Notice of Award

Award# 5 NU58DP006520-04-00

FAIN# NU58DP006520

Federal Award Date: 05/13/2021

# **Recipient Information**

# 1. Recipient Name

Missouri Department of Health 920 WILDWOOD DR JEFFERSON CITY, MO 65109-5796 [NO DATA]

- 2. Congressional District of Recipient
- 3. Payment System Identifier (ID)
- 4. Employer Identification Number (EIN)
- 5. Data Universal Numbering System (DUNS)
- 6. Recipient's Unique Entity Identifier
- 7. Project Director or Principal Investigator

Mr. Steve Cramer

Section Administrator

steve.cramer@health.mo.gov

573-522-2806

#### 8. Authorized Official

Mrs. Marcia Mahaney

Director, Division of Admnistration

marcia.mahaney@health.mo.gov

573-751-6014

# **Federal Agency Information**

CDC Office of Financial Resources

### 9. Awarding Agency Contact Information

Ms. Daryl Barksdale

GMS

xxj8@cdc.gov

770-488-1087

#### 10.Program Official Contact Information

Mr. Robert Montierth

nxv9@cdc.gov

404.498.5378

# Federal Award Information

#### 11. Award Number

5 NU58DP006520-04-00

12. Unique Federal Award Identification Number (FAIN)

NU58DP006520

### 13. Statutory Authority

301(a) and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 241(a) and 247b(k)(2)], as amended

#### 14. Federal Award Project Title

Diabetes and Heart Disease & Stroke Prevent Programs-Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke

# 15. Assistance Listing Number

93.426

#### 16. Assistance Listing Program Title

Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke-Financed in part by 2018 Prevention and Public Heal

# 17. Award Action Type

Non-Competing Continuation

#### 18. Is the Award R&D?

No

# **Summary Federal Award Financial Information**

19. Budget Period Start Date 06/30/2021 - End Date 06/29/2022

20. Total Amount of Federal Funds Obligated by this Action\$2,168,396.0020a. Direct Cost Amount\$2,029,933.0020b. Indirect Cost Amount\$138,463.00

21. Authorized Carryover

22. Offset

23. Total Amount of Federal Funds Obligated this budget period

24. Total Approved Cost Sharing or Matching, where applicable

25. Total Federal and Non-Federal Approved this Budget Period

26. Project Period Start Date 09/30/2018 - End Date 06/29/2023

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period

Not Available

\$0.00

\$0.00

\$0.00

\$0.00

\$2,168,396.00

#### 28. Authorized Treatment of Program Income

ADDITIONAL COSTS

#### 29. Grants Management Officer - Signature

Ms. Stephanie Latham

Team Lead, Grants Management Officer

#### 30. Remarks

# Notice of Award

Award# 5 NU58DP006520-04-00

FAIN# NU58DP006520

Federal Award Date: 05/13/2021

# **Recipient Information**

#### **Recipient Name**

Missouri Department of Health 920 WILDWOOD DR JEFFERSON CITY, MO 65109-5796 [NO DATA]

Congressional District of Recipient

03

**Payment Account Number and Type** 

**Employer Identification Number (EIN) Data** 

Universal Numbering System (DUNS)

878092600

Recipient's Unique Entity Identifier

Not Available

31. Assistance Type

Cooperative Agreement

32. Type of Award

Other

33. Approved Budget (Excludes Direct Assistance)				
Financial Assistance from the Federal Awarding Agency Only     Total project costs including grant funds and all other financial participation				
a. Salaries and Wages	\$423,919.00			
b. Fringe Benefits	\$264,949.00			
c. TotalPersonnelCosts	\$688,868.00			
d. Equipment	\$0.00			
e. Supplies	\$7,790.00			
f. Travel	\$10,216.00			
g. Construction	\$0.00			
h. Other	\$37,656.00			
i. Contractual	\$1,285,403.00			
j. TOTAL DIRECT COSTS	\$2,029,933.00			
k. INDIRECT COSTS	\$138,463.00			
1. TOTAL APPROVED BUDGET	\$2,168,396.00			

# 34. Accounting Classification Codes

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
1-9210481	18NU58DP006520	DP	41 51	\$1,084,198 00	75-X-0948
1-9390G76	18NU58DP006520	DP	41 51	\$1,084,198 00	75-X-0948

m. Federal Share

n. Non-Federal Share

\$2,168,396.00

\$0.00



Award# 5 NU58DP006520-04-00

FAIN# NU58DP006520

Federal Award Date: 05/13/2021

#### **Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# **AWARD ATTACHMENTS**

# Missouri Department of Health

5 NU58DP006520-04-00

1. Terms and Conditions

### AWARD INFORMATION

**Incorporation**: In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at

https://www.cdc.gov/grants/federalregulationspolicies/index.html, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number DP18-1815, entitled Diabetes and Heart Disease & Stroke Prevent Programs-Improving the Health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke, and application dated March 3, 2021, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of \$2,168,396 is approved for the Year 04 budget period, which is June 30, 2021 through June 29, 2022. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

**Component/Project Funding:** The NOFO provides for the funding of multiple components under this award. The approved component funding levels for this notice of award are:

NOFO Component	Amount
Diabetes Category A	\$ 1,084,198
Heart Disease Category B	\$ 1,084,198

Financial Assistance Mechanism: Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

- Supporting recipients in implementing cooperative agreements requirements and meeting program outcomes;
- Providing technical assistance to revise annual work plans;
- · Assisting recipients in advancing program activities to achieve project outcomes.
- Providing scientific subject matter expertise (e.g., engaging non-physician team members, implementing and sustaining the National Diabetes Prevention Program) and resources in support of the selected strategies;
- Collaborating with recipients to develop and implement evaluation plans that align with CDC evaluation activities;
- Providing technical assistance on recipient's evaluation and performance measurement plans;
- Providing technical assistance to define and operationalize performance measures;
- Using webinars and other social media for recipients and CDC to communicate and share tools and resources:
- Establishing learning communities to facilitate the sharing of information among

## recipients;

- Providing professional development and training opportunities, either in person or through virtual, web-based training formats, for the purpose of sharing the latest science, best practices, success stories, and program models;
- Participating in relevant meetings, committees, conference calls, and working groups related to the cooperative agreement requirements to achieve outcomes;
- Coordinating communication and program linkages with other CDC programs and Federal agencies, such as the Health Resources and Services Administration (HRSA), Centers for Medicare & Medicaid Services (CMS), Indian Health Services (HIS), and the National Institute of health (NIH);
- Providing surveillance technical assistance and state -specific data collected by CDC; Providing technical expertise to other CDC programs and Federal agencies on how to interface with recipients;
- Translating and disseminating lessons learned through publications, meetings, and other means on promising and best practices to expand the evidence base; and
- Hosting a meeting/training during the first year of the project period and later in the project period (for a total of 2 meetings/training for recipients). CDC will:
- 1. Ensure that grantees have access to expertise found throughout NCCDPHP. For example, a team of subject matter experts could include, but is not limited to, the project officer, health scientist, epidemiologists, statisticians, policy analysts, communication specialist, health economists, and evaluators to provide technical assistance to grantees. Technical assistance teams will also work in collaboration with other programs and division across NCCDPHP to identify specific actions that improve efficiency and greater public health impact.
- 2. Collaborate with grantees to explore appropriate flexibilities needed to meet public health outcomes and goals. Flexibility in cooperative agreements includes grantee's ability to propose alternative methods to achieve the outcomes and goals of the cooperative agreement that align with grantee's opportunities for success, infrastructure, partner and stakeholder buy-in, demographics, and burden. This includes bringing together resources from multiple cooperative agreements to jointly advance the goals of each and expanding the dialogue to bring in other CDC and grantee staff to reach a win/win solution.
- 3. Create greater efficiencies and consistency across NCCDPHP programs for grantees. Examples of how NCCDPHP divisions and programs work together to achieve this include but are not limited to:
  - Joint site visits that maximize the ability to do collaborative problem solving, offer insights and ideas to strengthen or augment grantee approaches, and increase understanding of grantee's context to accomplish chronic disease prevention and health promotion.
  - Jointly developed resources and tools that focus on cross-cutting functions, settings, domains, risk factors, conditions and disease to ensure consistent messages and to meet grantee technical assistance needs.
  - Joint training and technical assistance opportunities that help grantees produce
    policies and programs that are more holistic and fully supportive of work in
    tobacco, nutrition, physical activity, chronic disease management and other
    strategies and topics, as appropriate.
- 4. Continue and expand support for grantees to leverage NCCDPHP resources to address cross-cutting functions, domains, settings, risk factors and diseases.

# Defining terms:

Cross-cutting functions: Functions that are necessary to all programs and include communication, epidemiology, evaluation, health equity, leadership, partnerships, planning, policy, and training among other; as well as functions specific to the cooperative agreement.

#### Domains:

- 1. Epidemiology and surveillance to monitor trends and track progress.
- 2. Environmental approaches to promote health and support healthy behaviors.
- 3. Health care system intervention to improve the effective delivery and use of clinical and other high-value preventive services.
- 4. Community programs linked to clinical services to improve and sustain management of chronic conditions.

Settings: Early care and education, schools, worksites, community, health care systems, etc.

Risk factors, conditions and diseases: Nutrition, physical activity, tobacco, sleep, excessive alcohol use, maternal and infant health, Alzheimer's arthritis, diabetes, cancer, chronic obstructive pulmonary disease, heart disease and stroke, and oral health.

**Budget Revision Requirement:** By July 30, 2021 the recipient must submit a revised budget with a narrative justification. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

Contract #2 (Missouri Pharmacy Association) is unapproved pending additional information for Project Officer review for DSMES sites (\$24,911). Please work with the Project Officer before resubmitting for approval.

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.

# FUNDING RESTRICTIONS AND LIMITATIONS

### Notice of Funding Opportunity (NOFO) Restrictions:

- Recipients may not use funds for research.
- Recipients may not use funds for clinical care except as allowed by law.
- Recipients may use funds only for reasonable program purposes, including personnel, travel, supplies, and services.
- . Generally, recipients may not use funds to purchase furniture or equipment. Any such

- proposed spending must be clearly identified in the budget.
- Reimbursement of pre-award costs generally is not allowed, unless the CDC provides written approval to the recipient.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
  - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
  - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
  - See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project outcomes and not merely serve as a conduit for an award to another party or provider who is ineligible.

In accordance with the United States Protecting Life in Global Health Assistance policy, all non-governmental organization (NGO) applicants acknowledge that foreign NGOs that receive funds provided through this award, either as a prime recipient or subrecipient, are strictly prohibited, regardless of the source of funds, from performing abortions as a method of family planning or engaging in any activity that promotes abortion as a method of family planning, or to provide financial support to any other foreign non-governmental organization that conducts such activities.

 See Additional Requirement (AR) 35 for applicability (https://www.cdc.gov/grants/additionalrequirements/ar-35.html).

Indirect Costs: Indirect costs are approved based on the negotiated indirect cost rate agreement dated March 17, 2020, which calculates indirect costs as follows, a Fixed rate is approved at a rate of 20.1% of the base, which includes, direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from July 1, 2020 to June 30, 2021.

# REPORTING REQUIREMENTS

Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS): Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services

Daryl Barksale, Grants Management Officer/Specialist
Centers for Disease Control and Prevention
Branch 5 Supporting Chronic Diseases and Injury Prevention
2939 Flowers Road S
Chamblee, Georgia 30341
Email: xxj8@cdc.gov (Include "Mandatory Grant Disclosures" in subject line)

#### AND

U.S. Department of Health and Human Services Office of the Inspector General ATTN: Mandatory Grant Disclosures, Intake Coordinator 330 Independence Avenue, SW Cohen Building, Room 5527 Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or

Email: MandatoryGranteeDisclosures@oig.hhs.gov

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

# PAYMENT INFORMATION

The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to <a href="https://mail.com/hhstips@oig.hhs.gov">hhstips@oig.hhs.gov</a> or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

**Payment Management System Subaccount**: Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

#### **CDC Staff Contacts**

Grants Management Specialist: The GMS is the federal staff member responsible for the day-

to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

### **GMS Contact:**

Daryl Barksdale, Grants Management Specialist Centers for Disease Control and Prevention Branch 5 Supporting Chronic Diseases and Injury Prevention 2939 Flowers Road S Chamblee Georgia 30341

Telephone: 770-488-1087 Email: xxj8@cdc.gov

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

# **Programmatic Contact:**

Robert Montierth, Project Officer Centers for Disease Control and Prevention Division of Diabetes Translation 4770 Buford Highway Atlanta, Georgia 30341 Telephone: 404-498-5378

Email: nxv9@cdc.gov

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

#### **GMOContact:**

Stephanie Latham, Grants Management Officer Centers for Disease Control and Prevention Branch 5 Supporting Chronic Diseases and Injury Prevention 2939 Flowers Road S Chamblee, Georgia 30341

Telephone: 770-488-2917 Email: fzv6@cdc.gov