



# PERIODIC PROGRESS REPORT

## Instructions for Completion (see attached blank forms)

- Purpose:** To gather uniform data regarding the progress and compliance of approved Certificate of Need (CON) projects in accordance with §197.300 to §197.366 RSMo; and to provide data to develop, implement and manage a database for project tracking, monitoring, notification and follow-up.
- Used by:** Missouri Health Facilities Review Committee, CON Program Staff, and Project Contact Person.
- General:** Periodic Progress Reports (PPRs) must provide all requested data and information in a complete, concise and legible manner. Each PPR must indicate if it is an Intermediate or Final Report. PPRs which are incomplete, illegible and/or contain mathematical discrepancies may be returned to the Contact Person for appropriate corrective action.
- Project ID:** Any changes in this information must be brought to the attention of the CON Program Staff immediately upon occurrence.
- Add'l. Info.:** *Additional information MUST be attached to **substantiate** answers to the individual questions. All final PPRs must include documentation which substantiates all claims and expenditures.*

### Individual Questions:

- 1. Have capital expenditures been incurred for the proposed construction and/or medical equipment?** A capital expenditure shall be deemed to have occurred if the applicant has at least one or more of the following:
  - **Construction expenditures** assignable to a capital asset in accordance with generally accepted accounting principles and which are not chargeable to pre-development or operating costs, which may be documented by a signed AIA construction contract with starting and ending dates; and above-ground construction;
  - **Purchase Orders (POs)** which are signed and which include the date of purchase, delivery, installation and operational date; or
  - **Acquisition** of medical equipment or property by lease, transfer, or purchase which has been authorized by the applicant and includes the date of the lease, the annual cost, cost and date of buy-out; purchase date, delivery installation and operational dates; and transfer date, current value, installation and operational date.

If the answer to this question is "Yes," then attach copies of the appropriate signed construction contract (include pictures of construction activity), purchase order, or lease agreement (with original signatures).

If capital expenditure or expenditure for medical equipment has not been incurred, provide a detailed explanation and include the steps being taken to correct the situation within the time constraints of §197.315.9 RSMo. Indicate the nature, costs and the date that a capital expenditure will be incurred.
- 2. Are the expenditures for this reporting period/project-to-date included?**

List all project expenditures, by category, incurred during the reported period and project-to-date on the **Project Budget / Expenditures** form.
- 3. Are the projected final costs within the limits approved? (Self-explanatory)**

Using current costs and expenditures, extrapolate final project costs to the project completion date. If total costs will exceed those approved by the Committee by more than 10%, specify and explain the area and category involved. Also, indicate the estimated filing date for your cost-overrun application.
- 4. Are there any changes in the services or programs as approved in the application?**

(Explain any changes)
- 5. Has the project contact person changed?**

If "Yes," enclose a new CON Contact Person Correction Form.
- 6. Percentage of Construction or installation complete.**

*(If the expenditures and construction/installation are both 100% complete, provide a final report.)*



# PERIODIC PROGRESS REPORT

Type of Progress Report:

- Intermediate
- Final

All applicants granted a Certificate of Need (CON) by the Missouri Health Facilities Review Committee are required to submit periodic progress reports until such time as the project is complete (§197.315 (8) RSMo). These reports **must** be filed with the CON Program staff after the end of **each six (6) month reporting period** following the issuance of a CON.

Name of Project	Report Period
Address	Project Number
	Date CON Issued
Project Description	Approved Cost

- Yes    **1. Have capital expenditures been incurred for the proposed construction through aboveground construction, renovations or lease/purchase of the proposed equipment?**  
 No

\_\_\_\_\_ Date aboveground construction or renovations commenced, or equipment purchased.  
Provide documentation (i.e. photos, copy of AIA contract and/or purchase order).

  - Yes    **\*2. Are the expenditures for this reporting period/project to-date included?**  
 No

\_\_\_\_\_ %    Percent of the total approved project amount that has been expended to date.

  - Yes    **3. Are the projected final costs within the limits approved?**  
 No    *If "No" and costs are above 10% of approved amount, then submit a cost over-run application.*  
           \$ \_\_\_\_\_ Estimated final project cost
  - Yes    **4. Are there any changes in the services or programs as approved in the application?**  
 No    *If "Yes" explain in detail and provide replacement pages for the approved application.*
  - Yes    **5. Has the project contact person changed?**  
 No    *If "Yes," enclose a new Contact Person Correction Form (MO 580-1870).*
- \*6. Construction or installation is \_\_\_\_\_ % complete.** (Not the same as expenditures to-date.)

*\*If Items 2 and 6 are both 100% complete, signify this as the **Final Report** and submit documentation of final costs.*

Describe the status and progress of the project to-date. Clearly explain expenditures, delays, changes in project progress, or lack of progress. (Use additional pages as needed.)



Certificate of Need Program

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<b>Project Budget / Expenditures</b>		Report Period: _____ to _____	
Description	Application	This Period	Project to-date
1. General Construction Costs			
2. Renovation Costs			
<b>3. Subtotal Construction Costs</b>			
4. Architectural/Engineering Fees			
5. Other Equipment (not in construction contract)			
6. Major Medical Equipment			
7. Land Acquisition Costs			
8. Consultants' Fees/Legal Fees			
9. Interest During Construction			
10. Other Costs			
<b>11. Subtotal Non-construction Costs</b>			
<b>12. TOTAL Project Development Costs</b>			
Square footage of New Construction			
Square footage of Renovation			
Total square footage for Project			
Costs per square foot: New Construction			
Costs per square foot: Renovation			
Name of Contact Person		Title	
Telephone Number	Fax Number	E-mail Address	