Notice of Award

COOPERATIVE AGREEMENT **Issue Date:** 01/14/2016

Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR ENVIRONMENTAL HEALTH

Grant Number: 1UE1EH001270-01 REVISED
FAIN: UE1EH001270

Principal Investigator(s):
Jonathan D. Garoutte

Project Title: LEAD POISONING PREVENTION - CHILDHOOD LEAD POISONING PREVENTION

BRET FISCHER
DIRECTOR
DIVSION OF ADMINISTRATION
MISSOURI DEPARTMENT OF HEALTH AND SENIOR SERVICES
920 WILDWOOD DRIVE - P.O. BOX 570
JEFFERSON CITY, MO 65102

Award e-mailed to: grants@health.mo.gov

Budget Period: 09/30/2014 – 09/29/2016
Project Period: 09/30/2014 – 09/29/2017

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MISSOURI STATE DEPARTMENT OF HEALTH & SENIOR SERVICES in support of the above referenced project. This award is pursuant to the authority of Sect 301 and 307 PHS Act(42 USC Sect 241 and 247), amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Ralph U. Robinson

Ralph U Robinson
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 1UE1EH001270-01 REVISED

Award Calculation (U.S. Dollars)

Salaries and Wages	$150,541
Fringe Benefits	$75,271
Personnel Costs (Subtotal)	$225,812
Supplies	$182
Travel Costs	$13,468
Other Costs	$8,240
Consortium/Contractual Cost	$75,000
Federal Direct Costs	$322,702
Federal F&A Costs	$43,356
Approved Budget	$366,058
Federal Share	$366,058
TOTAL FEDERAL AWARD AMOUNT	$366,058

AMOUNT OF THIS ACTION (FEDERAL SHARE) $0

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

02 $366,058
03 $366,058

Fiscal Information:
CFDA Number: 93.753
EIN: [redacted]
Document Number: 001270LP14

IC	CAN	2014	2016	2017
EH	93901GL	$366,058	$366,058	$366,058

SUMMARY TOTALS FOR ALL YEARS		
YR	**THIS AWARD**	**CUMULATIVE TOTALS**
1	$366,058	$366,058
2	$366,058	$366,058
3	$366,058	$366,058

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:
PCC: / **OC**: 4151 / **Processed**: ERAAPPS 01/14/2016

SECTION II – PAYMENT/HOTLINE INFORMATION – 1UE1EH001270-01 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 1UE1EH001270-01 REVISED

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.
b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) UE1EH001270. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

Treatment of Program Income:
Additional Costs

SECTION IV – EH Special Terms and Conditions – 1UE1EH001270-01 REVISED

Funding Opportunity Announcement (FOA) Number: EH14-1408
Award Number: UE1 EH 001270-01
Award Type: Non Research
Applicable Regulations: 45 Code of Federal Regulations (CFR) Part 75, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards

45 CFR Part 75 supersedes regulations at 45 CFR Part 74 and Part 92

AWARD INFORMATION

NO COST EXTENSION

The purpose of this amended Notice of Award is to provide a 12-month no-cost extension in order to allow additional time to expend unobligated funds in the amount of **$138,936** from budget year 01 as requested in the recipient's request dated **December 21, 2015**. The request has been reviewed based upon the information submitted by the recipient. These funds have been approved for identified activities and must be completed by the end of the revised budget period. CDC has extended this award to allow for continued drawdown authority in the Payment Management System (PMS). All funds must be obligated no later than the end of the budget period dated **September 29, 2016**. Award funds may be withdrawn from the subaccount identified below:

Grant Document Number: 001270LP14
Sub-account title: RFA-EH14-1408

Please be advised that grantee must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, and reasonable.

REPORTING REQUIREMENTS - Revised

Annual Federal Financial Report (FFR, SF-425): The Annual Federal Financial Report (FFR) SF-425 is required and must be submitted via email to the assigned GMS no later than 90 days after the end of the calendar quarter in

which the budget period ends. The FFR for this budget period is due to the GMS/GMO by **December 29, 2016.**

The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System (PMS) cash transaction data. All Federal reporting in PMS is unchanged.

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, the grantee is required to contact the Grants Officer listed in the contacts section of this notice before the due date.

All award funds must be tracked and reported separately. Funds must be used in support of approved activities in the supplement request.

Acceptance of the Terms of an Award: By drawing or otherwise obtaining funds from the grant Payment Management Services, the grantee acknowledges acceptance of the terms and conditions of the award and is obligated to perform in accordance with the requirements of the award. If the recipient cannot accept the terms, the recipient should notify the Grants Management Officer within thirty (30) days of receipt of this award notice.

Certification Statement: By drawing down funds, the grantee certifies that proper financial management controls and accounting systems, to include personnel policies and procedures, have been established to adequately administer

Federal awards and funds drawn down. Recipients must comply with all terms and conditions outlined in their NoA, including grant policy terms and conditions contained in applicable.

HHS Grant Policy Statements and requirements imposed by program statutes and regulations and HHS grants administration regulations, as applicable; as well as any regulations or limitations in any applicable appropriations acts.

CLOSEOUT REQUIREMENTS - Revised

Grantees must submit closeout reports in a timely manner. Unless the Grants Management Specialist/Grants Management Officer (GMS/GMO) approves a deadline extension the grantee must submit all closeout reports within 90 days after the last day of each budget period. Failure to submit timely and accurate final reports may affect future funding to the organization or awards under the direction of the same Project Director/Principal Investigator (PD/PI).Reporting timeframe is September 30, 2014 through September 29, 2016.

All manuscripts published as a result of the work supported in part or whole by the cooperative grant must be submitted with the progress reports.

An original plus two copies of the reports must be mailed to the GMS for approval by the GMO by the due date noted. Ensure the Award and Program Announcement numbers shown above are on the reports.

The final and other programmatic reports required by the terms and conditions of the NoA are the following.

Final Performance Report: An original and two copies are required. At a minimum, the report should include the following:

• Statement of progress made toward the achievement of originally stated aims;
• Description of results (positive or negative) considered significant; and
• List of publications resulting from the project, with plans, if any, for further publication.

Final Federal Financial Report (FFR, SF-425): The FFR should only include those funds authorized and actually expended during the timeframe covered by the report. The Final FFR, SF-425 is required and must be submitted via email and hard copy no later than 90 days after the end of the project period. This report must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. Should the amount not match with the final expenditures reported to the Department of Health and Human Services Payment Management Services (PMS), you will be required to update your reports to PMS accordingly. Remaining unobligated funds will be de-obligated and returned to the U.S. Treasury.

If the final reports (FFR and Final Progress Report) cannot be submitted within 90 days after the end of the project period, in accordance with 2 CFR Parts 200.343 (Closeout), 225 and 230, the grantee must submit a letter requesting an extension that includes the justification for the delay and state the expected date the CDC Procurement and Grants Office will receive the reports. All required documents must be mailed to the business contact identified in Staff Contacts.

Equipment Inventory Report: An original and two copies of a complete inventory must be submitted for all major equipment acquired or furnished under this project with a unit acquisition cost of $5,000 or more. The inventory list must include the description of the item, manufacturer serial and/or identification number, acquisition date and cost, percentage of Federal funds used in the acquisition of the item. The grantee should also identify each item of equipment that it wishes to retain for continued use in accordance with 2 CFR Parts 200, 215.37 or 2 CFR Part 215.71. These requirements do apply to equipment purchased with non-federal funds for this program. The awarding agency may exercise its rights to require the transfer of equipment purchased under the assistance award referenced in the cover letter. CDC will notify the grantee if transfer to title will be required and provide disposition instruction on all major equipment. Equipment with a unit acquisition cost of less than $5,000 that is no longer to be used in projects or programs currently or previously sponsored by the Federal Government may be retained, sold, or otherwise disposed of, with no further obligation to the Federal Government. If no equipment was acquired under this award, a negative report is required.

Final Invention Statement: An original and two copies of a Final Invention Statement are required. Electronic versions of the form can be downloaded by

visiting http://www.hhs.gov/forms/hhs568.pdf. If no inventions were conceived under this assistance award, a negative report is required. This statement may be included in a cover letter.

All other terms and conditions issued with this award remain in effect, unless otherwise changed, in writing, by the Grants Management Officer.

Programmatic Contact:
Kimball Credle, MPH
Project Officer
Division of Emergency and Environmental Health Services
National Center for Environmental Health
Centers for Disease Control and Prevention (CDC)
4770 Buford Highway - Mailstop F58
Atlanta, Georgia 30341
Phone: 770-488-3643
Fax: 770-488-3635
E-mail address: kfc2@cdc.gov

STAFF CONTACTS
Grants Management Specialist: Tiffany Mannings
Center for Disease Control and Prevention
Procurement and Grants Office
Koger Center/Stanford Bldg/Room 2050
2920 Brandywine Road, MS E-01
Atlanta, GA 30031
Email: yuo7@cdc.gov **Phone**: 770-488-2515 **Fax**: 770-488-2671

Grants Management Officer: Ralph U Robinson
Center for Disease Control and Prevention
Procurement and Grants Office
Koger Center/Colgate Bldg/Room 3218
2920 Brandywine Road, MS K-70
Atlanta, GA 30331
Email: inp2@cdc.gov **Phone**: 770-488-2441 **Fax**: 770-488-2670

SPREADSHEET SUMMARY
GRANT NUMBER: 1UE1EH001270-01 REVISED

INSTITUTION: MISSOURI STATE DEPT/ HEALTH & SENIOR SRV

Budget	Year 1	Year 2	Year 3
Salaries and Wages	$150,541		
Fringe Benefits	$75,271		
Personnel Costs (Subtotal)	$225,812		
Supplies	$182		
Travel Costs	$13,468		
Other Costs	$8,240		
Consortium/Contractual Cost	$75,000	$366,058	$366,058
TOTAL FEDERAL DC	$322,702	$366,058	$366,058
TOTAL FEDERAL F&A	$43,356		
TOTAL COST	$366,058	$366,058	$366,058