



Grant Number: 1UF2CE002427-01
FAIN: UF2CE002427

Principal Investigator(s):
Tuck MARY VanDyne

Project Title: MISSOURI RAPE PREVENTION AND EDUCATION PROGRAM

BRET FISCHER
DIRECTOR, DIV OF ADMIN
MISSOURI DEPARTMENT OF HEALTH & SENIOR SERVICES
920 WILDWOOD DRIVE
JEFFERSON CITY, MO 65109

Award e-mailed to: grants@health.mo.gov

Budget Period: 02/01/2014 – 01/31/2015
Project Period: 02/01/2014 – 01/31/2019

Dear Business Official:

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$229,241 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MISSOURI STATE DEPARTMENT OF HEALTH & SENIOR SERVICES in support of the above referenced project. This award is pursuant to the authority of H.R. 3402 and S. 1197 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Ralph U Robinson
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 1UF2CE002427-01

Award Calculation (U.S. Dollars)

Salaries and Wages	\$21,776
Fringe Benefits	\$28,068
Supplies	\$190
Consortium/Contractual Cost	\$171,159
Other Costs	\$1,302

Federal Direct Costs	\$222,495
Federal F&A Costs	\$6,746
Approved Budget	\$229,241
Federal Share	\$229,241
TOTAL FEDERAL AWARD AMOUNT	\$229,241

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$229,241

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02	\$603,238
03	\$603,238
04	\$603,238
05	\$603,238

Fiscal Information:

CFDA Number: 93.136
 EIN: XXXXXXXXXX
 Document Number: 002427RP14

IC	CAN	2014	2015	2016	2017	2018
CE	939ZSFL	\$229,241	\$603,238	\$603,238	\$603,238	\$603,238

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
1	\$229,241	\$229,241
2	\$603,238	\$603,238
3	\$603,238	\$603,238
4	\$603,238	\$603,238
5	\$603,238	\$603,238

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: N / OC: 4151 / Processed: ROBINSONRU 01/30/2014

SECTION II – PAYMENT/HOTLINE INFORMATION – 1UF2CE002427-01

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhtips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 1UF2CE002427-01

This award is based on the application submitted to, and as approved by, CDC on the above-filled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) UF2CE002427. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Treatment of Program Income:
Additional Costs

SECTION IV – CE Special Terms and Conditions – 1UF2CE002427-01

Funding Opportunity Announcement Number (FOA): CE14-1401
Award Number: 1 UF2/ CE002427-01

TERMS AND CONDITIONS OF THIS AWARD

NOTE 1. INCORPORATION: Program Announcement Number CE14-1401, entitled Rape Prevention and Education Program is made part of this award by reference.

NOTE 2. APPROVED FUNDING: Due to the "Continuing Resolution", the amount of funding reflected in this Notice of Award (NOA), \$229,241.00 is the first allotment, of your total award amount for this budget period. CDC anticipates resolution of all Fiscal Year (FY) 2014 budget-related issues prior to your next allotment of 2014 funds. After CDC confirmation of the second allotment funding amount, the Procurement and Grants Office (PGO) will generate an electronic mail (e-mail) notification which will request your submission of a revised budget for the full amount of your award (first allotment plus second allotment). Upon receipt of your revised budget PGO will issue you a revised NOA which will reflect the total amount of funding for the budget period.

NOTE 3. REVISED BUDGET SUBMISSION REQUIREMENT: The revised budget with narrative justification and workplan submission is deferred until the confirmation of second allotment.

NOTE 3A. BUDGET REQUIREMENT: The revised budget with narrative justification and work plan should reflect the award budget amount and the following revisions:

- Revised purpose of cooperative agreement: The overarching purpose of this program is to prevent sexual violence by implementing primary prevention strategies using a public health approach and effective prevention principles.
- Revised outcomes of cooperative agreement: The outcome of interest is the improved ability of RPE – funded organizations to use the public health approach and effective prevention principles to implement and evaluate sexual violence prevention strategies.
- Revised Program Strategy: The program strategy includes three main components:
 - Component 1 – Implementation and program evaluation of sexual violence (SV) prevention strategies using a public health approach (this includes expectations that program evaluation activities are conducted at the state level. Awardees will receive technical assistance and guidance on shifting evaluation focus from local capacity building to state level program evaluation).

- o Component 2 – Provision of Training and Technical Assistance to RPE Funded Organizations on the Implementation of SV Prevention Strategies
- o Component 3 – Participation in program support activities

NOTE 4. INDIRECT COSTS: Not Applicable.

NOTE 5: ADMINISTRATIVE COSTS: In accordance with Congressional legislation, Section 393B of the Public Health Service Act [42 U.S.C. 280b-1c], in lieu of indirect costs, the recipient may not use more than five percent of the amount received for each fiscal year for administrative costs.

SURVEILLANCE/PREVALENCE COSTS:

Grantees may not use more than 2 percent of the total RPE award for each budget year for surveillance studies or prevalence studies in accordance with the RPE regulations.

NOTE 6. Effective October 1, 2013, all DHHS OPDIVs must set up payment subaccounts within the Payment Management System (PMS) for all new grant awards. Funds awarded in support of approved activities have been obligated in a newly established subaccount in the DHHS Payment Management System (PMS), herein identified as the "P Account". A P Account is a subaccount created specifically for the purpose of tracking designated types of funding in the Payment Management System (PMS). To drawdown funds from this P Account, all CDC recipients are required to provide the PMS grant document number and applicable subaccount title to PMS to access their P account (s). The subaccount title and grant document number are provided below:

Subaccount Title: CE141401RAPEPREVEDUC
 Grant Document Number: 002427RP14

Note: All CDC funds must be separately tracked and reported. Funds may only be used in support of approved activities in the FOA and your application. Funds cannot be comingled with any other funds. Refer to the PAYMENT INFORMATION section for a detailed explanation on how to access funds in your PMS Account.

NOTE 7. MATCHING FUNDS REQUIREMENT: Not applicable.

NOTE 8. FEDERAL INFORMATION SECURITY MANAGEMENT ACT (FISMA):

All information systems, electronic or hard copy which contain federal data need to be protected from unauthorized access. This also applies to information associated with CDC grants. Congress and the OMB have instituted laws, policies and directives that govern the creation and implementation of federal information security practices that pertain specifically to grants and contracts. The current regulations are pursuant to the Federal Information Security Management Act (FISMA), Title III of the E-Government Act of 2002 Pub. L. No. 107-347.

FISMA applies to CDC grantees only when grantees collect, store, process, transmit or use information on behalf of HHS or any of its component organizations. In all other cases, FISMA is not applicable to recipients of grants, including cooperative agreements. Under FISMA, the grantee retains the original data and intellectual property, and is responsible for the security of this data, subject to all applicable laws protecting security, privacy, and research. If and when information collected by a grantee is provided to HHS, responsibility for the protection of the HHS copy of the information is transferred to HHS and it becomes the agency's responsibility to protect that information and any derivative copies as required by FISMA. For the full text of the requirements under Federal Information Security Management Act (FISMA), Title III of the E-Government Act of 2002 Pub. L. No. 107-347, please review the following website:
http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=107_cong_public_laws&docid=f:publ347.107.pdf

NOTE 9. FEDERAL REPORTING REQUIREMENTS

FEDERAL FUNDING ACCOUNTABILITY and TRANSPARENCY (FFATA):

In accordance with 2 CFR Chapter 1, Part 170 Reporting Sub-Award And Executive Compensation Information, Prime Awardees awarded a federal grant are required to file a FFATA sub-award report by the end of the month following the month in which the prime awardee awards any sub-grant equal to or greater than \$25,000.

Pursuant to A-133 (see Section 205(h) and Section 205(i)), a grant sub-award includes the provision of any commodities (food and non-food) to the sub-recipient where the sub-recipient is required to abide by terms and conditions regarding the use or future administration of those goods. If the sub-awardee merely consumes or utilizes the goods, the commodities are not in and of themselves considered sub-awards.

2 CFR Part 170: <http://www.ecfr.gov/cgi-bin/text-idx?c=ecfr&SID=2d03290e56342ea0335f7886c79bd179&rgn=div5&view=text&node=2:1.1.1.8.10&idno=2#2:1.1.1.8.10.3.1.8.2>

FFATA: www.fsrs.gov.

Reporting of First-Tier Sub-awards.

Applicability: Unless you are exempt (gross income from all sources reported in last tax return is under \$300,000), you must report each action that obligates \$25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a sub-award to an entity.

Reporting: Report each obligating action of this award term to <http://www.fsrs.gov>. For sub-award information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010). You must report the information about each obligating action that the submission instructions posted at <http://www.fsrs.gov> specify.

Total Compensation of Recipient Executives: You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if:

- The total Federal funding authorized to date under this award is \$25,000 or more;
- In the preceding fiscal year, you received—
 - 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR Part 170.320 (and sub-awards); and
 - \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR Part 170.320 (and sub-awards); and
 - The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. Part 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>).

Report executive total compensation as part of your registration profile at <http://www.ccr.gov>. Reports should be made at the end of the month following the month in which this award is made and annually thereafter.

Total Compensation of Sub-recipient Executives: Unless you are exempt (gross income from all sources reported in last tax return is under \$300,000), for each first-tier sub-recipient under this award, you must report the names and total compensation of each of the sub-recipient's five most highly compensated executives for the sub-recipient's preceding completed fiscal year, if:

- In the sub-recipient's preceding fiscal year, the sub-recipient received—
 - 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR Part 170.320 (and sub-awards); and
 - \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and sub-awards); and

- o The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. Part 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>).

You must report sub-recipient executive total compensation to the grantee by the end of the month following the month during which you make the sub-award. For example, if a sub-award is obligated on any date during the month of October of a given year (i.e., between October 1st and 31st), you must report any required compensation information of the sub-recipient by November 30th of that year.

Definitions:

- Entity means all of the following, as defined in 2 CFR Part 25 (Appendix A, Paragraph(C)(3)):
 - o Governmental organization, which is a State, local government, or Indian tribe;
 - o Foreign public entity;
 - o Domestic or foreign non-profit organization;
 - o Domestic or foreign for-profit organization;
 - o Federal agency, but only as a sub-recipient under an award or sub-award to a non-Federal entity.

- Executive means officers, managing partners, or any other employees in management positions.

- Sub-award: a legal instrument to provide support to an eligible sub-recipient for the performance of any portion of the substantive project or program for which the grantee received this award. The term does not include the grantees procurement of property and services needed to carry out the project or program (for further explanation, see Sec. _210 of the attachment to OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations). A sub-award may be provided through any legal agreement, including an agreement that the grantee or a sub-recipient considers a contract.

- Sub-recipient means an entity that receives a sub-award from you (the grantee) under this award; and is accountable to the grantee for the use of the Federal funds provided by the sub-award.

- Total compensation means the cash and non-cash dollar value earned by the executive during the grantee's or sub-recipient's preceding fiscal year and includes the following (for more information see 17 CFR Part 229.402(c)(2)):
 - o Salary and bonus
 - o Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.
 - o Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
 - o Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
 - o Above-market earnings on deferred compensation which is not tax-qualified.
 - o Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.

NOTE 10. ANNUAL FEDERAL FINANCIAL REPORT (FFR):

Annual Federal Financial Report (FFR, SF-425): As of October 1, 2012, the Annual Federal Financial Report (FFR) SF-425 is required and must be submitted through eRA Commons no later than 90 days after the end of the calendar quarter in which the budget period ends.

Reporting timeframe is February 1, 2014 through January 31, 2015

The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System's (PMS) cash transaction data. All Federal reporting in PMS is unchanged.

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, the grantee is required to contact the Grants Officer listed in the contacts section of this notice before the due date.

FFR (SF-425) instructions for CDC Grantees are available at <http://grants.nih.gov/grants/forms.htm>. For further information, contact GrantsInfo@nih.gov. Additional resources concerning the eFSR/FFR system, including a User Guide and an on-line demonstration, can be found on the eRA Commons Support Page: <http://www.cdc.gov/od/pgo/funding/grants/eramain.shtm>.

Performance Reporting: The Annual Performance Report (APR) (previously known as the Interim Progress Report (IPR)) is due 120 days prior to the end of the budget period, October 3, 2014, and serves as the continuing application. Report should include the information specified in the FOA.

NOTE 11. SUMMARY STATEMENT RESPONSE REQUIREMENT: The summary comments resulting from the review of your submitted application are provided with this Notice of Award. The comments summarize the strengths and weaknesses of your application. You are required to provide a response to the weaknesses noted. Your written response must be submitted not later than 03/01/2014 to the Grants Management Specialist identified in the CDC Contact section of this Notice of Award. Should these terms not be satisfactorily adhered to, it may result in denial of your authority to expend additional funds.

NOTE 12. AUDIT REQUIREMENT:

Domestic Organizations

An organization that expends \$750,000 or more in a fiscal year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of OMB Circular A-133, Audit of States, Local Governments, and Non-Profit Organizations. The audit period is an organization's fiscal year. The audit must be completed along with a data collection form, and the reporting package shall be submitted within the earlier of 30 days after receipt of the auditor's report(s), or nine months after the end of the audit period.

The audit report must be sent to:

Federal Audit Clearing House
Bureau of the Census
1201 East 10th Street
Jeffersonville, IN 47132

AND

Centers for Disease Control and Prevention (CDC)
ATTN: PGO Audit Official, Mail Stop E-14
2920 Brandywine Road
Atlanta, GA 30341-4146

Audit requirements for Subrecipients: The grantee must ensure that the sub-recipients receiving CDC funds also meet these requirements (if the total Federal grant funds expended exceed \$500,000). The grantee must also ensure to take appropriate corrective action within six months after receipt of the sub-recipient audit report in instances of non-compliance with applicable Federal law and regulations (OMB Circular A-133 and HHS Grants Policy Statement). The grantee may consider whether sub-recipient audits necessitate adjustment of the grantee's own accounting records. If a sub-recipient is not required to have a program-specific audit, the grantee is still required to perform adequate monitoring of sub-recipient activities. The grantee is to require each sub-recipient permit independent auditor's access to the sub-recipient's records and financial statements. The grantee must include this requirement in all sub-recipient contracts.

NOTE 13. SUBGRANT/SUBRECIPIENT AWARDS: Seed Grants/Sub-Grants are not authorized under this program or included in Program authorizing legislature. As a result, the recipient is not permitted to fund seed grants or sub-grants. Recipient must issue proposed funding as a procurement requirement per the organization's established procedures.

NOTE 14. TRAVEL COST: In accordance with Health and Human Services (HHS) Grants Policy Statement, travel costs are only allowable where such travel will provide direct benefit to the project or program. There must be a direct benefit imparted on behalf of the traveler as it applies to the approved activities of the Notice of Award. To prevent disallowance of cost, recipient is responsible for ensuring that only allowable travel reimbursements are applied in accordance with their organization's established travel policies and procedures. Recipients approved policies must meet the requirements of 45 CFR Parts 74 and 92 as applicable.

NOTE 15. FOOD AND MEALS: Costs associated with food or meals are allowable when consistent OMB Circulars and guidance, DHHS Federal regulations, Program Regulations, DHHS policies and guidance. In addition, costs must be proposed in accordance with recipients approved policies and a determination of reasonableness has been performed by the recipients. Recipients approved policies must meet the requirements of 45 CFR Parts 74 and 92 as applicable.

NOTE 16. PRIOR APPROVAL: All requests, which require prior approval, must bear the signature of an authorized official of the business office of the grantee organization as well as the principal investigator or program or project director named on this notice of award. The request must be submitted no later than 120 days prior to the end date of the current budget/period and submitted with an original plus two copies. Any requests received that reflect only one signature will be returned to the grantee unprocessed. Additionally, any requests involving funding issues must include an itemized budget and a narrative justification of the request.

Prior approval is required but is not limited to the following types of requests: 1) Use of unobligated funds from prior budget period (Carryover); 2) Lift funding restriction, withholding, or disallowance, 3) Redirection of funds, 4) Change in Contractor/Consultant; 5) Supplemental funds; 6) Response to Technical Review or Summary Statement, 7) Change in Key Personnel, or 8) Liquidation Extensions.

NOTE 17. CORRESPONDENCE: ALL correspondence (including emails and faxes) regarding this award must be dated, identified with the AWARD NUMBER, and include a point of contact (name, phone, fax, and email). All correspondence should be addressed to the Grants Management Specialist listed below and submitted with an original plus two copies.

Devi Hawkins, Grants Management Specialist
Centers for Disease Control and Prevention, PGO
OD, Environmental, Occupational Health &
Injury Prevention Services Branch, Team II
2920 Brandywine Road, Mail Stop K-69
Atlanta, GA 30341-4146
Telephone: (770) 488-2543
Fax: (770) 488-2670
Email: DHawkins@cdc.gov

NOTE 18. INVENTIONS: Acceptance of grant funds obligates recipients to comply with the standard patent rights clause in 37 CFR 401.14.

NOTE 19. CANCEL YEAR. 31 U.S.C. 1552(a) Procedure for Appropriation Accounts Available for Definite Periods states the following, On September 30th of the 5th fiscal year after the period of availability for obligation of a fixed year appropriation account ends, the account shall be closed and any remaining balances (whether obligated or unobligated) in the account shall be canceled and thereafter shall not be available for obligation or expenditure for any purpose. An example is provided below:

Example: FY 2005 funds will expire September 30, 2010. All FY 2005 funds should be drawn down and reported to Payment Management System (PMS) prior to September 30, 2010. After this date, corrections or cash requests will not be permitted.

NOTE 20. PUBLICATIONS: Publications, journal articles, etc. produced under a CDC grant support project must bear an acknowledgment and disclaimer, as appropriate, for example:

This publication (journal article, etc.) was supported by the Cooperative Agreement Number above from The Centers for Disease Control and Prevention. Its contents are solely the responsibility of the authors and do not necessarily represent the official views of the Centers for Disease Control and Prevention.

NOTE 21. CONFERENCE DISCLAIMER AND USE OF LOGOS:

Disclaimer. If a conference is funded by a grant, cooperative agreement, sub-grant and/or a contract the recipient must include the following statement on conference materials, including promotional materials, agenda, and internet sites:

Funding for this conference was made possible (in part) by the Centers for Disease Control and Prevention. The views expressed in written conference materials or publications and by speakers and moderators do not necessarily do not reflect the official policies of the Department of Health and Human Services, nor does the mention of trade names, commercial practices, or organizations imply endorsement by the U.S. Government.

Logos. Neither the HHS nor the CDC logo may be displayed if such display would cause confusion as to the conference source or give false appearance of Government endorsement. Use of the HHS name or logo is governed by U.S.C. 1320b-10, which prohibits misuse of the HHS name and emblem in written communication. A non-federal entity is unauthorized to use the HHS name or logo governed by U.S.C. 1320b-10. The appropriate use of the HHS logo is subject to review and approval of the Office of the Assistant Secretary for Public Affairs (OASPA). Moreover, the Office of the Inspector General has authority to impose civil monetary penalties for violations (42 C.F.R. Part 1003). Neither the HHS nor the CDC logo can be used on conference materials, under a grant, cooperative agreement, and contract or co-sponsorship agreement without the expressed, written consent of either the Project Officer or the Grants Management Officer. It is the responsibility of the grantee (or recipient of funds under a cooperative agreement) to request consent for use of the logo in sufficient detail to ensure a complete depiction and disclosure of all uses of the Government logos. In all cases for utilization of Government logos, the grantee must ensure written consent is received from the Project Officer and/or the Grants Management Officer.

NOTE 22. EQUIPMENT AND PRODUCTS: To the greatest extent practicable, all equipment and products purchased with CDC funds should be American-made. CDC defines equipment as tangible non-expendable personal property (including exempt property) charged directly to an award having a useful life of more than one year AND an acquisition cost of \$5,000 or more per unit. However, consistent with recipient policy, a lower threshold may be established. Please provide the information to the Grants Management Officer to establish a lower equipment threshold to reflect your organization's policy.

The grantee may use its own property management standards and procedures provided it observes provisions of the following sections in the Office of Management and Budget (OMB) Circular A-110 and 45 CFR Part 92:

i. Office of Management and Budget (OMB) Circular A-110, Sections 31 through 37 provides the uniform administrative requirements for grants and agreements with institutions of higher

education, hospitals, and other non-profit organizations. For additional information, please review the following website: <http://www.whitehouse.gov/omb/circulars/a110/a110.html>

ii. 45 CFR Parts 92.31 and 92.32 provides the uniform administrative requirements for grants and cooperative agreements to state, local and tribal governments. For additional information, please review the following website listed:
http://www.access.gpo.gov/nara/cfr/waisidx_03/45cfr92_03.html

NOTE 23. PROGRAM INCOME: Any program income generated under this cooperative agreement will be used in accordance with the additional cost alternative. The disposition of program income must have written prior approval from the Grants Management Officer.

Additional Costs Alternative—Used for costs that are in addition to the allowable costs of the project for any purposes that further the objectives of the legislation under which the cooperative agreement was made. General program income subject to this alternative shall be reported on the FFR, as appropriate.

NOTE 24. KEY PERSONNEL: In accordance with 45 CFR 92.30(d)(3) CDC recipients shall obtain prior approvals from CDC for (1) change in the project director or principal investigator or other key persons specified in the application or award document, and (2) the absence for more than three months, or a 25 percent reduction in time devoted to the project, by the approved project director or principal investigator.

NOTE 25. TRAFFICKING IN PERSONS. This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award terms and conditions, please review the following website:
http://www.cdc.gov/od/pgo/funding/grants/Award_Term_and_Condition_for_Trafficking_in_Persons.shtm

NOTE 26. ACKNOWLEDGMENT OF FEDERAL SUPPORT: When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all awardees receiving Federal funds, including and not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

NOTE 27. LOBBY RESTRICTIONS (June 2012)

Applicants should be aware that award recipients are prohibited from using CDC/HHS funds to engage in any lobbying activity. Specifically, no part of the federal award shall be used to pay the salary or expenses of any grant recipient, subrecipient, or agent acting for such recipient or subrecipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any state government, state legislature or local legislature or legislative body.

Restrictions on lobbying activities described above also specifically apply to lobbying related to any proposed, pending, or future Federal, state, or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

This prohibition includes grass roots lobbying efforts by award recipients that are directed at inducing members of the public to contact their elected representatives to urge support of, or opposition to, proposed or pending legislation, appropriations, regulations, administrative actions, or Executive Orders (hereinafter referred to collectively as "legislation and other orders"). Further prohibited grass roots lobbying communications by award recipients using federal funds could also encompass any effort to influence legislation through an attempt to affect the opinions of the general public or any segment of the population if the communications refer to specific legislation and/or other orders, directly express a view on such legislation or other orders, and encourage the audience to take action with respect to the matter.

In accordance with applicable law, direct lobbying communications by award recipients are also prohibited. Direct lobbying includes any attempt to influence legislative or other similar

deliberations at all levels of government through communications that directly express a view on proposed or pending legislation and other orders and which are directed to members, staff, or other employees of a legislative body or to government officials or employees who participate in the formulation of legislation or other orders.

Lobbying prohibitions also extend to include CDC/HHS grants and cooperative agreements that, in whole or in part, involve conferences. Federal funds cannot be used directly or indirectly to encourage participants in such conferences to impermissibly lobby.

However, these prohibitions are not intended to prohibit all interaction with the legislative or executive branches of governments, or to prohibit educational efforts pertaining to public health that are within the scope of the CDC award. For state, local, and other governmental grantees, certain activities falling within the normal and recognized executive-legislative relationships or participation by an agency or officer of a state, local, or tribal government in policymaking and administrative processes within the executive branch of that government are permissible. There are circumstances for such grantees, in the course of such a normal and recognized executive-legislative relationship, when it is permissible to provide information to the legislative branch in order to foster implementation of prevention strategies to promote public health. However, such communications cannot directly urge the decision makers to act with respect to specific legislation or expressly solicit members of the public to contact the decision makers to urge such action.

Many non-profit grantees, in order to retain their tax-exempt status, have long operated under settled definitions of "lobbying" and "influencing legislation." These definitions are a useful benchmark for all non-government grantees, regardless of tax status. Under these definitions, grantees are permitted to (1) prepare and disseminate certain nonpartisan analysis, study, or research reports; (2) engage in examinations and discussions of broad social, economic, and similar problems in reports and at conferences; and (3) provide technical advice or assistance upon a written request by a legislative body or committee.

Award recipients should also note that using CDC/HHS funds to develop and/or disseminate materials that exhibit all three of the following characteristics are prohibited: (1) refer to specific legislation or other order; (2) reflect a point of view on that legislation or other order; and (3) contain an overt call to action.

It remains permissible for CDC/HHS grantees to use CDC funds to engage in activities to enhance prevention; collect and analyze data; publish and disseminate results of research and surveillance data; implement prevention strategies; conduct community outreach services; foster coalition building and consensus on public health initiatives; provide leadership and training, and foster safe and healthful environments.

Note also that under the provisions of 31 U.S.C. Section 1352, recipients (and their sub-tier contractors and/or funded parties) are prohibited from using appropriated Federal funds to lobby in connection with the award, extension, continuation, renewal, amendment, or modification of the funding mechanism under which monetary assistance was received. In accordance with applicable regulations and law, certain covered entities must give assurances that they will not engage in prohibited activities.

CDC cautions recipients of CDC funds to be careful not to give the appearance that CDC funds are being used to carry out activities in a manner that is prohibited under Federal law. Recipients of CDC funds should give close attention to isolating and separating the appropriate use of CDC funds from non-CDC funds. Use of federal funds inconsistent with these lobbying restrictions could result in disallowance of the cost of the activity or action found not to be in compliance as well as potentially other enforcement actions as outlined in applicable grants regulations.

NOTE 28. PROHIBITION ON USE OF CDC FUNDS FOR CERTAIN GUN CONTROL ACTIVITIES:

The Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, specifies that: None of the funds made available for the National Center for Injury Prevention and Control at CDC may be used to advocate or promote gun control.

Anti-Lobbying Act requirements prohibit lobbying Congress with appropriated Federal monies. Specifically, this Act prohibits the use of Federal funds for direct or indirect communications intended or designed to influence a Member of Congress with regard to specific Federal legislation. This prohibition includes the funding and assistance of public grassroots campaigns

intended or designed to influence Members of Congress with regard to specific legislation or appropriation by Congress.

In addition to the restrictions in the Anti-Lobbying Act, CDC interprets the new language in the CDC's Appropriations Act to mean that CDC's funds may not be spent on political action or other activities designed to affect the passage of specific Federal, State, or local legislation intended to restrict or control the purchase or use of firearms.

For the full text of the award terms and conditions, please review the following CDC website: http://www.cdc.gov/od/pgofunding/grants/additional_req.shtm#ar13

NOTE 29. HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA): Pursuant to the Standards for Privacy of Individually Identifiable Health Information promulgated under the Health Insurance Portability and Accountability Act (HIPAA)(45 CFR Parts 160 and 164) covered entities may disclose protected health information to public health authorities authorized by law to collect or received such information for the purpose of preventing or controlling disease, injury, or disability, including, but not limited to, the reporting of disease, injury, vital events such as birth or death, and the conduct of public health surveillance, public health investigations, and public health interventions. The definition of a public health authority includes a person or entity acting under a grant of authority from or contract with such public agency. Through this agreement, the [Insert recipient Name] is acting under a grant of authority from CDC to carry out [Insert: Name of project/activity] which is authorized by [Insert: Statutory authority from Public Health Service Act, Comprehensive Environmental Response, Compensation, and Liability Act, or other legislation (this information should be provided by the awarding program)]. The CDC grants this authority to [Insert: partner name] for purposes of this project. Further, CDC considers this to be [Insert: type of public health activity, i.e. disease/injury reporting, vital events, surveillance, investigations, intervention, registry] for which disclosure of protected health information by covered entities is authorized by section 164.512(b)).

NOTE 30. PAYMENT INFORMATION:

Automatic Drawdown (Direct/Advance Payments):

PAYMENT INFORMATION: Payment under this award will be made available through the Department of Health and Human Services (HHS) Payment Management System (PMS). PMS will forward instructions for obtaining payments.

a.) PMS correspondence, mailed through the U.S. Postal Service, should be addressed as follows:

Director, Division of Payment Management, OS/ASAM/PSC/FMS/DPM
P.O. Box 6021
Rockville, MD 20852

Phone Number: (877) 614-5533_
Email: PMSSupport@psc.gov
Website: http://www.dpm.psc.gov/grant_recipient/shortcuts/shortcuts.aspx?explorer.event=true

Please Note: To obtain the contact information of DPM staff within respective Payment Branches refer to the link listed below:

Governmental and Tribal Payment Branch:
http://www.dpm.psc.gov/contacts/dpm_contact_list/gov_tribal.aspx?explorer.event=true

NOTE 31. ACCEPTANCE OF THE TERMS OF AN AWARD: By drawing or otherwise obtaining funds from the grant payment system, the recipient acknowledges acceptance of the terms and conditions of the award as set forth here and in the Funding Opportunity Announcement Number CDC-RFA-CE14-1401 entitled, "Rape Prevention and Education Program" and is obligated to perform in accordance with the terms and conditions of the award. If the recipient cannot accept the terms and conditions, the recipient should notify the Grants Management Officer.

NOTE 32. CERTIFICATION STATEMENT: By drawing down funds, Awardee certifies that proper financial management controls and accounting systems to include personnel policies and

procedures have been established to adequately administer Federal awards and funds drawn down are being used in accordance with applicable Federal cost principles, regulations and Budget and Congressional intent of the President.

NOTE 33. FY 2012 Enacted General Provisions

The following provisions apply to grants, cooperative agreements and loans funded by the Departments of Labor, Health and Human Services, and Education Appropriations Act, Fiscal Year 2012, Public Law 112-74, and Fiscal Year 2012 funds transferred under the Patient Protection and Affordable Care Act, PL 111-148.

General Provisions Title II

Section 203 - Cap on Researcher Salaries

None of the funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II; reduced from \$199,700 to \$179,700 effective December 23, 2011.

*** Note 1: The salary limitation applies to all individuals directly or indirectly funded by the grant, not just researchers.

*** Note 2: Senior Executive Level II salary can be found at the Office of Personnel Management web site: <http://www.opm.gov/oca/12tables/indexSES.asp>

SALARY CAP LIMITATIONS:

Timeframe of Award: FY 12 awards issued on or before December 22, 2011, that have had no FY 12 funds obligated since December 23

Salary Cap: Executive Level I (\$199,700)

Program Action: None for current year. May adjust salary levels for future years to ensure no funds are awarded for salaries over the limit

Grantee Action: None for current year. Apply salary limit as specified in continuation guidance in future years. Carryover request may reflect salary limitations in affect at the time of award.

Timeframe of Award: FY 12 awards issued on or after December 23, 2011

Salary Cap: Executive Level II (179,700)

Program Action: Adjust salary levels for current and future years to ensure no funds are awarded for salaries over the limit

Grantee Action: Adjust salary levels for current and future years and re-budget funds freed as a result of the lower limit.

Timeframe of Award: Awards in previous fiscal years

Salary Cap: As specified in original award

Program Action: None

Grantee Action: None

Section 218 - Gun Control Prohibition

None of the funds made available in this title may be used, in whole or in part, to advocate or promote gun control.

General Provisions, Title V

Section 503 - Proper Use of Appropriations - Publicity and Propaganda [LOBBYING] FY2012 Enacted

(a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation of the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government itself.

(b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or

pending before the Congress or any State government, State legislature or local legislature or legislative body, other than normal and recognized executive legislative relationships or participation by an agency or officer of an State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

(c) The prohibitions in subsections (a) and (b) shall include any activity to advocate or promote any proposed, pending, or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

Section 253 - Needle Exchange

Notwithstanding any other provision of this Act, no funds appropriated in this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug.

HHS recipients must comply with all terms and conditions outlined in their grant award, including grant policy terms and conditions contained in applicable Department of Health and Human Services (HHS) Grant Policy Statements, and requirements imposed by program statutes and regulations and HHS grant administration regulations, as applicable; as well as any regulations or limitations in any applicable appropriations acts.

NOTE 34. CDC CONTACTS:

Business and Grants Policy Contact

Devi Hawkins, Grants Management Specialist
Centers for Disease Control and Prevention, PGO
OD, Environmental, Occupational Health &
Injury Prevention Services Branch, Team II
2920 Brandywine Road, Mail Stop K-69
Atlanta, GA 30341-4146
Telephone: (770) 488-2543
Fax: (770) 488-2670
Email: DHawkins@cdc.gov

Programmatic and Technical Contact

Heather Oglesby, Project Officer
Centers for Disease Control and Prevention (CDC)
National Center for Injury Prevention and Control
4770 Buford Highway, NE, Mail Stop F-64
Atlanta, Georgia 30341
Telephone: (770) 488-7432
Fax: (770) 488-4349
Email: xwm8@cdc.gov

STAFF CONTACTS

Grants Management Specialist: Devi Hawkins
Center for Disease Control and Prevention
PGO
2920 Brandywine Road MS, K-69
Atlanta, ga 30341
Email: duh4@cdc.gov Phone: 770.488.8493 Fax: 770.488.2670

Grants Management Officer: Ralph U Robinson
Center for Disease Control and Prevention
Procurement and Grants Office
Koger Center/Colgate Bldg/Room 3218
2920 Brandywine Road, MS K-70
Atlanta, GA 30331
Email: inp2@cdc.gov Phone: 770-488-2441 Fax: 770-488-2670

SPREADSHEET SUMMARY
GRANT NUMBER: 1UF2CE002427-01

INSTITUTION: MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

Budget	Year 1	Year 2	Year 3	Year 4	Year 5
Salaries and Wages	\$21,776				
Fringe Benefits	\$28,068				
Supplies	\$190				
Consortium/Contractual Cost	\$171,159				
Other Costs	\$1,302				
TOTAL FEDERAL DC	\$222,495	\$603,238	\$603,238	\$603,238	\$603,238
TOTAL FEDERAL F&A	\$6,746				
TOTAL COST	\$229,241	\$603,238	\$603,238	\$603,238	\$603,238

National Center for Injury Prevention and Control
DVP/PPTB
CE14-1401: Rape Prevention and Education Program
Systematic Technical Acceptability Review (STAR): Applicants applying for more than \$150,000

Applicant's Name: Missouri Department of Health and Senior Services

Grant ID #: CE002427-01

Requested Amount: \$ 603,238

Recommended 1st Allotment Amount: \$229,241

Final FY2014 Award: \$ _____

Scoring

Category	Possible Score	Score
Approach	35	N/A
Organizational Capacity of Awardees to Execute the Approach	30	N/A
Evaluation & Performance Measurement	35	N/A
Total Score	100	N/A

1. Response to Technical Review (check one):

The awardee must submit a response to the weakness(es) and recommendations identified in the technical review within 30 days from receipt date of the notice of award. (Note: The awardee's response should be reflective only of the weaknesses identified with an asterisk (*). Submission of weaknesses without an asterisk(*) and resubmission of the entire application is not required.)

No response to Technical Review is required.

2. Budget and Work-plan (check one):

Revised Budget and Work-plan are needed due to a reduction in proposed budget, which affects the proposed activities/work-plan. (Attach budget mark-up and justification to be used by GMS to request revised budget and work-plan.)

Revised budget and work-plan are required due to – (provide reason(s)):

The final FY2014 budget for CE14-1401 is currently unavailable. This initial first allotment is based on availability of \$12 Million applied to the population formula for the Rape Prevention and Education cooperative agreement.

Revised budget and work-plan are NOT required.

Reviewer's Name: Malia Richmond-Crum

Project Officer's Name: Heather Oglesby
(Print Name)

Project Officer's Signature (mandatory): _____ Date: _____

Applicant's Name: Missouri Department of Health and Senior Services

Summary of the Project:

The Missouri Department of Health and Senior Services request \$603,328 in FY2014 funds to support the state RPE Program. The RPE Program is administered through the Missouri Department of Health and Senior Services.

MDHSS intends to implement a select number of primary prevention strategies that exemplify the principles of effective prevention strategies as outlined in the FOA: 1) strategies that emphasize primary prevention, 2) are comprehensive, 3) have sufficient dosage, 4) are culturally appropriate, 5) developed in collaboration with relevant partners and stakeholders and 6) based on the best available evidence. The MDHSS will focus on the universal population of adolescents and adults as identified in Missouri's statewide plan.

The intended outcomes of this project period include:

1. Increase the proportion of RPE-funded agencies that are implementing primary prevention strategies based on the principles of effective prevention strategies as outlined above.
2. Increase program evaluator infrastructure and capacity for Missouri's Rape Prevention and Education Program.
3. Increase organizational capacity to implement primary prevention strategies within communities.
4. Increase the number and quality of partnerships with non-traditional partners and build the sexual violence prevention network.
5. Increase individuals' knowledge and skills regarding sexual violence prevention.

Missouri's RPE program intends to use two evidence-informed strategies.

- The Green Dot Violence Prevention Strategy is informed by social change theory and targets all community members as potential bystanders
- Middle School Community Mobilization Program (MSCMP), which is a tailored application of Green Dot for middle school students.

Individuals in early adolescence (grades 6-8) will be targeted for the MSCMP strategy and adult, college-age individuals (ages 18-24) will be the target for the Green Dot strategy. The proposed sexual violence prevention strategies (Green Dot and MSCMP) will be encompassing and culturally-relevant to all youth with disabilities or who identify as Lesbian, Gay, Bisexual, or Transgender.

Summary of Major Strengths:

- Outcomes are clearly outlined.
- Applicant clearly describes which programs/models will be implemented.
- Work plan addresses all 4 components of FOA and includes evaluation action plan.
- Clearly describes how they will collaborate with CDC funded organizations and other organizations in the state.
- Applicant has relationships and ongoing communication with other RPE grantees in Region 7 & 8
- There is dedicated staff in health department
- Evaluation expertise is considered and addressed.
- Applicant indicates it will submit information for program components in Tables 1 & 2 to the CDMIS system.
- Evaluation questions are in line with CDC evaluation questions in RFA.

- Applicant indicates both process and outcome evaluation will be conducted.
- Applicant included information on public health department accreditation.
- Applicant adheres to the 5 percent limit for administrative/indirect expenses.
- Applicant adheres to the 2 percent limit for surveillance or prevalence studies.

Summary of Major Weaknesses:

- *Applicant intends to adopt a universal approach that will target adults and adolescents, yet intended strategies will only reach college-age adults and adolescents in grades 6-8. The reach of these activities is not clear, but assuming applicant is even able to reach all college age adults and adolescents in grades 6-8, that still will not cover all adults & adolescents in the state.
- *Not clear what reach this work will have. How many middle schools will receive MSCMP? How many college campuses will have Green Dot training? How many people can you anticipate will be reached by these interventions? How many local RPE programs will be implementing these strategies?
- Not clear that applicant or contractors have current relationships with schools that will receive Green Dot or MSCMP program. If not, how will they create these relationships and implement intervention in time to reach outcomes?
- Program strategy section only talks about Green Dot and MSCMP program models. It's not clear how applicants will reach all outcomes just by implementing these models.
- LGBT and people with disabilities identified as populations in need of SV prevention by Institute of Public Policy (IPP) survey, however this was not a target population.
- Under target population there is a lot of discussion about the correlation between bullying and SV perpetration. Is MSCMP a bullying prevention strategy? This was not clear in the Program Strategy section. If it's not, unclear why bullying prevention is mentioned in such detail since that will not be impacted by the intervention.
- *No information on how applicant will increase the number and quality of partnership with non-traditional partners is included in the application (Work plan Obj. #4)
- *Work plan
 - Needs more specific performance measures. E.g., # of schools, # of trainings, # of attendees,
 - Doesn't indicate which staff that will be responsible for which activities.
 - Objectives are not SMART (many of the activities are really objectives)
 - Timeline is too broad – most activities will be done “by October 31, 2014”
 - Current measures of effectiveness will not tell you if you've met your objective. E.g., Obj. #1: Knowing which agencies completed online training (measure of effectiveness) will not tell you if participants have increased knowledge of primary prevention principles (objective). If surveys will be used to measure changes in knowledge this needs to be in the work plan.
 - Not clear that the level of TA provision will be enough to achieve goals
- *There is very little information on the subcontractor's (MCADSV) work to provide T/TA on primary prevention to RPE funded local programs, which is a key activity in this proposal. This type of information should be included in the Approach section.
- *It's not clear how many local RPE programs will be funded to implement strategies or what criteria will be used to select which local organization to fund.
- *Logic model does not align with activities, strategies and outcomes in narrative and work plan.
- *Not clear how applicant will be implementing strategies in the outer level of social ecological model. Reference is made to policy enforcement and school/community-wide messages and norms, but not clear what these activities will entail, reach or expected outcomes of these activities
- It's not possible to tell whether the applicant has the organizational capacity to implement proposed strategies since there is not enough detail about how they will do this work.
- *Key stakeholders are not being engaged to help plan for evaluation and performance measurement planning. (Evaluation contractor is not a key stakeholder)
- Using annual surveys alone for quality improvement may not be often enough for program

corrections that will make an impact.

- *Applicant did not provide itemized budget for subcontracts – including local RPE programs, MCADSV, and University of Missouri.

Recommendations:

- For the FY14 budget period, please revise your work plan and budget to reflect your FY14 budget award amount and the Terms and Conditions of the Notice of Award. Please discuss and work with your Project Officer to outline how best to address recommendations that may no longer be relevant to your State RPE Program.

Applicant needs to address the following items and follow-up with Project officer as necessary:

- Applicant needs to clarify reach of proposed strategies
- Applicant should clarify how the two evidence-informed programs (Green Dot and MCADSV) will be implemented (e.g., do local programs already have relationships developed with intervention sites? If not, how will grantee/contractor engage schools to implement program? How many sites are planned? How many people are expected to receive the intervention? etc.)
- Applicant should clarify how proposed strategies impact the outer levels of the social ecology.
- Applicant needs to revise work plan in consideration of major weakness outlined above.
- Applicant needs to clarify level of MCADSV efforts to provide T/TA on primary prevention to RPE funded local programs, which is a key activity in this proposal and information on contractor's capacity to meet the TA needs of X# of local grantees implementing these programs in X# of sites.
- Applicant needs to clarify how many local RPE programs will be funded to implement Green Dot and MSCIMP, what criteria will be used to select local organizations.
- Applicant should rewrite logic model to line up with activities, strategies and outcomes in narrative and work plan.
- Applicant should clarify how proposed strategies impact the outer levels of the social ecology.
- Applicant should clarify how it will engage SV coalition and local RPE programs to help develop evaluation plan (e.g., review drafts; provide input on proposed data collection methods, etc.).
- Applicant should provide more detail how and when data will be collected.
- Applicant should consider quality improvement data collection more often than once a year. And should consider implementing activities such as TA calls/webinars to address gaps/needs for program correction on a quarterly basis.

Other Relevant Comments:

- Applicant adheres to the 5 percent limit for administrative/indirect expenses.
- Applicant adheres to the 2 percent limit for surveillance or prevalence studies.

1. Approach

- a. Describe how they will address the problem statement
- b. Describe the outcomes they expect to achieve by the end of the project period. Describe the outcomes by indicating the direction of desired change (e.g. increase, decrease, maintain).
- c. Provide a clear description of the program strategies that will be used to meet their identified outcomes that are in alignment with those outlined in the Project Description portion of the FOA.
- d. Include strategies that address all four components of the FOA (note territorial exclusion for component 3).
- e. Describe the target populations, how they were identified and what strategies will be implemented with these populations.

- f. Describe how they will collaborate with CDC funded organizations and other organizations.
- g. Include a work plan that address all four components (note territorial exclusion for component 3) and is in alignment with the work plan described in the Project Description portion of the FOA.

Summary of Major Strengths:

- o Outcomes are clearly outlined.
- o Clearly describes which programs models will be implemented.
- o Work plan addresses all 4 components of FOA and includes evaluation action plan.
- o Clearly describes how they will collaborate with CDC funded organizations and other organizations in the state.

Summary of Major Weaknesses:

- o Applicant intends to adopt a universal approach that will target adults and adolescents, yet intended strategies will only reach college-age adults and adolescents in grades 6-8. The reach of these activities is not clear but assuming applicant is even able to reach ALL college age adults and adolescents in grades 6-8, that still will not cover all adults & adolescents in the state. Applicant should provide information on reach of proposed strategies and work with Project Officer to define target population.
- o Not clear what reach this work will have. How many middle schools will receive MSCMP? How many college campuses will have Green Dot training? How many people can you anticipate will be reached by these interventions? How many local RPE programs will be implementing these strategies?
- o Not clear that applicant or contractors have current relationships with schools that will receive Green Dot or MSCMP program. If not, how will they create these relationships and implement intervention in time to reach outcomes?
- o Program strategy section only talks about Green Dot and MSCMP program models. It's not clear how applicants will reach outcomes just by implementing these models.
- o No information on how applicant will increase the number and quality of partnership with non-traditional partners is included in the application (Workplan Obj. #4)
- o LGBT and people with disabilities identified as populations in need of SV prevention by IPP survey, however this was not a target population.
- o Under target population there is a lot of discussion about the correlation between bullying and SV perpetration. Is MSCMP a bullying prevention strategy? This was not clear in the Program Strategy section. If it's not, unclear why bullying prevention is mentioned in such detail since that will not be impacted by the intervention.
- o Work plan
 - o Needs more specific performance measures. E.g., # of schools, # of trainings, # of attendees,
 - o Doesn't indicate which staff that will be responsible for which activities.
 - o Objectives are not SMART (many of the activities are really objectives)
 - o Timeline is too broad – most activities will be done “by October 31, 2014”
 - o Current measures of effectiveness will not tell you if you've met your objective. E.g., Obj. #1: Knowing which agencies completed online training (measure of effectiveness) will not tell you if participants have increased knowledge of primary prevention principles (objective). If surveys will be used to measure changes in knowledge this needs to be in the work plan.
 - o Not clear that the level of TA provision will be enough to achieve goals
- o Logic model does not align with activities, strategies and outcomes in narrative and workplan.
- o There is very little information on the subcontractor's (MCADSV) work to provide T/TA on primary prevention to RPE funded local programs, which is a key activity in this proposal. This type of information should be included in the Approach section. It's not clear how many local RPE programs will be funded to implement strategies or what criteria will be used to select which local

- organization to fund.
 - Reference to policy enforcement and school/community –wide messages and norms, but not clear what these activities will entails and how much will take place versus individual knowledge, attitudes and behavior change.
2. Organizational Capacity of Awardees to Execute the Approach
- a. To what extent does the applicant demonstrate that proposed staff has skills, knowledge and experience in each of the following areas: sexual violence prevention, partnership development, program evaluation and performance monitoring and providing training and technical assistance?
 - b. Does the applicant have the infrastructure to support the requirements of this FOA as well as the quality and sufficiency of the proposed staffing? Does the applicant provide a proposed staffing plan for this project? Does the applicant include resumes of key staff (or position descriptions if not yet hired)?
 - c. To what extent is the applicant's budget reasonable and consistent with the purpose, outcomes and program strategy outlined in the project narrative?

Summary of Major Strengths:

- Applicant has relationships and ongoing communication with other RPE grantees in Region 7 & 8
- There is dedicated staff in health department
- Evaluation expertise is considered and addressed.
- Applicant included information on public health department accreditation.

Summary of Major Weaknesses:

- It's not possible to tell whether the applicant has the organizational capacity to implement proposed strategies since there is not enough detail about what they will do, how they will do it and who will do it (e.g., how many local RPE programs will be funded? What amount of funding will each organization receive? Will there be dedicated prevention staff at the local level? What staff in which organizations are doing what work (this should have been included in Work plan) What is the capacity of contractors providing technical assistance to implement Green Dot and MSCMP? etc.)

3. Evaluation and Performance Measurement

- a. To what extent is the applicant's evaluation and performance measurement plan consistent with the RPE Program Strategy and the evaluation and performance measurement plan?
- b. To what extent does the applicant describe how key stakeholders will be engaged in the evaluation and performance measurement strategy?
- c. To what extent does the applicant describe how information will be collected from RPE funded organizations for the Program Components Tables and Prevention Strategy Profile for all necessary information submission time points?
- d. To what extent does the applicant describe procedures to track and monitor change and improvements process implementation of SV strategies and program evaluation: capacity and infrastructure across RPE funded organizations?
- e. To what extent does the applicant describe the type of evaluation to be conducted (i.e. performance monitoring, process evaluation, outcome evaluation)?
- f. To what extent does the applicant describe key evaluation questions?

- g. To what extent does the applicant describe how performance monitoring data will be used to make appropriate ongoing corrections and adjustments to activities?
- h. To what extent does the applicant describe potentially available data sources and feasibility of collecting appropriate evaluation and performance data?

Summary of Major Strengths:

- Applicant indicates it will submit information for program components in Tables 1 & 2 to the CDMIS system.
- Evaluation questions are in line with CDC evaluation questions in RFA.
- Applicant indicates both process and outcome evaluation will be conducted.

Summary of Major Weaknesses:

- Key stakeholders are not being engaged to help plan for evaluation and performance measurement planning. (Evaluation contractor is not a key stakeholder) Think about how to engage SY coalition and local RPE programs to help develop evaluation plan (for example, review drafts; provide input on proposed data collection methods, etc.).
- More detail on how and when data will be collected is needed.
- Using annual surveys alone for quality improvement may not be often enough for program corrections that will make an impact.

Budget

- a. Does the applicant provide a detailed budget with complete line-item justification of all proposed costs consistent with the stated activities in the program announcement? Details must include a breakdown in the categories of personnel (with time allocations for each), staff travel, communications and postage, equipment, supplies, and any other costs. Any sources of additional funding beyond the amount stipulated in this cooperative agreement should be indicated, including donated time or services. For each expense category, the budget should indicate CDC share, the applicant share and any other support. These funds cannot be used to supplant existing efforts.
- b. Does the applicant adhere to the 5 percent limit for administrative/indirect expenses and the 2 percent limit for surveillance or prevalence studies for each fiscal year?

Summary of Major Strengths:

- Applicant adheres to the 5 percent limit for administrative/indirect expenses.
- Applicant adheres to the 2 percent limit for surveillance or prevalence studies.

Summary of Major Weaknesses:

- Applicant did not provide itemized budget for subcontracts – including local RPE programs, MCADSV, and University of Missouri.