENT/HOTLINE INFORMATION – 3H23IP000540-01S1

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 3H23IP000540-01S1

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.

b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:
Additional Costs

SECTION IV – IP Special Terms and Conditions – 3H23IP000540-01S1

PREVENTION AND PUBLIC HEALTH TERMS AND CONDITIONS

1. INCORPORATION

The purpose of this amendment is to provide supplemental funding for the Prevention and Public Health Fund. These funds are reflective of the recommended amounts for the Funding Opportunity Announcement (IP11-1107PPHF), entitled: Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance. These funds have been awarded as indicated in the attached spreadsheet which is incorporated by reference. Fiscal Year 2012 Appropriation Provisions: HHS receipients must comply with all terms and conditions outlined in their grant award, including grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statement, and requirements imposed by program statutes and regulatons and HHS grant administration regulations, as appicable, as well as requirements or limitations in any applicable appropriations acts.

2. SUPPLEMENTAL APPROVED FUNDING

Funding in the amount of $ 711,481.00 is approved for the Year 01 budget period, which is the supplemental funding of this award, with a budget start date of July 1, 2012 thru August 31, 2013. All funding for future years will be based on satisfactory programmatic progress and the availability of funds.

Program Area 2: Develop a Vaccine Ordering Module in an IIS that intefaces with CDCs VTrckS Vaccine Ordering and Management System: $711,481.00

3. PPHF SUB-ACCOUNT

Funds awarded in support of approved PPHF activities on FOA: IP11-1107PPHF12 has been obligated in a newly established PPHF sub-account in the DHHS Payment Management System (PMS), herein identified as the P Accounts. A P account is a sub-account created specifically for the purpose of tracking designated types of funding in the Payment Management System (PMS).

To drawdown funds from this P-Account, grantees will be required to provide the PPHF sub-account title and the PPHF sub-account number. The sub-account title and number for this award and budget year are provided below:

Sub-account Title: IMMUNIZATIONINFRAS12
PPPHF Sub-Account Number: 000540IM12

Note: PPHF funds must be separately tracked and reported. PPHF funds must be used in support of approved PPHF activities in the FOA and grantees application. Funds cannot be used to support non-PPHF activities therefore cannot be comingled with any other funds. Also, funds cannot be used to support activities identified below. Refer to PAYMENT INFORMATION for a detailed explanation on how to access funds in your PMS Account, in order to initiate draw down of funds to satisfy an immediate need as defined in 45 CFR Parts 74 and 92.

4. SUMMARY STATEMENT RESPONSE REQUIREMENT

The objective review summary comments on the strengths and weaknesses of the proposal are provided as part of this award. No response required. Should these terms not be satisfactorily adhered to, it may result in denial of your authority to expend additional funds.

5. MONTHLY PROGRESS REPORT

The Progress Report will be due 30 days from the date of the Notice of Award and monthly thereafter due: August 1, 2012. Reports should contain cumulative data/information. A template will be developed in collaboration with the awardees, but monthly reports should contain, at a minimum, the following:

Project overview
Work Progress during the previous month
Status of Implemented Activities
Difficulties Encountered
Future Activities

6. ANNUAL FEDERAL FINANCIAL REPORT (FFR) (SF 425)

The Annual Federal Financial Report (FFR) is required and must be submitted 90 days after the end of each budget period. The FFR for this budget period is due to the Grants Management Specialist by November 30, 2013. Reporting timeframe is: September 1, 2011 through August 31, 2013. The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. If the FFR is not finalized by the due date, an interim FFR must be submitted, marked NOT FINAL, and an amount of un-liquidated obligations should be annotated to reflect unpaid expenses. Electronic versions of the form can be downloaded into Adobe Acrobat and completed on-line by reviewing: http://www.whitehouse.gov/omb/grants/sf425.pdf.

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Officer will receive the information.

(a) FINAL PROGRESS/PERFORMANCE REPORT

The final report is required no later than 90 days after the end of the project period (Due Date: November 30, 2013). All manuscripts published as a result of the work supported in part or whole by the cooperative agreement will be submitted with the progress reports.

An original plus two copies of the reports must be mailed to the Grants Management Specialist for approval by the Grants Management Officer by the due date noted. Ensure the Award and Program Announcement numbers shown above are on the reports. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Officer will receive the information

7. GENERAL PROVISION (Title II)

Section 203 Cap on Researcher Salaries

None of the funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II; reduced from $199,000 to $179,000 effective December 23, 2011.

Section 218 Gun Control Prohibition

None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

Section 220 Prevention Fund Reporting Requirements

(a) The Secretary shall establish a publicly accessible website to provide information regarding the uses of funds made available under section 4002 of Public Law 111-148.

(b) With respect to funds provided for fiscal year 2012, the Secretary shall include on the website established under subsection (a) at a minimum the following information:

(1) In the case of each transfer of funds under section 4002(c), a statement indicating the program or activity receiving funds, the operating division or office that will administer the funds, the planned uses of the funds, to be posted not later than the day after the transfer is made.

(2) Identification (along with a link to the full text) of each funding opportunity announcement, request for proposals for grants, cooperative agreements, or contracts intended to be awarded using such funds, to be posted not later than the day after the announcement or solicitation is issued.

(3) Identification of each grant, cooperative agreement, or contract with a value of $25,000 or more awarded using such funds, including the purpose of the award and the identity of the recipient, to be posted not later than 5 days after the award is made.

(4) A report detailing the uses of all funds transferred under section 4002(c) during the fiscal year, to be posted not later than 90 days after the end of the fiscal year.

(5) Semi-annual reports from each entity awarded a grant, cooperative agreement, or contract from such funds with a value of $25,000 or more, summarizing the activities undertaken and identifying any sub-grants or subcontracts awarded (including the purpose of the award and the identity of the recipient), to be posted not later than 30 days after the end of each 6-month period.

Recipients are responsible for contacting their HHS grant/program managers for any needed clarifications.

Responsibilities for Informing Sub-recipients:

(a) Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and at the time of disbursement of funds, the Federal award number, any special CFDA number assigned for 2012 PPHF fund purposes, and amount of PPHF funds.

(b) Recipients agree to separately identify to each sub-recipient, and document at the time of sub-award and at the time of disbursement of funds, the Federal award number, CFDA number, and amount of 2012 PPHF funds.

When a recipient awards 2012 PPHF funds for an existing program, the information furnished to sub-recipients shall distinguish the sub-awards of incremental 2012 PPHF funds from regular sub-awards under the existing program.

Reporting Requirements under Section 203 of the 2012 Enacted Appropriations Bill for the Prevention and Public Health Fund, Public Law 111-5.

This award requires the recipient to complete projects or activities which are funded under the 2012 Prevention and Public Health Fund (PPHF) and to report on use of PPHF funds provided through this award. Information from these reports will be made available to the public.

Recipients awarded a grant, cooperative agreement, or contract from such funds with a value of $25,000 or more shall produce reports on a semi-annual basis with a reporting cycle of January 1 - June 30 and July 1 - December 31; and email such reports (in 508 compliant format) to the CDC website (template and point of contact to be provided after award) no later than 20 calendar days after the end of each reporting period (i.e. July 20 and January 20, respectively). Recipient reports shall reference the notice of award number and title of the grant or cooperative agreement, and include a summary of the activities undertaken and identify any sub-grants or sub-contracts awarded (including the purpose of the award and the identity of the subrecipient).

General Provisions, Title V

Section 503 - Proper Use of Appropriations - Publicity and Propaganda (LOBBYING) FY2012 Enacted.

(a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation of the Congress or any

State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself.

(b) No part of any appropriate contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body, other than normal and recognized executive legislative relationships or participation by an agency or officer of an State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

(c) The prohibitions in subsections (a) and (b) shall include any activity to advocate or promote any proposed, pending, or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale of marketing, including but not limited to the advocacy or promotion of gun control.

AR12 Lobbying Restrictions

Applicants should be aware that award recipients are prohibited from using CDC/HHS funds to engage in any lobbying activity. Specifically, no part of the federal award shall be used to pay the salary or expenses of any grant recipient, sub-recipient, or agent acting for such recipient or sub-recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body.

Restrictions on lobbying activities described above also specifically apply to lobbying related to any proposed, pending, or future Federal, state, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

This prohibition includes grass roots lobbying efforts by award recipients that are directed at inducing members of the public to contact their elected representatives to urge support of, or opposition to, proposed or pending legislation, appropriations, regulations, administrative actions, or Executive Orders (hereinafter referred to collectively as legislation and other orders). Further prohibited grass roots lobbying communications by award recipients using federal funds could also encompass any effort to influence legislation through an attempt to affect the opinions of the general public or any segment of the population if the communications refer to specific legislation and/or other orders, directly express a view on such legislation or other orders, and encourage the audience to take action with respect to the matter.

In accordance with applicable law, direct lobbying communications by award recipients are also prohibited. Direct lobbying includes any attempt to influence legislative or other similar deliberations at all levels of government through communications that directly express a view on proposed or pending legislation and other orders and which are directed to members, staff, or other employees of a legislative body or to government officials or employees who participate in the formulation of legislation or other orders.

Lobbying prohibitions also extend to include CDC/HHS grants and cooperative agreements that, in whole or in part, involve conferences. Federal funds cannot be used directly or indirectly to encourage participants in such conferences to impermissibly lobby.

However, these prohibitions are not intended to prohibit all interaction with the legislative or executive branches of governments, or to prohibit educational efforts pertaining to public health that are within the scope of the CDC award. For state, local, and other governmental grantees, certain activities falling within the normal and recognized executive-legislative relationships or participation by an agency or officer of a state, local, or tribal government in policymaking and administrative processes within the executive branch of that government are permissible. There are circumstances for such grantees, in the course of such a normal and recognized executive-legislative relationship, when it is permissible to provide information to the legislative branch in order to foster implementation of prevention strategies to promote public health. However, such communications cannot directly urge the decision makers to act with respect to specific legislation or expressly solicit members of the public to contact the decision makers to urge such action.

Many non-profit grantees, in order to retain their tax-exempt status, have long operated under settled definitions of lobbying and influencing legislation. These definitions are a useful benchmark for all non-government grantees, regardless of tax status. Under these definitions, grantees are permitted to (1) prepare and disseminate certain nonpartisan analysis, study, or research reports; (2) engage in examinations and discussions of broad social, economic, and similar problems in reports and at conferences; and (3) provide technical advice or assistance upon a written request by a legislative body or committee.

Award recipients should also note that using CDC/HHS funds to develop and/or disseminate materials that exhibit all three of the following characteristics are prohibited: (1) refer to specific legislation or other order; (2) reflect a point of view on that legislation or other order; and (3) contain an overt call to action.

It remains permissible for CDC/HHS grantees to use CDC funds to engage in activities to enhance prevention; collect and analyze data; publish and disseminate results of research and surveillance data; implement prevention strategies; conduct community outreach services; foster coalition building and consensus on public health initiatives; provide leadership and training, and foster safe and healthful environments.

Note also that under the provisions of 31 U.S.C. Section 1352, recipients (and their sub-tier contractors and/or funded parties) are prohibited from using appropriated Federal funds to lobby in connection with the award, extension, continuation, renewal, amendment, or modification of the funding mechanism under which monetary assistance was received. In accordance with applicable regulations and law, certain covered entities must give assurances that they will not engage in prohibited activities.

CDC cautions recipients of CDC funds to be careful not to give the appearance that CDC funds are being used to carry out activities in a manner that is prohibited under Federal law. Recipients of CDC funds should give close attention to isolating and separating the appropriate use of CDC funds from non-CDC funds.

Use of federal funds inconsistent with these lobbying restrictions could result in disallowance of the cost of the activity or action found not to be in compliance as well as potentially other enforcement actions as outlined in applicable grants regulations.

Section 253 Needle Exchange

Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug.

General Provisions, Title IV

Section 738 Funding Prohibition Restricts dealings with corporations with recent felonies

None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal or State law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent, and made a determination that this further action is not necessary to protect the interests of the Government.

Section 739 Limitation Re: Delinquent Tax Debts Restricts dealings with corporations with unpaid federal tax liability

None of the funds made available by this act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

Section 433 Funding Prohibition Restricts dealings with corporations with recent felonies

None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted (or had an officer or agent of such corporation acting on behalf of the corporation convicted) of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation, or such officer or agent and made a determination that t{lis further action is not necessary to protect the interests of the Government.

Section 434 Limitation Re: Delinquent Tax Debts Restricts dealings with corporations with unpaid federal tax liability

None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation with respect to which any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

8. FY 2012 APPROPRIATIONS PROVISION

HHS recipients must comply with all terms and conditions outlined in their grant award, including grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statements, and requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable; as well as any regulations or limitations in any applicable appropriations acts.

9. FY 2012 ENACTED GENERAL PROVISIONS

This award is subject to Additional Requirement 32, FY 2012 Enacted General Provisions. The full text of the Additional Requirement may be found on the CDC website at: http://www.cdc.gov/od/pgo/funding/grants/additional_req.shtm

Grantees must exercise proper stewardship over Federal funds by ensuring that all cost charges to their cooperative agreement are allowable, allocable, and reasonable and that they address the highest priority needs as they relate to the Prevention and Public Health Fund prevention efforts.

All other terms and conditions of the cooperative agreement remain in full effect, unless in writing to the Grant Management Specialist or Officer.

10. PROGRAMMATIC AND TECHNICAL CONTACT

Janet Fath
U.S. Department of Health and Human Services
Centers for Disease Control and Prevention
National Center for Immunization and Respiratory Diseases
1600 Clifton Road, NE MS A19
Atlanta, GA 30333
(T) 404-639-6070
Email: AZF2@cdc.gov

STAFF CONTACTS

Grants Management Specialist: Michael Vance
Centers for Disease Control and Prevention
Procurement and Grants Office
Koger Center, Colgate Building
2920 Brandywine Road, Mail Stop K 14
Atlanta, GA 30341
Email: mvance@cdc.gov **Phone**: 770-488-2686

Grants Management Officer: Hector Buitrago
Centers for Disease Control and Prevention
Procurement and Grants Office
Koger Center, Colgate Building
2920 Brandywine Road, Mail Stop E-09
Atlanta, GA 30341
Email: gmf2@cdc.gov **Phone**: 770-488-2921 **Fax**: 770-488-2777

SPREADSHEET SUMMARY
GRANT NUMBER: 3H23IP000540-01S1

INSTITUTION: MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

Budget	***Year 1***
Salaries and Wages	$91,482
Fringe Benefits	$43,454
Other Costs	$3,692
Consortium/Contractual Cost	$541,008
TOTAL FEDERAL DC	$679,636
TOTAL FEDERAL F&A	$31,845
TOTAL COST	$711,481