1. DATE ISSUED M	M/DD/YYYY 1a. S	1a. SUPERSEDES AWARD NOTICE dated					
07/11/2019		except that any additions or restrictions previously imposed remain in effect unless specifically rescinded					
2. CFDA NO. 93.323 - Epidemiology and Laboratory Capacity for Infectious Diseases (ELC)							
3. ASSISTANCE TYPE	Cooperative Agree	ment					
4. GRANT NO. 1 NU5	0CK000546-01-00		5. TYPE OF AWARD Demonstration				
Formerly		Demonstrati	Demonstration				
4a. FAIN NU50CK00	0546	5a. ACTION TYP	PE New				
6. PROJECT PERIOD	MM/DD/YYY	/	MM/DD/YYYY				
From	08/01/2019	Through	07/31/2024				
7. BUDGET PERIOD MM/DD/YYYY		/	MM/DD/YYYY				
From	08/01/2019	Through	07/31/2020				

# DEPARTMENT OF HEALTH AND HUMAN SERVICES Centers for Disease Control and Prevention CDC Office of Financial Resources

2939 Brandywine Road Atlanta, GA 30341

## **NOTICE OF AWARD**

AUTHORIZATION (Legislation/Regulations) 301(A)AND317(K)(2)PHS42USC241(A)247B(K)2

#### 8. TITLE OF PROJECT (OR PROGRAM)

CK19-1904 Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infectious Diseases (ELC)

9a. GRA	NTEE NAME AND ADDRE	SS		9b. GRANT	EE PROJECT DIRECTOR			
HE	ALTH AND SENIOR SERVI	CES, MISSOURI DEPARTMENT OF		HOWA	ARD PUE			
920	Wildwood Dr			920 W	ILDWOOD DRIVE P.O. BOX 570			
Jef	ferson City, MO 65109-5796			MISSO	OURI STATE DEPT. OF HEALTH &			
				JEFFE	ERSON CITY, MO 65102-0570			
				Phone	: [NO DATA]			
10a. GR	ANTEE AUTHORIZING OF	FICIAL		10b. FEDER	RAL PROJECT OFFICER			
Ms	. Tonya R Loucks			De'Lis	a Simpson			
920	WILDWOOD DR			1600	Clifton Rd			
Jef	ferson City, MO 65109-5796			Atlanta	a, GA 30333			
Pho	one: 573-751-6014			Phone	: 404-639-3629			
		AL	L AMOUNTS ARE	SHOWN IN U	SD			
11. APPF	ROVED BUDGET (Excludes	Direct Assistance)		12. AWARD	COMPUTATION			
I Financ	cial Assistance from the Fed	eral Awarding Agency Only		a. Amount o	of Federal Financial Assistance (from	n item 11m)		1,700,202.00
II Total <sub>I</sub>	project costs including grant	funds and all other financial participation	1	b. Less Und	bligated Balance From Prior Budget	Periods		0.00
a.	Salaries and WageS		676.856.00	c. Less Cun	nulative Prior Award(s) This Budget I	Period		0.00
	Fringe Benefits		378,352.00	d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION			1,700,202.00	
b.	Fillige beliefits		376,352.00	13. Total Federal Funds Awarded to Date for Project Period			1,700,202.00	
C.	Total Personnel Costs		1,055,208.00		MENDED FUTURE SUPPORT			
d.	Equipment		0.00	(Subject to t	he availability of funds and satisfacto	ory progress of the	e project):	
e.	Supplies		192,222.00	YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL	DIRECT COSTS
			34,698.00	a. 2		d. 5		
f.	Travel		0 1,000.00	b. 3		e. 6		
g.	Construction		0.00	c. 4		f. 7		
h.	Other		85,569.00	15. PROGRAM ALTERNATIVE	INCOME SHALL BE USED IN ACCORD WITH S:	ONE OF THE FOLLO	WING	
i.	Contractual		125,003.00	a. b.	DEDUCTION ADDITIONAL COSTS			b
j.	TOTAL DIRECT COST	s —	1,492,700.00	c. d. e.	MATCHING OTHER RESEARCH (Add / Deduct Option) OTHER (See REMARKS)			
k.	INDIRECT COSTS		207,502.00		RD IS BASED ON AN APPLICATION SUBMITT	ED TO AND AS ADD	OVED BY THE FE	DEDAL AWARDING ACENCY
				ON THE ABOVE	TITLED PROJECT AND IS SUBJECT TO THE 1 NCE IN THE FOLLOWING:			
I.	TOTAL APPROVED BUD	GET	1,700,202.00	a.	The grant program legislation			
				b. c.	The grant program regulations. This award notice including terms and condition	ns, if any, noted below i	under REMARKS.	
m. Federal Share 1,700,202.00						*		
n.	Non-Federal Share		0.00	prevail. Accep	ere are conflicting or otherwise inconsistent tance of the grant terms and conditions is a the grant payment system.	cknowledged by the	grantee when fund	ds are drawn or otherwise
PE	MARKS (Other Terms and	Conditions Attached - X Yes	Г	No)				
KLI	maine (Outer reillis and	Tes	L	<b>–</b> 110)				

## GRANTS MANAGEMENT OFFICIAL:

Erica Stewart, Grants Management Officer 2939 Flowers Rd

TV-2

Atlanta, GA 30341 Phone: 770-488-2769

17.0BJ CL	<b>ASS</b> 41.51	18a. VENDOR CODE	18b. EIN		19. DUNS	878092600	20. CONG. DIST.	03
FY	Y-ACCOUNT NO.	DOCUMENT NO.	AD	MINISTRATIVE CODE	AMT	ACTION FIN ASST	APPROPRIAT	TION
21. a.	9-921VV46	b. 19NU50CK000546	6 C.	CK	d.	\$175,542.00	e. 75	5-19-0949
22. a.	9-939014P	b. 19NU50CK000546	6 C.	CK	d.	\$12,817.00	e. 75	5-19-0949
23. a.	9-93901FW	b. 19NU50CK000546	6 C.	CK	d.	\$204,707.00	e. 75	5-19-0959

# NOTICE OF AWARD (Continuation Sheet)

PAGE 2 of 3		DATE ISSUED 07/11/2019
GRANT NO.	1 NU	50CK000546-01-00

F١	'-ACCOUNT NO.		DOCUMENT NO.		ADMINISTRATIVE CODE		AMT ACTION FIN ASST		APPROPRIATION
24.a.	9-93905VH	b.	19NU50CK000546	C.	CK	d.	\$150,800.00	e.	75-19-0949
25.a.	9-93906EZ	b.	19NU50CK000546	C.	CK	d.	\$18,143.00	e.	75-19-0947
26.a.	9-93908MV	b.	19NU50CK000546	C.	CK	d.	\$270,664.00	e.	75-19-0949
27.a.	9-93909PE	b.	19NU50CK000546	C.	CK	d.	\$12,899.00	e.	75-X-0951
28.a.	9-93909QZ	b.	19NU50CK000546	C.	CK	d.	\$72,060.00	e.	75-X-0951
29.a.	9-93909UW	b.	19NU50CK000546	C.	CK	d.	\$316,336.00	e.	75-X-0949
30.a.	9-9390C0K	b.	19NU50CK000546	C.	CK	d.	\$40,492.00	e.	75-X-0943
31.a.	9-939ZRQB	b.	19NU50CK000546	C.	CK	d.	\$14,932.00	e.	75-19-0950
32.a.	9-939ZSCE	b.	19NU50CK000546	C.	CK	d.	\$91,457.00	e.	75-19-0951
33.a.	9-939ZSKR	b.	19NU50CK000546	C.	CK	d.	\$135,326.00	e.	75-19-0949
34.a.	9-939ZVJC	b.	19NU50CK000546	C.	CK	d.	\$184,027.00	e.	75-19-0949

## **Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# NOTICE OF AWARD (Continuation Sheet)

PAGE 3 of 3		DATE ISSUED 07/11/2019	
GRANT NO.	1 NU	50CK000546-01-00	

Federal Financial Report Cycle					
Reporting Period Start Date	Reporting Period End Date	Reporting Type	Reporting Period Due Date		
08/01/2019	07/31/2020	Annual	10/29/2020		
08/01/2020	07/31/2021	Annual	10/29/2021		
08/01/2021	07/31/2022	Annual	10/29/2022		
08/01/2022	07/31/2023	Annual	10/29/2023		
08/01/2023	07/31/2024	Annual	10/29/2024		

# **AWARD ATTACHMENTS**

## Missouri Department of Health

1 NU50CK000546-01-00

1. Terms and Conditions

## AWARD INFORMATION

**Incorporation**: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at

https://www.cdc.gov/grants/federalregulationspolicies/index.html, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number CDC-RFA-CK19-1904, entitled 2019 Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infectious Diseases (ELC) - 2019, and application dated May 9, 2019, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of \$1,700,202 is approved for the Year 01 budget period, which is August 1, 2019 through July 31, 2020. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

NOFO Funding	Amount
Non-PPHF	\$ 1,700,202

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

Financial Assistance Mechanism: Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

**Technical Review Statement Response Requirement**: The review comments on the strengths and weaknesses of the proposal are provided as part of this award. A response to the weaknesses in these statements must be submitted to and approved, in writing, by the Program Officer noted in the CDC Staff Contacts section of this NoA, no later than 30 days from the budget period start date. Failure to submit the required information by the due date, September 2, 2019, will cause delay in programmatic progress and will adversely affect the future funding of this project.

**Budget Revision Requirement:** By September 2, 2019 the recipient must submit a revised budget with a narrative justification including the required six contractual elements per CDC Budget Preparation Guidelines. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award

☑ Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

**Program Income**: Any program income generated under this grant or cooperative agreement will be used in accordance with the Addition alternative.

<u>Addition alternative</u>: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

## FUNDING RESTRICTIONS AND LIMITATIONS

**Indirect Costs:** Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 9, 2018, which calculates indirect costs as follows, a Provisional is approved at a rate of 21.30% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2019 to June 30, 2021.

## REPORTING REQUIREMENTS

Performance Progress and Monitoring: Performance information collection initiated under this grant/cooperative agreement has been approved by the Office of Management and Budget under OMB Number 0920-1132, "Performance Progress and Monitoring Report", Expiration Date 8/31/2019. The components of the PPMR are available for download at: <a href="https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html">https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html</a>.

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services
Tonya Jenkins, Grants Management Specialist
Centers for Disease Control and Prevention
Infectious Disease Services Branch
2939 Flowers Road, MS-TV-2
Atlanta, GA 30341
Telephone: 404-498-2399

Email: pjo6@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services
Office of the Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW
Cohen Building, Room 5527
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or

Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b)).

## PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to <a href="https://mail.com/hhstips@oig.hhs.gov">hhstips@oig.hhs.gov</a> or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

**Payment Management System Subaccount**: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

Component: Non-PPHF

Document Number: 19NU50CK000546

## **CDC Staff Contacts**

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

## **GMS Contact:**

Tonya Jenkins, Grants Management Specialist Centers for Disease Control and Prevention Infectious Disease Services Branch 2939 Flowers Road, MS-TV-2 Atlanta, GA 30341 Telephone: 404-498-2399

Email: pjo6@cdc.gov

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.